

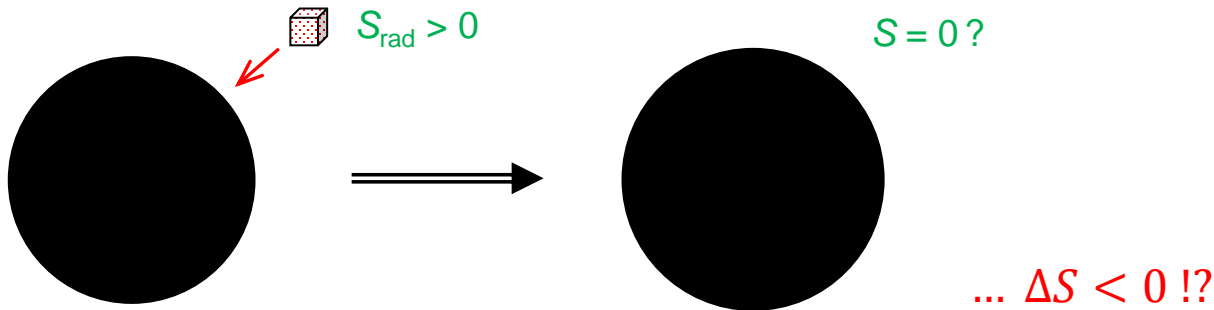
Black Hole and de Sitter Microstructures from a Semiclassical Perspective

Yasunori Nomura

UC Berkeley; LBNL; Kavli IPMU



What happens if matter falls into a black hole?



A proposal [Bekenstein, 1973]

The entropy of a BH is proportional to its horizon area.

$$S_{\text{BH}} = \frac{A}{4G_{\text{N}}} \quad \text{Note: } G_{\text{N}} = \ell_{\text{P}}^2 \sim (10^{-33} \text{ cm})^2 \rightarrow \text{huge entropy}$$

$$\text{Indeed, } \Delta \left(\frac{A}{4G_{\text{N}}} + S_{\text{matter}} \right) \geq 0$$

Does this make sense?

$$\frac{A}{4G_{\text{N}}} = 4\pi G_{\text{N}} M^2 \rightarrow \frac{\partial S}{\partial E} = \frac{1}{T} \rightarrow \text{finite temperature}$$

Doesn't a BH only absorb stuff?

Black holes radiate [Hawking, 1974]

The horizon is “smooth.”



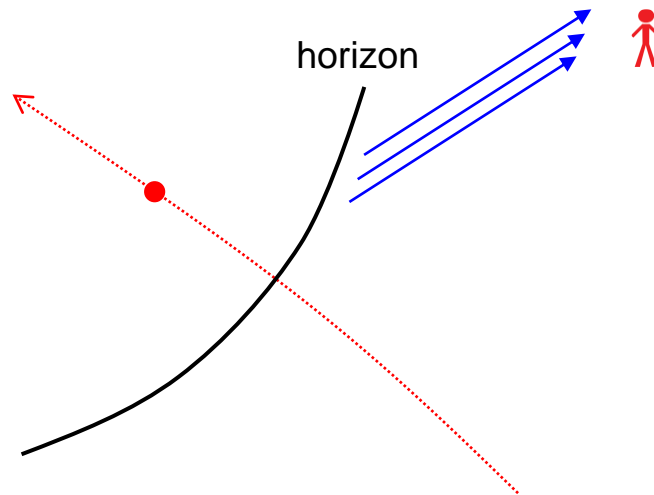
Quantum mechanical effect

Hawking temperature

There must be radiation corresponding to $T_H \sim \frac{1}{8\pi M G_N}$.

BHs are thermodynamic objects.

→ Spacetime is composed of microscopic d.o.f.s!



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Quantum mechanical effect

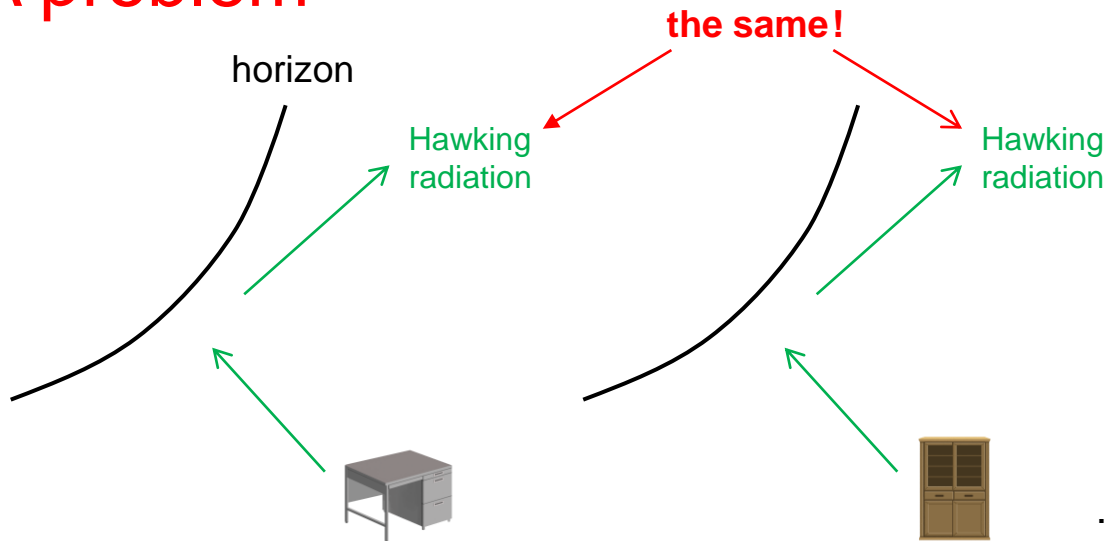
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Hawking temperature

BHs are thermodynamic objects.

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A problem



The time evolution
is **not** one-to-one!
(not unitary)

... (the original form of)

BH information problem

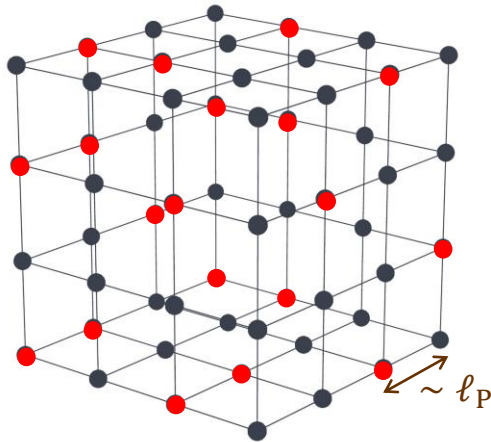
Holography

A clue comes from the BH physics itself.

A BH is the highest entropy state of the region,

$$\text{and still } S \propto \frac{A}{\ell_{\text{P}}^2}$$

Strange!



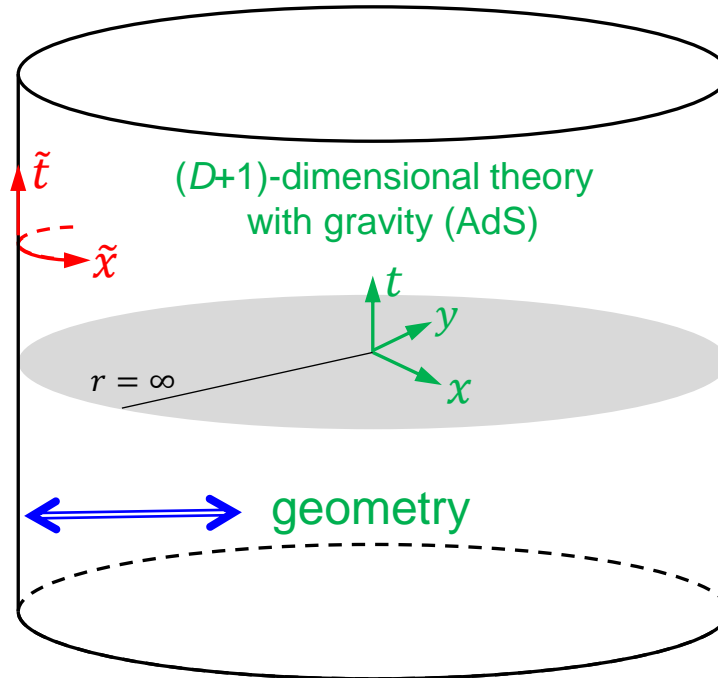
$$S \sim \ln 2^{V/\ell_{\text{P}}^3} \propto \frac{V}{\ell_{\text{P}}^3} \gg \gg \frac{A}{\ell_{\text{P}}^2}$$

The concept that spacetime exists down to $\sim \ell_{\text{P}}$ is an illusion!

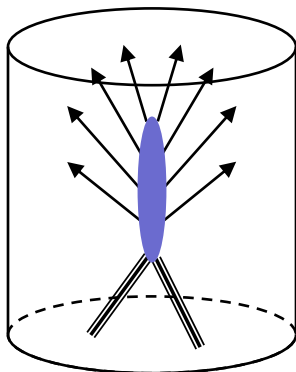
→ suggests that there is a formulation of quantum gravity
in spacetime **one less dimension** than the naïve one.

AdS/CFT correspondence [Maldacena, 1997]

D -dimensional theory
without gravity (CFT)



BH evolution **must be** unitary.

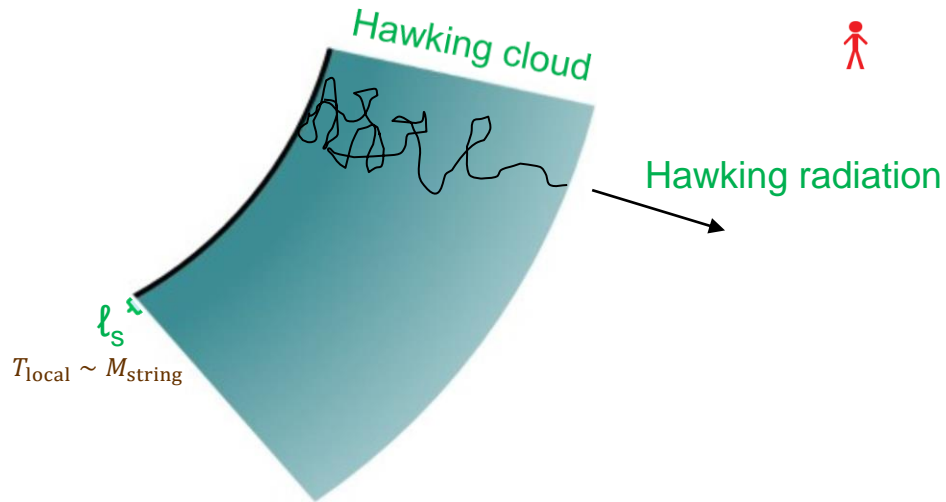


=

A process in non-gravitational
(unitary) theory

... problem "solved"

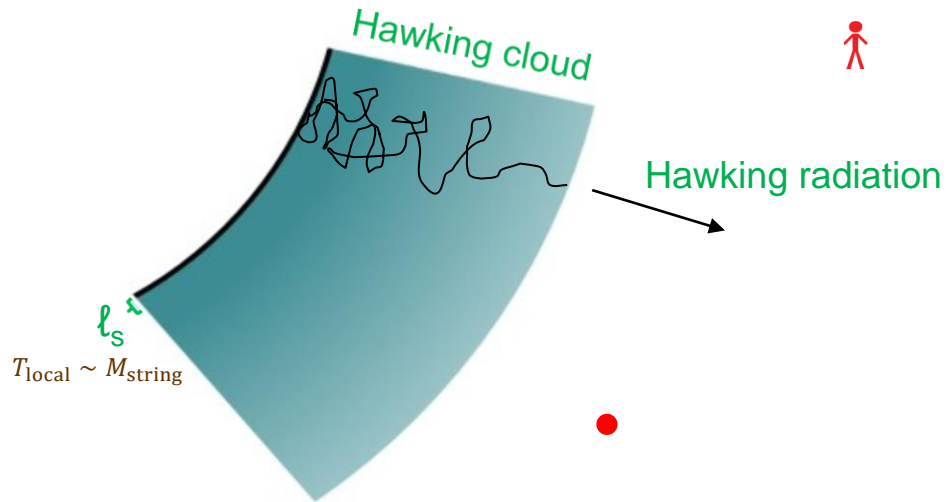
BH at the quantum level



The horizon behaves
as the surface of regular material.

... no issue with unitarity

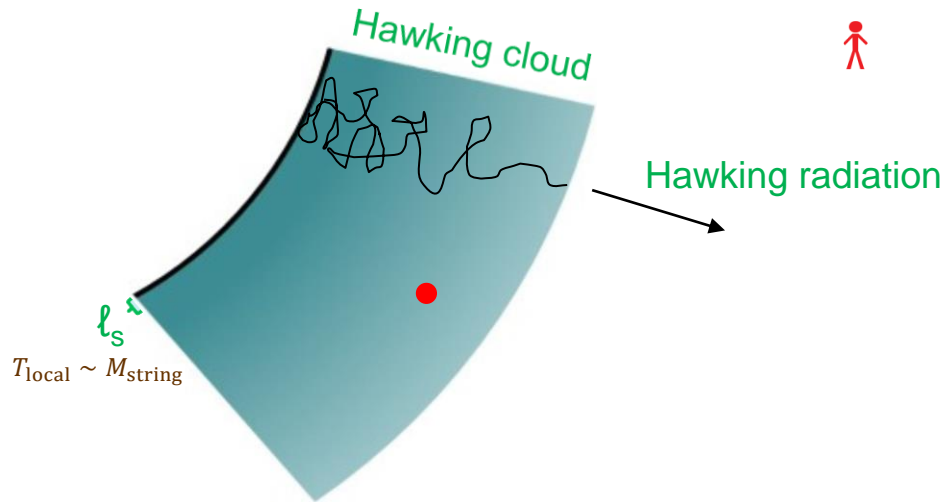
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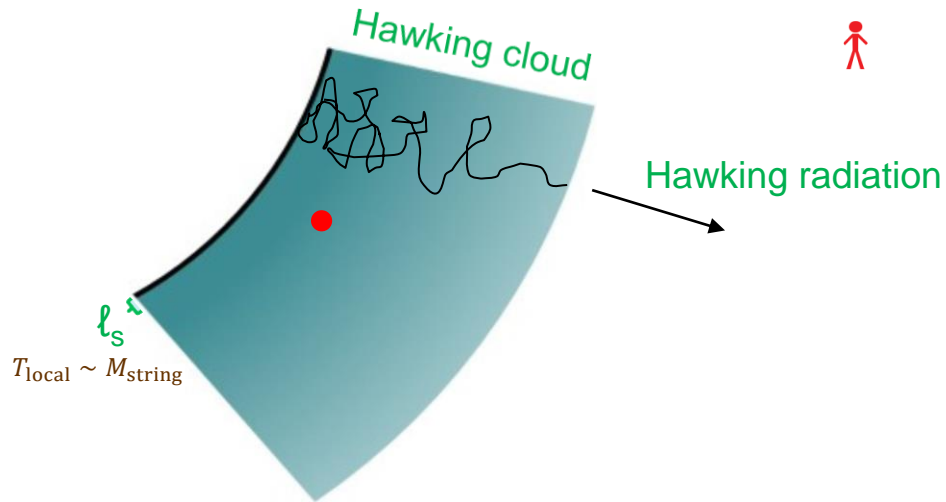
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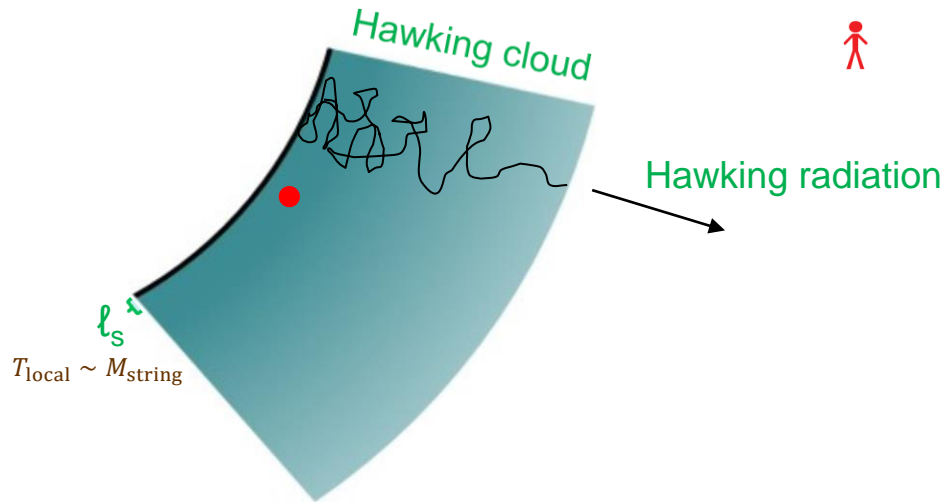
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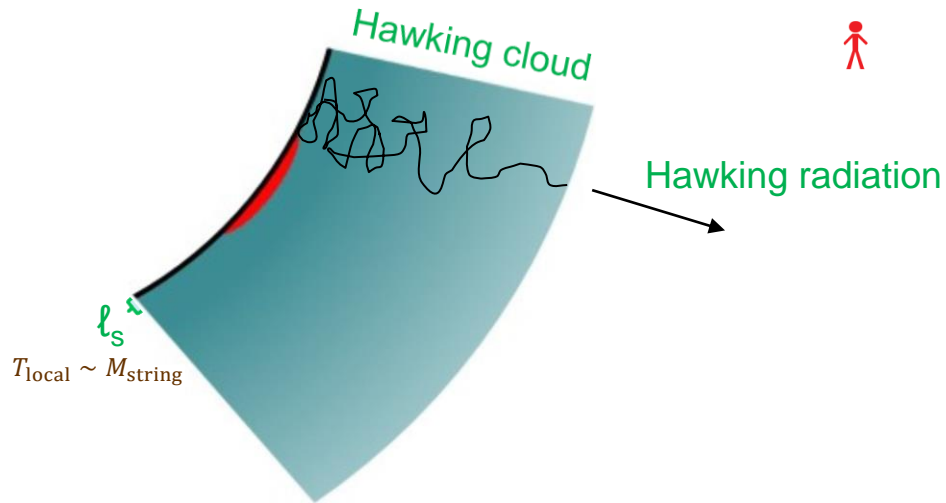
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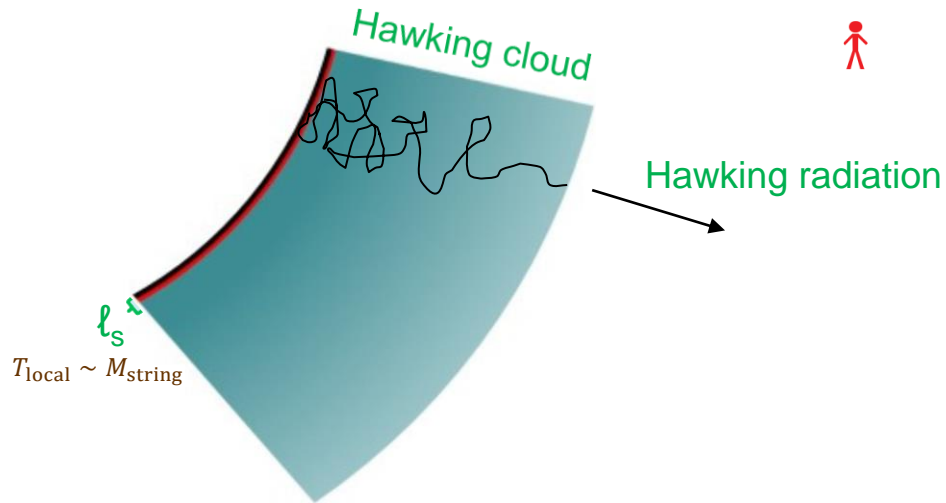
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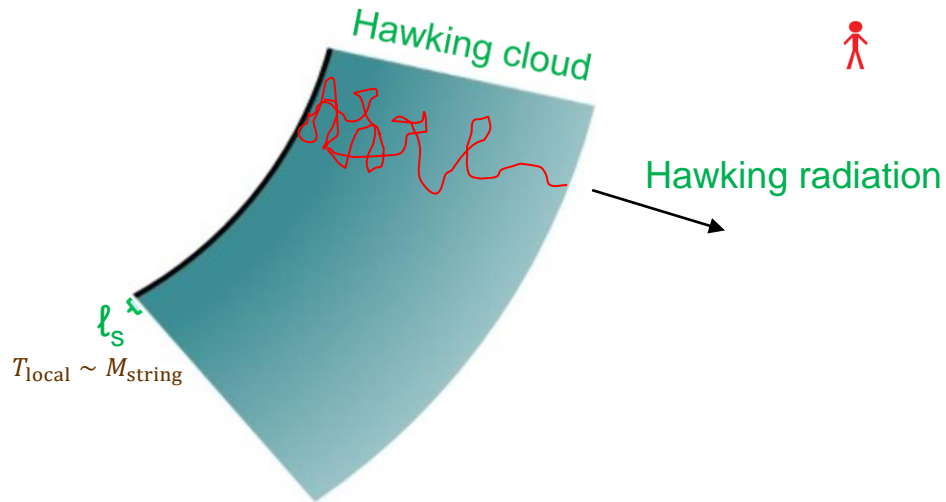
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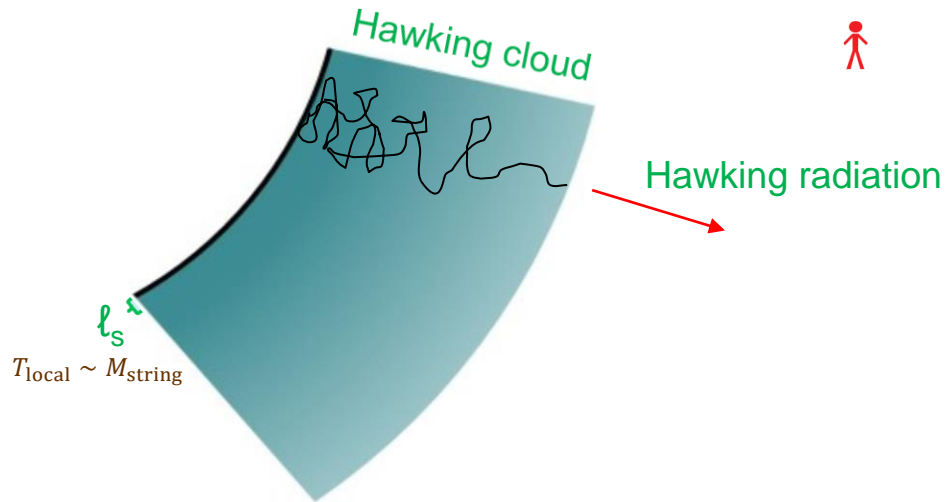
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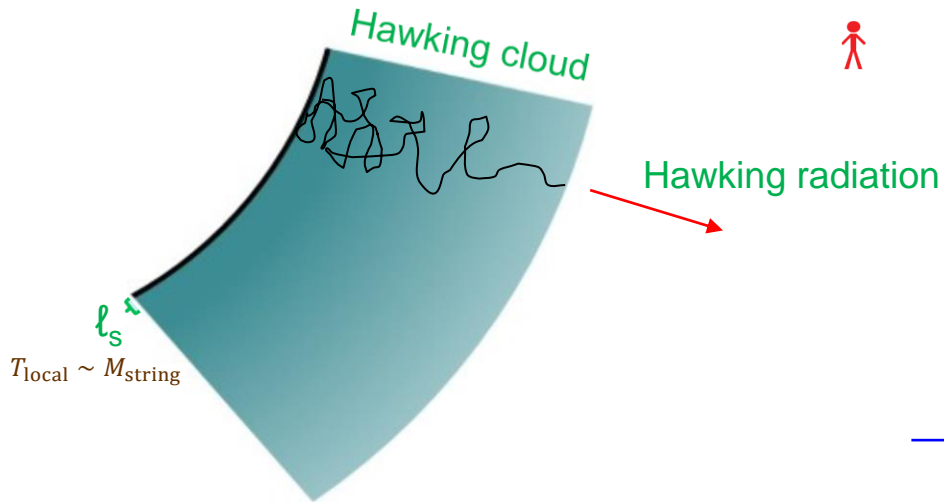
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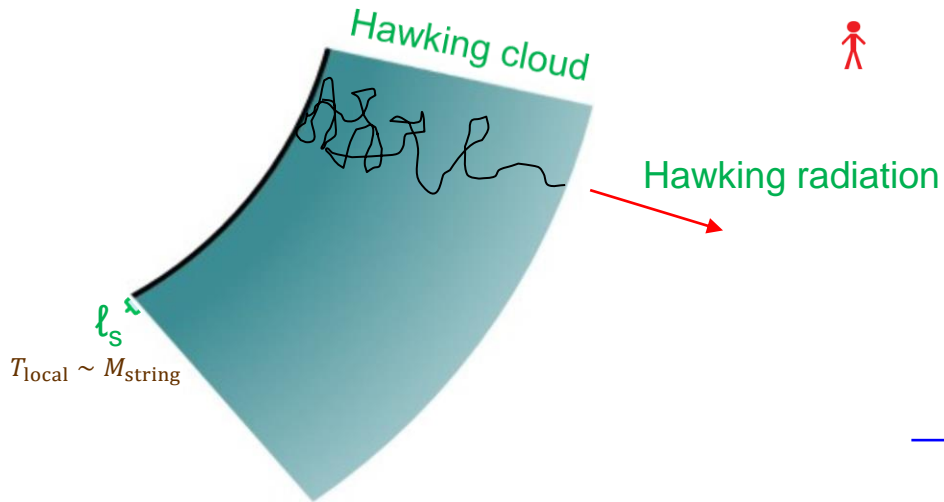


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→ What about the interior?

BH at the quantum level

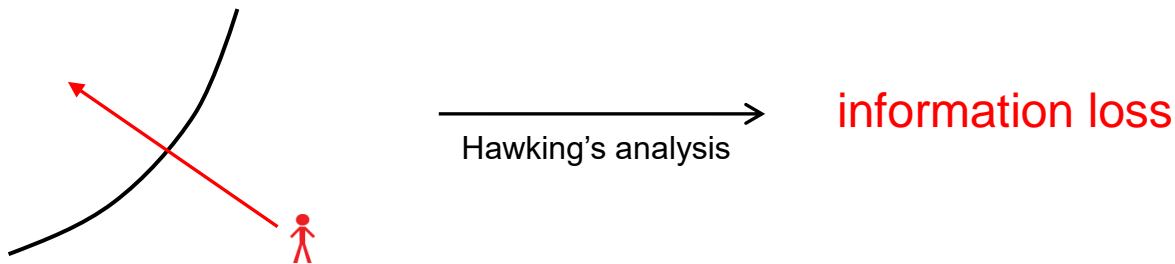


The horizon behaves
as the surface of regular material.

... no issue with unitarity

→ What about the interior?

Alternatively



→ What was wrong with Hawking's analysis?

Claim I:

In quantum gravity, a system with a BH (horizon) accommodates two **very different** descriptions.

These two descriptions, however, are **physically equivalent**.

Claim II:

Each description makes **only one** of QM (unitarity) and GR (interior spacetime) **manifest**.

Nevertheless, the theory is **consistent with both**; the properties of the one not chosen arise **dynamically** through subtle effects.

⇒ We will discuss each of these two descriptions and relation between them, including their complementary nature.

.....

Y.N., "From the black hole conundrum to the structure of quantum gravity," arXiv:2011.08707

C. Murdia, Y.N., K. Ritchie, "Black hole and de Sitter microstructures from a semiclassical perspective," arXiv:2207.01625

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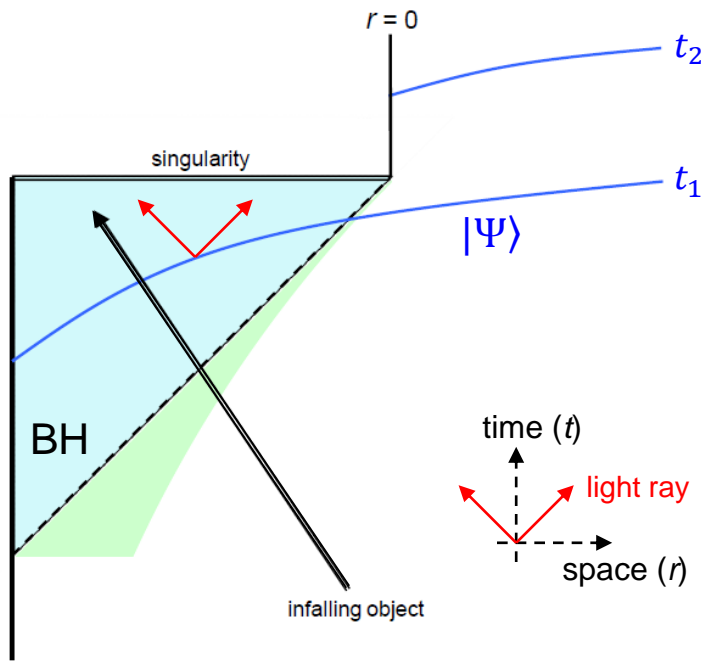
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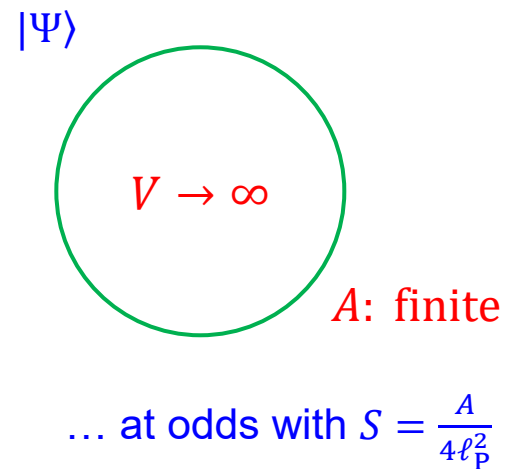
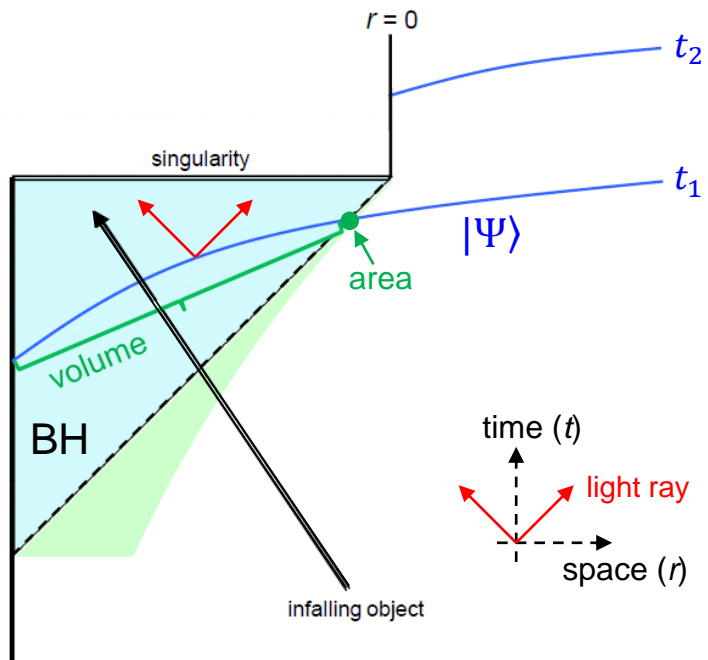
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Picture based on Global Spacetime
— replica wormholes & QES prescription —

Start with “global spacetime”

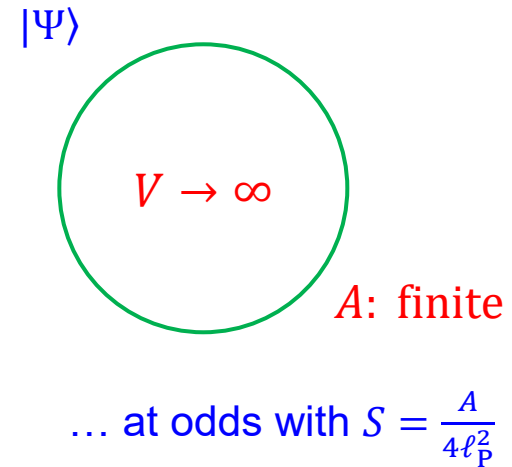
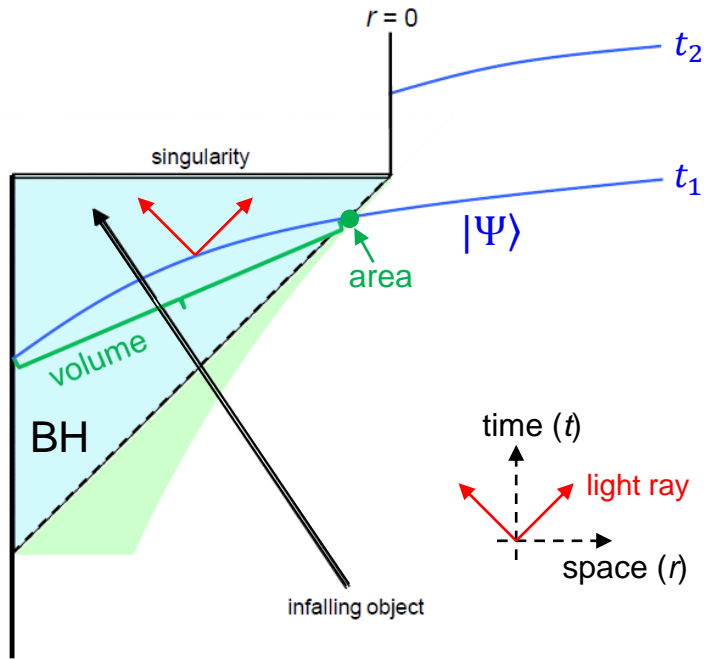


Start with “global spacetime”



Hugely redundant!

Start with “global spacetime”



Hugely redundant!

$$\langle \Psi_1 | \Psi_2 \rangle = 0 \quad \longrightarrow \quad \langle \Psi_1 | \Psi_2 \rangle \sim e^{-\frac{S}{2}}$$

semiclassical
(QFT in curved spacetime)

quantum gravity

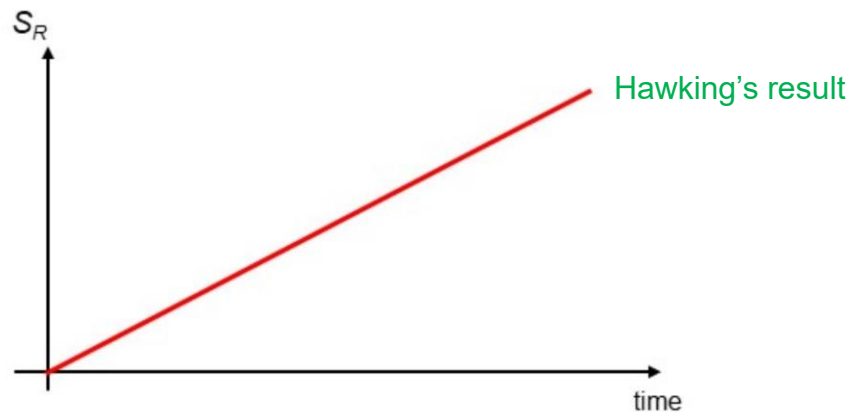
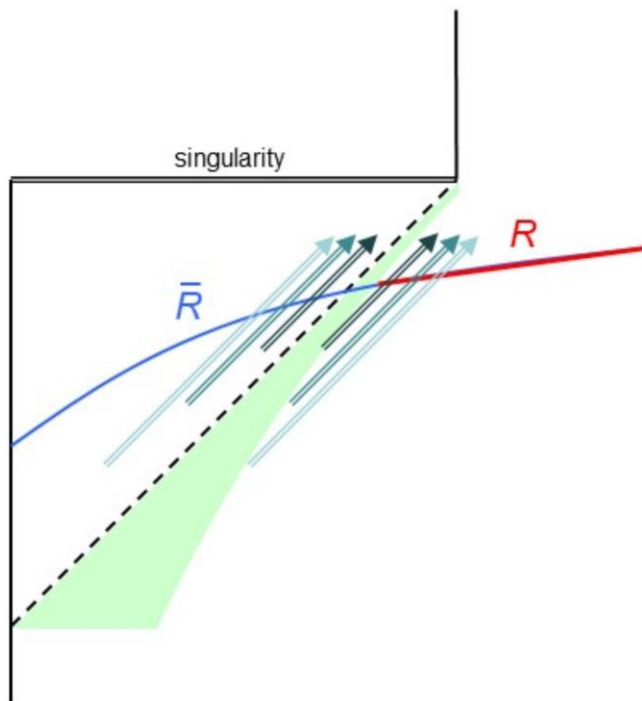
... only e^S independent states

$$|\Psi\rangle = \sum_{i=1}^{e^S} c_i |\psi_i\rangle \quad c_i \sim e^{-\frac{S}{2}}$$

$$\langle \Psi_1 | \Psi_2 \rangle = \sum_{i=1}^{e^S} c_{1,i}^* c_{2,i} \sim e^{\frac{S}{2}} e^{-S} \sim e^{-\frac{S}{2}}$$

$\rightarrow e^{e^S}$ approximately orthogonal states

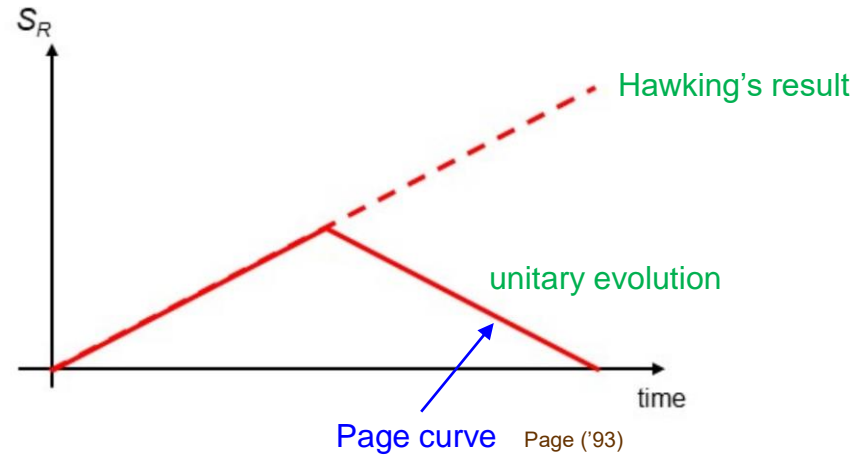
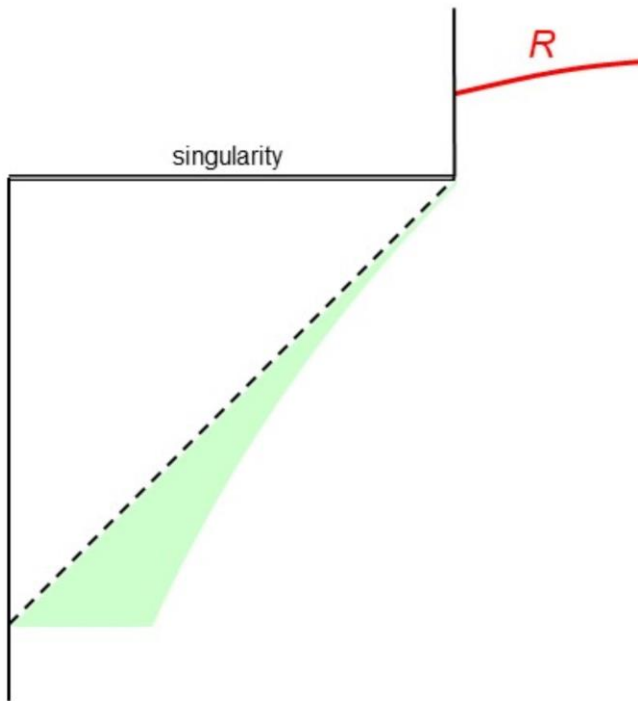
Unitarity of Hawking evaporation



$$S_R = -\text{Tr}[\rho_R \ln \rho_R] \quad (\rho_R = \text{Tr}_{\bar{R}}|\Psi\rangle\langle\Psi|)$$

~ the # of EPR particles in R whose partners are in \bar{R}

Unitarity of Hawking evaporation



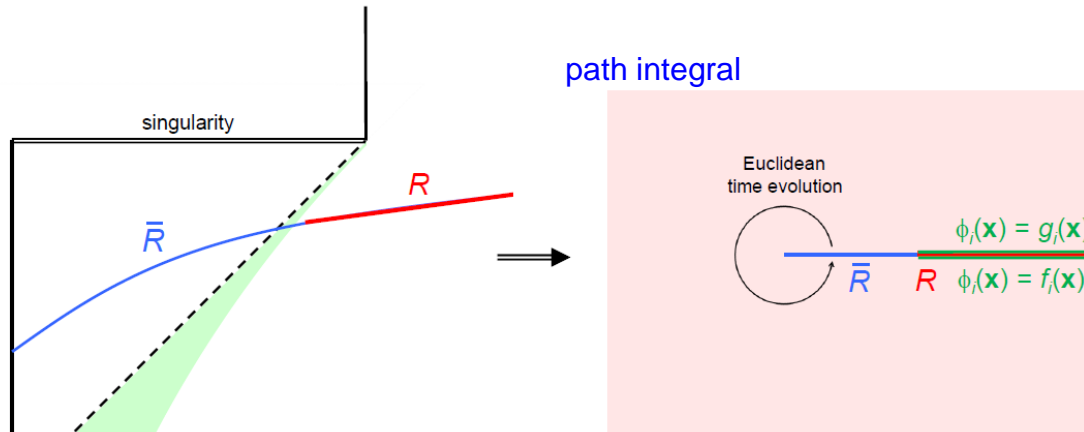
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→ How to get this curve?

Page curve from replica wormholes

Penington, Shenker, Stanford, Yang ('19);
Almheiri, Hartman, Maldacena, Shaghoulian, Tajdini ('19)

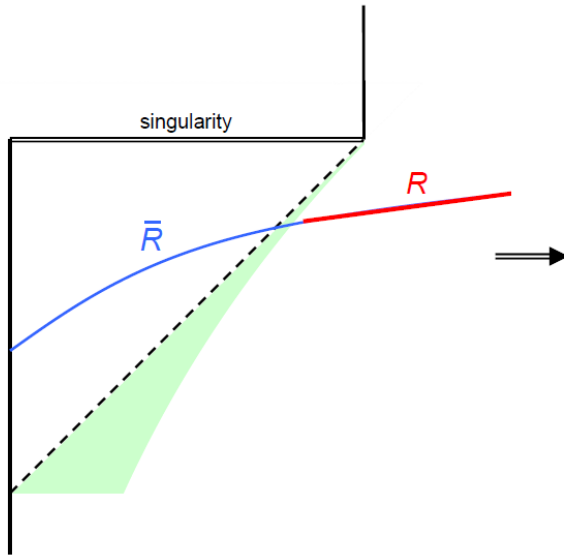


$\rightarrow \rho_R = \rho_R[f_i(\mathbf{x}), g_i(\mathbf{x})]$ (\sim coefficient of $|g_i(\mathbf{x})\rangle\langle f_i(\mathbf{x})|$)

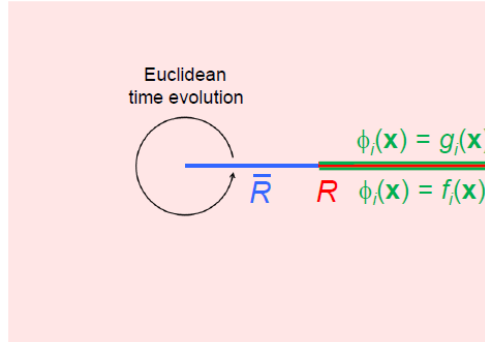
$$S_R \equiv -\text{Tr}[\rho_R \ln \rho_R] = \lim_{n \rightarrow 1} \frac{1}{1-n} \ln \text{Tr}[\rho_R^n]$$

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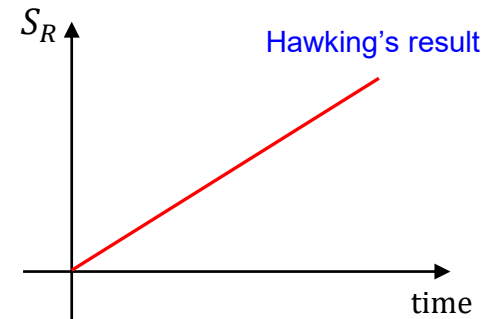
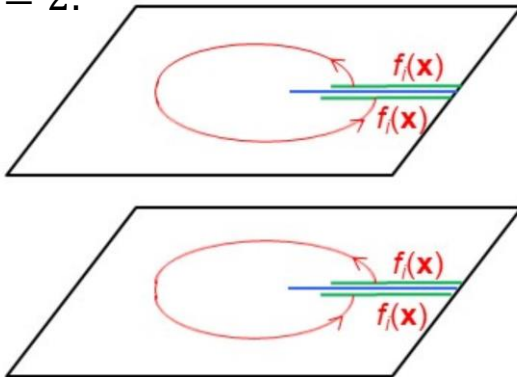
path integral



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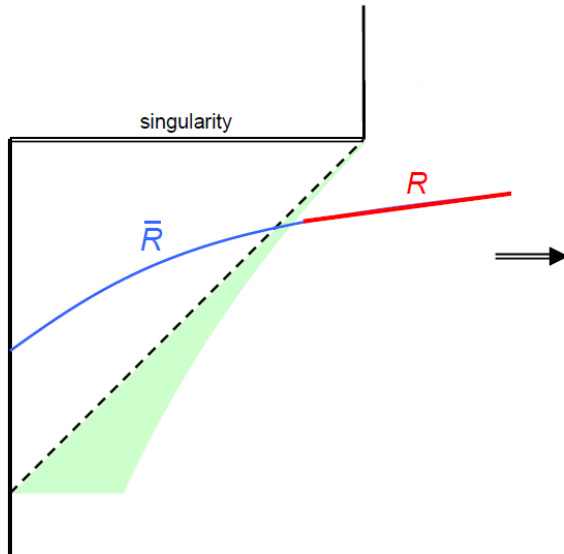
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$n = 2$:

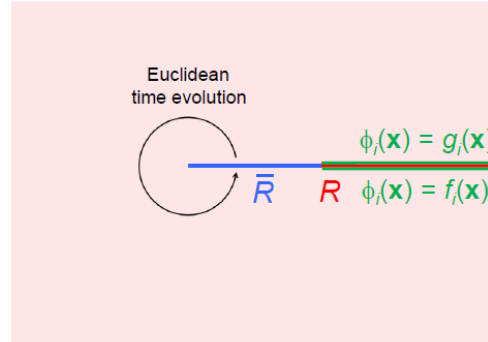


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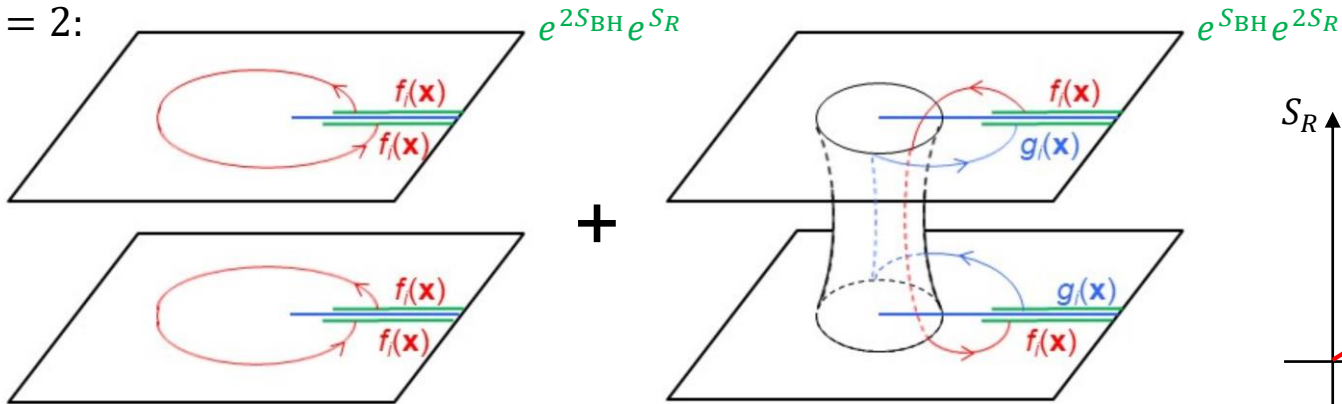
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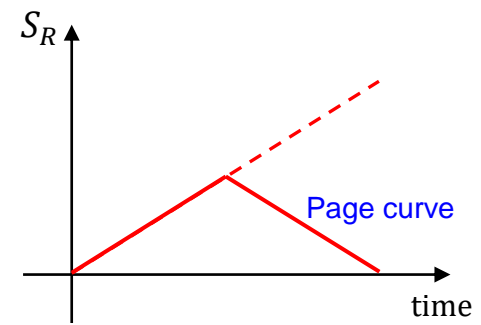
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$$e^{2S_{\text{BH}}} e^{S_R}$$

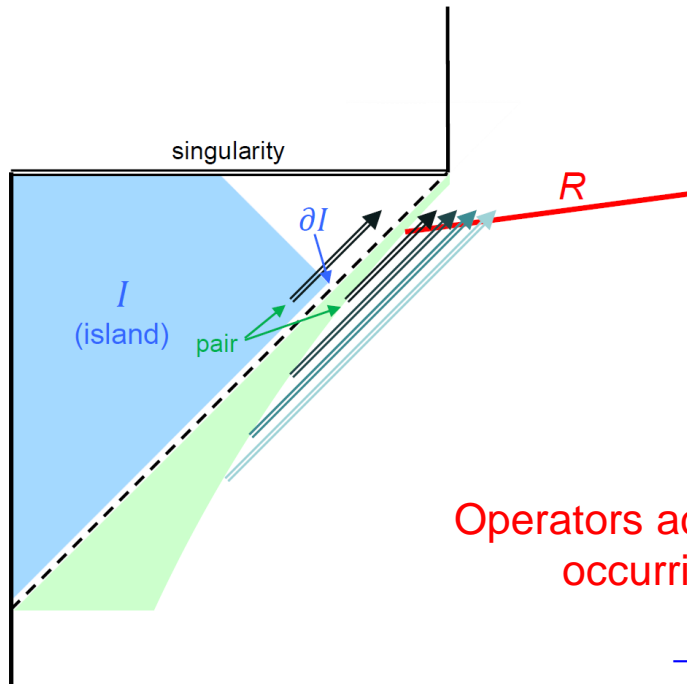
$$e^{S_{\text{BH}}} e^{2S_R}$$

replica wormhole (nonperturbative effect)



Quantum extremal surface (QES) prescription

Penington ('19); Almheiri, Engelhardt, Marolf, Maxfield ('19); ...



Entanglement entropy of radiation in R is given by

$$S_R = \min_{\partial I} \text{ext} \left[\frac{\text{Area}(\partial I)}{4G_N} + S_{\text{von Neumann}}(R \cup I) \right]$$

∂I : quantum extremal surface (QES)

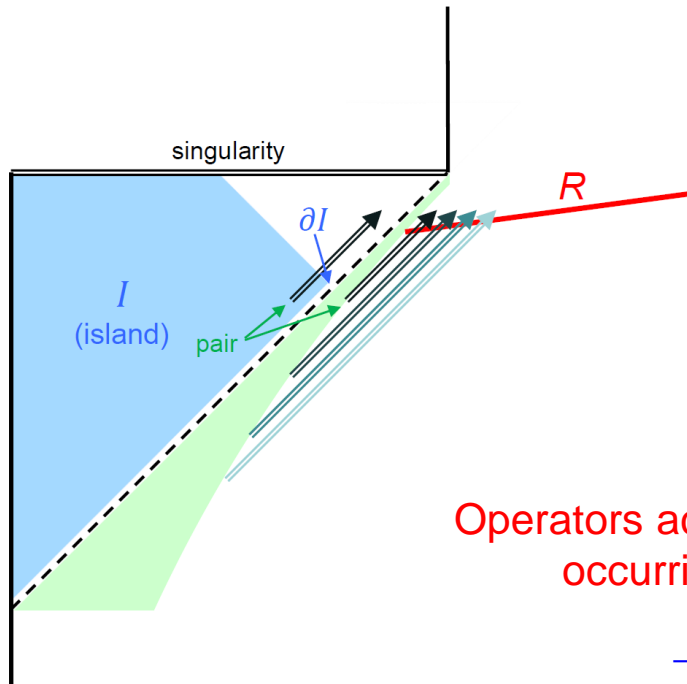
Operators acting on R can represent physics occurring in I (entanglement wedge reconstruction)

→ Hawking radiation emitted is **not** independent of the interior d.o.f.s!

...; Maldacena, Susskind ('13); ...

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Global spacetime
(embracing the **interior**)

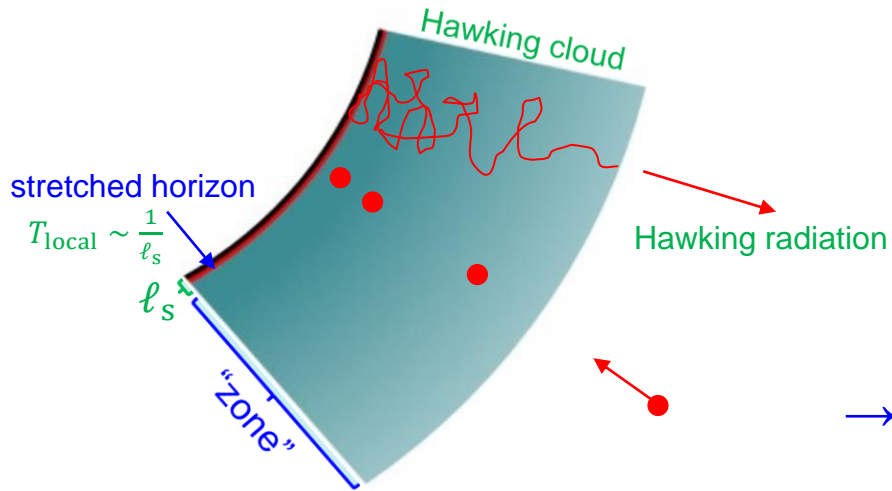
→
Replica wormholes
(nonperturbative effects of gravity)

Page curve
(signifying **unitarity**)

Picture based on Holography

— unitary gauge construction —

Start with a “distant” (holographic) description



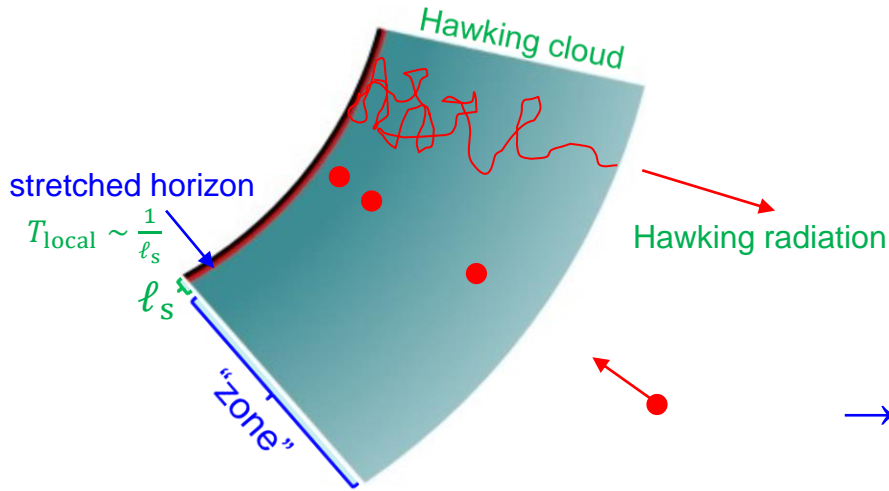
The d.o.f.s outside the horizon
comprise the **entire** system.

→ The evolution is unitary.

→ How does the “interior” emerge?

Papadodimas, Raju ('12-'15); Verlinde, Verlinde ('12-'13);
Y.N., Sanches, Varela, Weinberg ('12-'15); ...
Y.N. ('19, 20)

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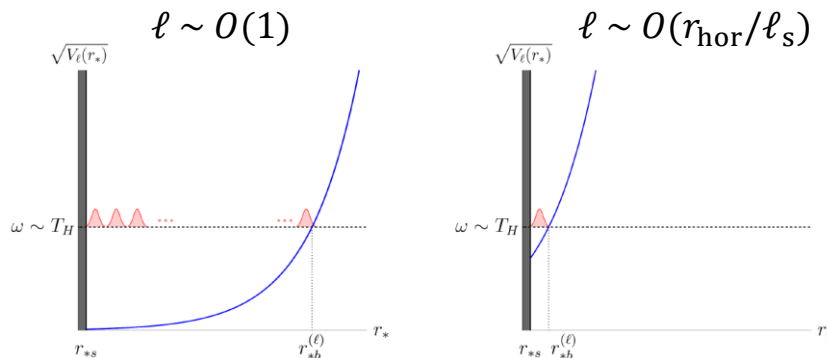
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 Y.N. ('19, 20)

Key features Y.N. ('19, 20), Murdia, Y.N., Ritchie ('22)

— defining characteristics of BHs

(I) Huge redshift Spacetime does not exist below $\ell_{\text{proper}} \sim \ell_s \rightarrow S_{\text{BH}} \sim \text{area}$
 $\Delta E \sim e^{-S_{\text{BH}}}$



Relevant modes:

{	BH	{ horizon	} soft	(cloud)
		zone		
far				

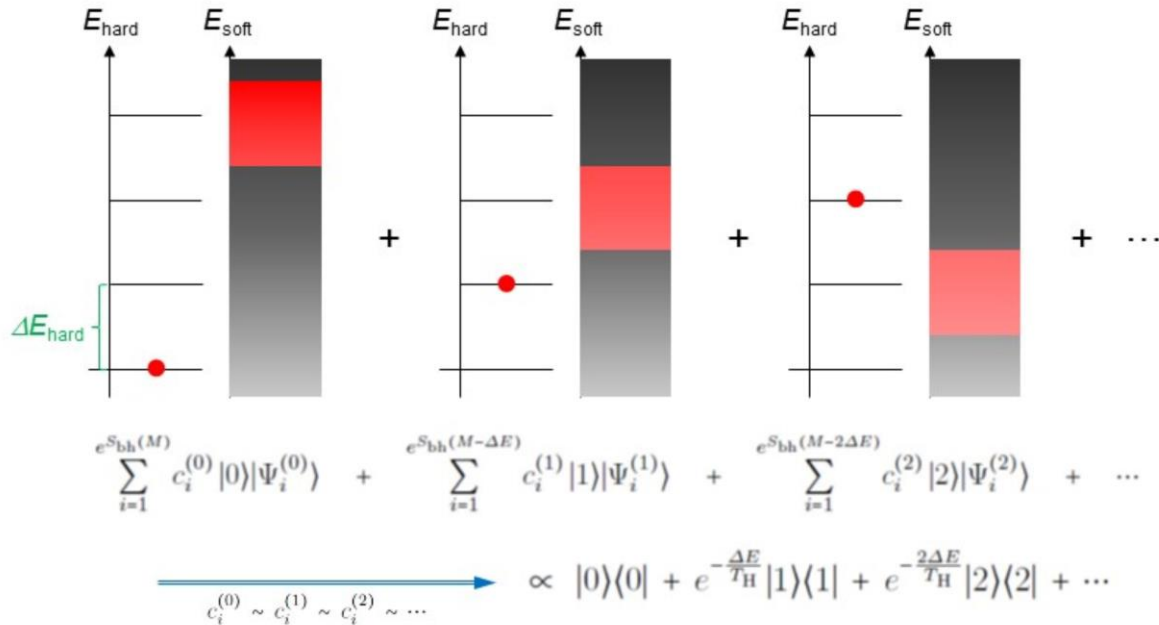
(II) Dynamics at the stretched horizon

$$T_{\text{local}} \sim M_{\text{string}}$$

... string dynamics

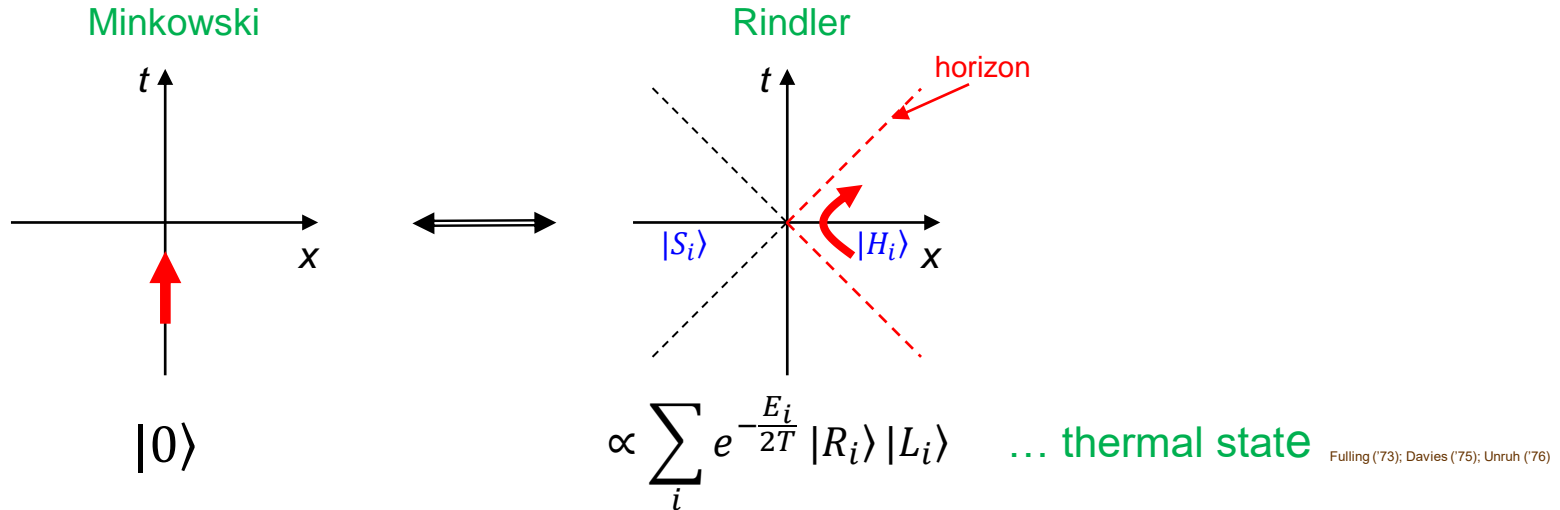
- quantum chaos Maldacena, Shenker, Stanford ('15)
 - fast scrambling Hayden, Preskill ('07); Sekino, Susskind ('08)
 - universal Banks, Seiberg ('10); ...; Harlow, Ooguri ('18)
- (e.g. no global symmetry)

→ “ultimate” thermalization in the zone



... universal across all low energy species

Emergence of the interior: Basic picture



Near empty
Interior spacetime

frame change

$$|\Psi_{\text{BH}}\rangle \propto \sum_i e^{-\frac{E_i}{2T_H}} |H_i\rangle \overbrace{|S_i\rangle}^{\text{Hard mode states}} \dots \text{play the role of the mirror partners}$$

Coarse-grained soft mode states
(representing their collective excitations)

(An object thrown “sees” interior spacetime)

... **universally** thermal

At late times, the BH is entangled with radiation

$$|\Psi_{\text{BH}}\rangle \propto \sum_i e^{-\frac{E_i}{2T_H}} |H_i\rangle \overbrace{|(S + R)_i\rangle}^{\text{Hard mode states}} \dots \text{play the role of the mirror partners}$$

Coarse-grained soft mode **and** radiation states
(representing collective excitations of these modes)

... Interior d.o.f.s involve early Hawking radiation.

More details and math behind them

- Black hole **vacuum** state at time t

$$|\Psi_{A,0}(M)\rangle = \sum_n e^{S_{\text{bh}}(M-E_n)} e^{S_{\text{rad}}} \sum_{i_n=1} c_{ni_n a}^A |\{n_\alpha\}\rangle |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle$$

Soft mode ... the density of states: $e^{S_{\text{BH}}(E_{\text{soft}})}$

Hard mode
Far mode (radiation)

index specifying microstate: $A = 1, \dots, e^{S_{\text{tot}}}$

$$e^{S_{\text{tot}}} \equiv \sum_n e^{S_{\text{bh}}(M-E_n)} e^{S_{\text{rad}}} = z e^{S_{\text{bh}}(M)+S_{\text{rad}}} \quad \left(z \equiv \sum_n e^{-\frac{E_n}{T_{\text{H}}}} \right)$$

$(\langle \{m_\alpha\} | \{n_\alpha\} \rangle = \delta_{mn}, \quad \langle \psi_{i_m}^{(m)} | \psi_{j_n}^{(n)} \rangle = \delta_{mn} \delta_{i_m j_n}, \quad \langle \phi_a | \phi_b \rangle = \delta_{ab})$

Complete thermalization by (redshifted) string dynamics

$$\langle c_{ni_n a}^A \rangle = 0, \quad \sqrt{\langle |c_{ni_n a}^A|^2 \rangle} = \frac{1}{e^{\frac{1}{2} S_{\text{tot}}}} \implies \text{Tr}_{\text{soft}} |\Psi_{A,0}(M)\rangle \langle \Psi_{A,0}(M)| = \frac{1}{z} \sum_n e^{-\frac{E_n}{T_{\text{H}}}} |\{n_\alpha\}\rangle \langle \{n_\alpha\}| \otimes \rho_\phi$$

... thermal density matrix for the hard modes

Excitations in the zone:

$$b_\gamma = \sum_n \sqrt{n_\gamma} |\{n_\alpha - \delta_{\alpha\gamma}\}\rangle \langle \{n_\alpha\}|$$

$$b_\gamma^\dagger = \sum_n \sqrt{n_\gamma + 1} |\{n_\alpha + \delta_{\alpha\gamma}\}\rangle \langle \{n_\alpha\}| \quad \dots \text{standard annihilation and creation operators}$$

What about the interior?

More details and math behind them

- Black hole **vacuum state** at time t

$$|\Psi_{A,0}(M)\rangle = \sum_n \left[\sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_n a}^A |\{n_\alpha\}\rangle |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle \right]$$

index specifying
microstate: $A = 1, \dots, e^{S_{\text{tot}}}$

$$\left(\langle \{m_\alpha\} | \{n_\alpha\} \rangle = \delta_{mn}, \quad \langle \psi_{i_m}^{(m)} | \psi_{j_n}^{(n)} \rangle = \delta_{mn} \delta_{i_m j_n}, \quad \langle \phi_a | \phi_b \rangle = \delta_{ab} \right)$$

$$e^{S_{\text{tot}}} \equiv \sum_n e^{S_{\text{bh}}(M-E_n)} e^{S_{\text{rad}}} = z e^{S_{\text{bh}}(M)+S_{\text{rad}}} \quad \left(z \equiv \sum_n e^{-\frac{E_n}{T_H}} \right)$$

Complete thermalization by (redshifted) string dynamics

$$\langle c_{ni_n a}^A \rangle = 0, \quad \sqrt{\langle |c_{ni_n a}^A|^2 \rangle} = \frac{1}{e^{\frac{1}{2} S_{\text{tot}}}} \quad \Longrightarrow \quad \text{Tr}_{\text{soft}} |\Psi_{A,0}(M)\rangle \langle \Psi_{A,0}(M)| = \frac{1}{z} \sum_n e^{-\frac{E_n}{T_H}} |\{n_\alpha\}\rangle \langle \{n_\alpha\}| \otimes \rho_\phi$$

... thermal density matrix for the hard modes

Mirror microstates for each A

cf. Papadodimas, Raju ('12-'15); Verlinde, Verlinde ('12-'13); Y.N., Sanches, Varela, Weinberg ('12-'15)

$$\|\{n_\alpha\}_A\rangle = \alpha_n^A \sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_n a}^A |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle \quad \Longrightarrow \quad |\Psi_{A,0}(M)\rangle = \frac{1}{\sqrt{z}} \sum_n e^{-\frac{E_n}{2T_H}} |\{n_\alpha\}\rangle \|\{n_\alpha\}_A\rangle$$

normalization:
$$\alpha_n^A = \frac{1}{\sqrt{\sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_n a}^{A*} c_{ni_n a}^A}}$$

$$= \sqrt{z} e^{\frac{E_n}{2T_H}} \left(1 - \frac{1}{2} \epsilon_n^{AA} \right)$$

exponentially small correction

... thermofield double form

Mirror microstates **for each A**

$$\|\{n_\alpha\}_A\>\rangle = \alpha_n^A \sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_na}^A |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle$$

normalization:

$$\alpha_n^A = \frac{1}{\sqrt{\sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_na}^{A*} c_{ni_na}^A}}$$

$$= \sqrt{z} e^{\frac{E_n}{2T_{\text{H}}}} \left(1 - \frac{1}{2} \varepsilon_n^{AA}\right)$$

exponentially small correction

$$\Rightarrow |\Psi_{A,0}(M)\rangle = \frac{1}{\sqrt{z}} \sum_n e^{-\frac{E_n}{2T_{\text{H}}}} |\{n_\alpha\}\rangle \|\{n_\alpha\}_A\>\rangle$$

... thermofield double form

Mirror microstates **for each A**

$$\|\{n_\alpha\}_A\}\rangle = \alpha_n^A \sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_na}^A |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle$$

normalization: $\alpha_n^A = \frac{1}{\sqrt{\sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_na}^{A*} c_{ni_na}^A}}$

$$= \sqrt{z} e^{\frac{E_n}{2T_H}} \left(1 - \frac{1}{2} \varepsilon_n^{AA}\right)$$

exponentially small correction

$$\Longrightarrow |\Psi_{A,0}(M)\rangle = \frac{1}{\sqrt{z}} \sum_n e^{-\frac{E_n}{2T_H}} |\{n_\alpha\}\rangle \|\{n_\alpha\}_A\}\rangle$$

... thermofield double form

Mirror microstates for each A

$$|\{n_\alpha\}_A\rangle\rangle = \alpha_n^A \sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_n a}^A |\psi_{i_n}^{(n)}\rangle |\phi_a\rangle \quad \Longrightarrow \quad |\Psi_{A,0}(M)\rangle = \frac{1}{\sqrt{z}} \sum_n e^{-\frac{E_n}{2T_H}} |\{n_\alpha\}\rangle |\{n_\alpha\}_A\rangle$$

normalization: $\alpha_n^A = \frac{1}{\sqrt{\sum_{i_n=1}^{e^{S_{\text{bh}}(M-E_n)}} \sum_{a=1}^{e^{S_{\text{rad}}}} c_{ni_n a}^{A*} c_{ni_n a}^A}} = \sqrt{z} e^{\frac{E_n}{2T_H}} \left(1 - \frac{1}{2} \varepsilon_n^{AA}\right)$

exponentially small correction

... thermofield double form

Mirror operators for each microstate (representing collective excitations of soft and far modes)

$$\tilde{b}_\gamma^A = \sum_n \sqrt{n_\gamma} \|\{n_\alpha - \delta_{\alpha\gamma}\}_A\rangle\rangle \langle\langle \{n_\alpha\}_A \|$$

$$\tilde{b}_\gamma^{A\dagger} = \sum_n \sqrt{n_\gamma + 1} \|\{n_\alpha + \delta_{\alpha\gamma}\}_A\rangle\rangle \langle\langle \{n_\alpha\}_A \|$$

... satisfy the commutation relation of ann./cre. operators up to exponentially suppressed corrections of $\sim e^{-S}$.

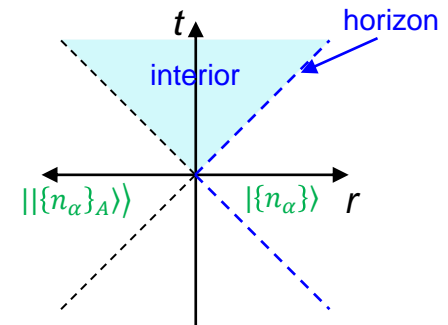
Infalling mode operators for each microstate

$$a_\xi^A = \sum_\gamma (\alpha_{\xi\gamma} b_\gamma + \beta_{\xi\gamma} b_\gamma^\dagger + \zeta_{\xi\gamma} \tilde{b}_\gamma^A + \eta_{\xi\gamma} \tilde{b}_\gamma^{A\dagger})$$

$$a_\xi^{A\dagger} = \sum_\gamma (\beta_{\xi\gamma}^* b_\gamma + \alpha_{\xi\gamma}^* b_\gamma^\dagger + \eta_{\xi\gamma}^* \tilde{b}_\gamma^A + \zeta_{\xi\gamma}^* \tilde{b}_\gamma^{A\dagger})$$

standard Bogoliubov coefficients

... describe interior spacetime for the hard modes (objects).



Sufficient? → No

Hard modes (a falling object) may be entangled with soft modes.

$$|\Psi(t_*)\rangle = \sum_{A=1}^{S_{\text{tot}}} \sum_I d_{AI}(t_*) |\Psi_{A,I}(M)\rangle$$

→ Which infalling micro-operators should we use?

Global promotion

$$\mathcal{M} = \left\{ \sum_{A=1}^{e^{S_{\text{tot}}}} a_A |\Psi_{A,0}(M)\rangle \mid a_A \in \mathbb{C}, \sum_{A=1}^{e^{S_{\text{tot}}}} |a_A|^2 = 1 \right\} \quad \dots \text{space of vacuum microstates}$$

$$\tilde{\mathcal{M}} = \left\{ \sum_{A'=1}^{e^{S_{\text{eff}}}} a_{A'} |\Psi_{A',0}(M)\rangle \mid a_{A'} \in \mathbb{C}, \sum_{A'=1}^{e^{S_{\text{eff}}}} |a_{A'}|^2 = 1 \right\} \quad \dots \text{subspace of } \mathcal{M} \text{ with } S_{\text{eff}} < S_{\text{bh}}(M) + S_{\text{rad}}$$

Globally promoted operators:

$$\tilde{\mathcal{B}}_\gamma = \sum_{A'=1}^{e^{S_{\text{eff}}}} \tilde{b}_\gamma^{A'}, \quad \tilde{\mathcal{B}}_\gamma^\dagger = \sum_{A'=1}^{e^{S_{\text{eff}}}} \tilde{b}_\gamma^{A'\dagger}$$

$$\Longrightarrow \mathcal{A}_\xi = \sum_\gamma (\alpha_{\xi\gamma} b_\gamma + \beta_{\xi\gamma} b_\gamma^\dagger + \zeta_{\xi\gamma} \tilde{\mathcal{B}}_\gamma + \eta_{\xi\gamma} \tilde{\mathcal{B}}_\gamma^\dagger)$$

$$\mathcal{A}_\xi^\dagger = \sum_\gamma (\beta_{\xi\gamma}^* b_\gamma + \alpha_{\xi\gamma}^* b_\gamma^\dagger + \eta_{\xi\gamma}^* \tilde{\mathcal{B}}_\gamma + \zeta_{\xi\gamma}^* \tilde{\mathcal{B}}_\gamma^\dagger)$$

... satisfy the correct algebra
for **any** vacuum state in $\tilde{\mathcal{M}}$.

(up to exponentially suppressed corrections)

Sufficient? → No

Hard modes (a falling object) may be entangled with soft modes.

$$|\Psi(t_*)\rangle = \sum_{A=1}^{S_{\text{tot}}} \sum_I d_{AI}(t_*) |\Psi_{A,I}(M)\rangle \xrightarrow{\text{Schmidt decomposition}} |\Psi(t_*)\rangle = \sum_{I=1}^{\mathcal{K}} g_I |\Psi_{A(I),I}(M)\rangle$$

where $\mathcal{K} \leq S_{\text{exc}} < S_{\text{bh}}(M) + S_{\text{rad}}$

↓ One can always choose

$$\tilde{\mathcal{M}} \ni \tilde{V}[|\Psi(t_*)\rangle]$$

where $\tilde{V}[|\Psi(t_*)\rangle] = \text{span}(\{|\Psi_{A(I),0}(M)\rangle\})$

Global promotion

$$\mathcal{M} = \left\{ \sum_{A=1}^{e^{S_{\text{tot}}}} a_A |\Psi_{A,0}(M)\rangle \mid a_A \in \mathbb{C}, \sum_{A=1}^{e^{S_{\text{tot}}}} |a_A|^2 = 1 \right\} \quad \dots \text{space of vacuum microstates}$$

$$\tilde{\mathcal{M}} = \left\{ \sum_{A'=1}^{e^{S_{\text{eff}}}} a_{A'} |\Psi_{A',0}(M)\rangle \mid a_{A'} \in \mathbb{C}, \sum_{A'=1}^{e^{S_{\text{eff}}}} |a_{A'}|^2 = 1 \right\} \quad \dots \text{subspace of } \mathcal{M} \text{ with } S_{\text{eff}} < S_{\text{bh}}(M) + S_{\text{rad}}$$

Globally promoted operators:

$$\tilde{\mathcal{B}}_\gamma = \sum_{A'=1}^{e^{S_{\text{eff}}}} \tilde{b}_\gamma^{A'}, \quad \tilde{\mathcal{B}}_\gamma^\dagger = \sum_{A'=1}^{e^{S_{\text{eff}}}} \tilde{b}_\gamma^{A'\dagger}$$

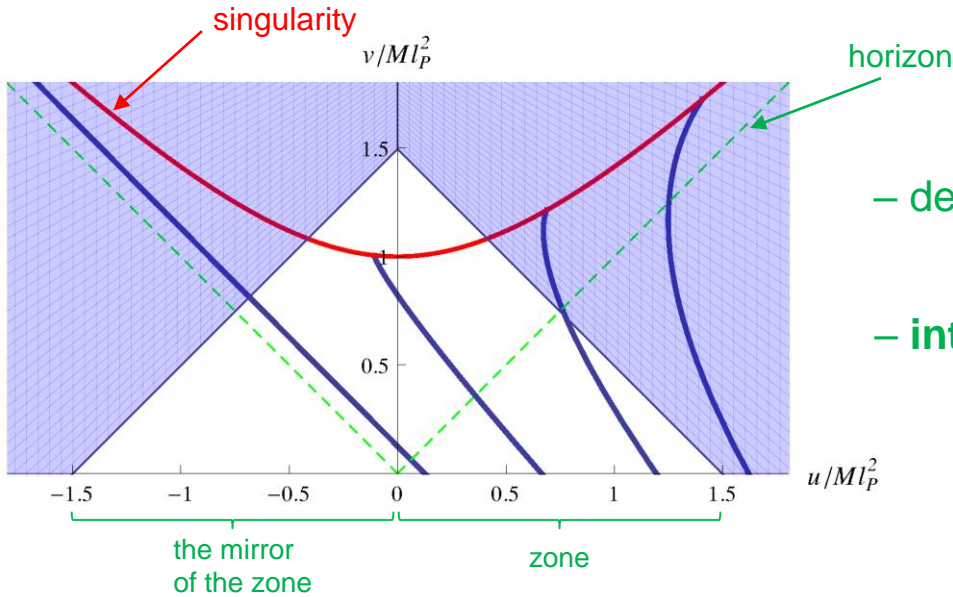
$$\begin{aligned} \mathcal{A}_\xi &= \sum_\gamma (\alpha_{\xi\gamma} b_\gamma + \beta_{\xi\gamma} b_\gamma^\dagger + \zeta_{\xi\gamma} \tilde{\mathcal{B}}_\gamma + \eta_{\xi\gamma} \tilde{\mathcal{B}}_\gamma^\dagger) \\ \mathcal{A}_\xi^\dagger &= \sum_\gamma (\beta_{\xi\gamma}^* b_\gamma + \alpha_{\xi\gamma}^* b_\gamma^\dagger + \eta_{\xi\gamma}^* \tilde{\mathcal{B}}_\gamma + \zeta_{\xi\gamma}^* \tilde{\mathcal{B}}_\gamma^\dagger) \end{aligned}$$

... satisfy the correct algebra for any vacuum state in $\tilde{\mathcal{M}}$.

(up to exponentially suppressed corrections)

Effective theory of the interior

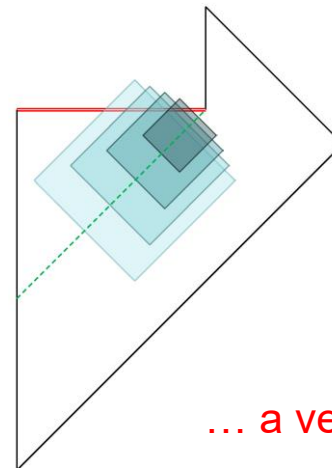
... erected at each time t (as measured in the asymptotic region)



- describes only a **limited** region of spacetime (causal region of the zone and its mirror at t)
- **intrinsically semiclassical** (coarse-grained; the unique infalling vacuum)

→ no cloning problem!

Describing a large interior region requires **multiple** effective theories erected at different times.



... a version of complementarity

Comments

– Intrinsic ambiguity

Infalling mode operators are not strictly orthogonal to $\tilde{\mathcal{M}}$.

⇒ ambiguity of the procedure of erecting the effective theory of order $\epsilon = \max \left\{ \frac{e^{S_{\text{eff}}}}{e^{S_{\text{bh}}(M)+S_{\text{rad}}}}, \frac{1}{e^{\frac{1}{2}\{S_{\text{bh}}(M)+S_{\text{rad}}\}}} \right\}$

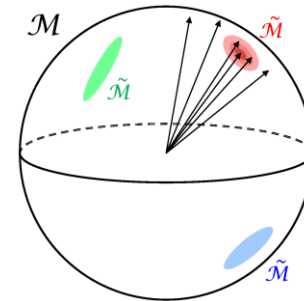
... manifestation of the fact that the theory has only a finite # of d.o.f.s.

– State dependence

$\tilde{\mathcal{M}}$, of dimension $e^{S_{\text{eff}}}$, can be taken large,
 $S_{\text{eff}} = c \{S_{\text{bh}}(M) + S_{\text{rad}}\}$ for any $c (< 1)$
 unless c is exponentially close to 1.

⇒ A single set of global operators cannot cover the entire \mathcal{M} .

... state dependence



Papadodimas, Raju ('13-'15)

– Young black holes

For a young BH, $S_{\text{bh}} > S_{\text{rad}}$, infalling operators can be taken to act **only on soft modes**, using the Petz map.

This option is **not** available for an old BH.
 → **must involve early radiation.**

$$\tilde{\mathcal{O}}^A[\mathcal{O}] = \sum_{\kappa} \sum_{\lambda} \mathcal{O}_{\kappa\lambda} \alpha_{\kappa}^A \alpha_{\lambda}^{A*} \sum_{i_{\kappa}, i'_{\kappa}=1}^{e^{S_{\text{bh}}(M-E_{\kappa})}} \sum_{j_{\lambda}, j'_{\lambda}=1}^{e^{S_{\text{bh}}(M-E_{\lambda})}} \sum_{a=1}^{e^{S_{\text{rad}}}} X_{i'_{\kappa} i_{\kappa}}^{(\kappa, A)} c_{\kappa i_{\kappa} a}^A c_{\lambda j_{\lambda} a}^{A*} X_{j_{\lambda} j'_{\lambda}}^{(\lambda, A)} |\psi_{i'_{\kappa}}^{(\kappa)}\rangle \langle \psi_{j'_{\lambda}}^{(\lambda)}| \left(X_{i_{\kappa} j_{\kappa}}^{(\kappa, A)} = e^{-\frac{1}{2} S_{\text{rad}}} \sum_{a=1}^{e^{S_{\text{rad}}}} \frac{c_{\kappa i_{\kappa} a}^A c_{\kappa j_{\kappa} a}^{A*}}{\left| \alpha_{\kappa}^A \sum_{k_{\kappa}=1}^{e^{S_{\text{bh}}(M-E_{\kappa})}} c_{\kappa k_{\kappa} a}^{A*} c_{\kappa k_{\kappa} a}^A \right|^2} \right)$$

Summary

— unitary gauge construction —

Distant description
(manifestly **unitary**)

Collective phenomena

Interior spacetime
(effective emergence)

— It is crucial for the string dynamics, $T_{\text{loc}} \sim M_{\text{string}}$,
to lead to “ultimate” (universal) thermalization.

... the defining characteristic for BHs in this description

(→ A similar construction does **not** work for the surface of regular material.)

— Emergence of the interior does not require
detailed knowledge about the UV physics.

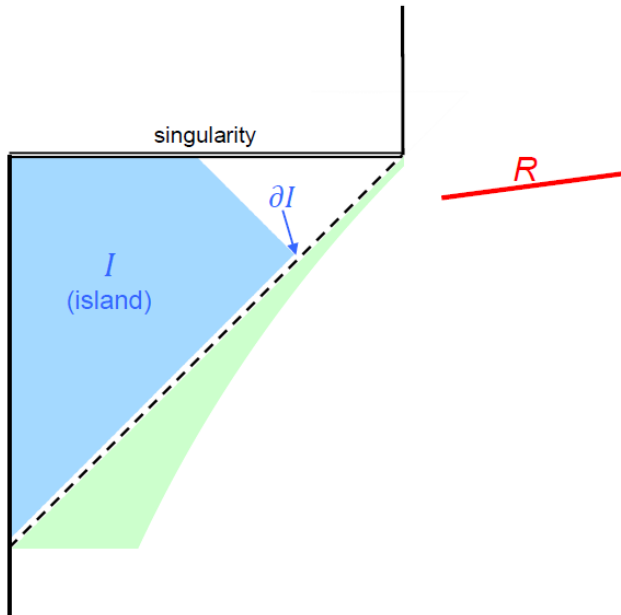
... **Some basic features** (quantum chaos, fast scrambling, universality) **are sufficient.**

Relation between the Two Pictures

— canonical vs path-integral views —

Reconstructing the interior of an old BH

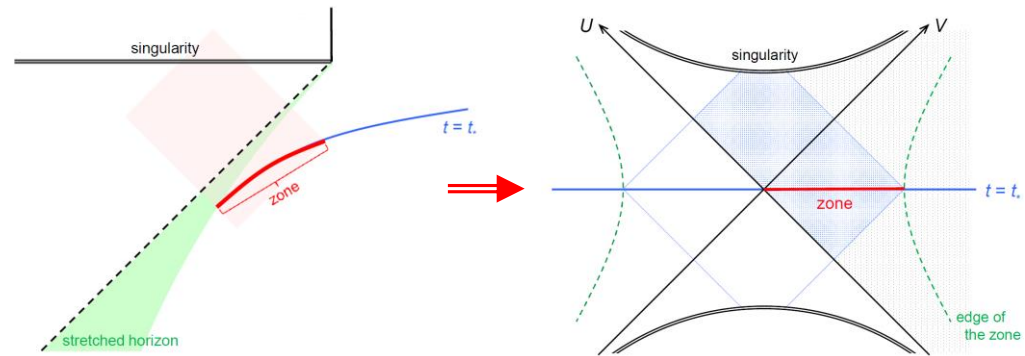
- Entanglement wedge reconstruction



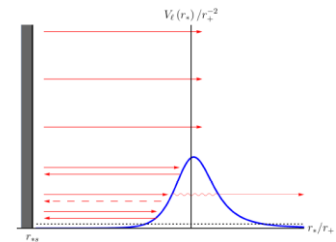
Operators acting **only** on radiation can represent the interior.

- Effective theory of the interior

$$|\Psi_{\text{BH}}\rangle \propto \sum_i e^{-\frac{E_i}{2T_H}} |Z_i\rangle |(H + R)_i\rangle$$



For an evaporating BH, ingoing modes are not thermalized.

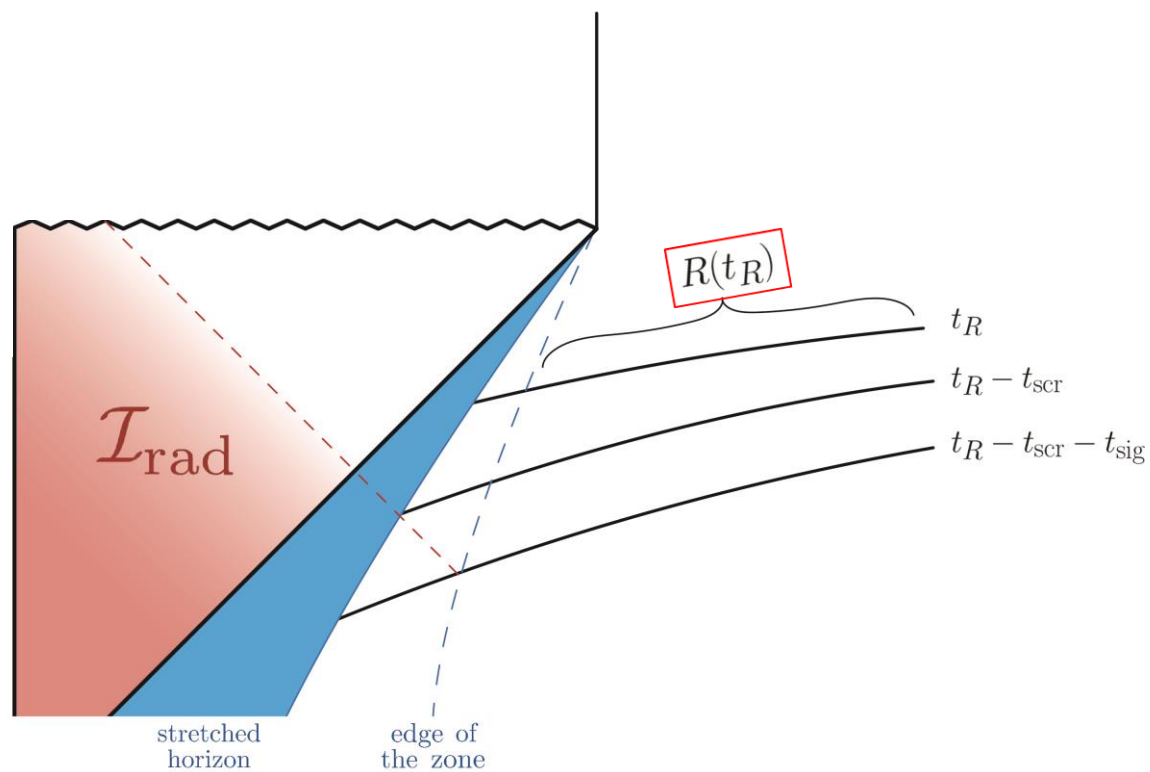


Operators representing the interior must involve **both** the horizon **and** radiation modes.

Spacetime vs State

Langhoff, Y.N. ('20); Murdia, Y.N., Ritchie ('22)

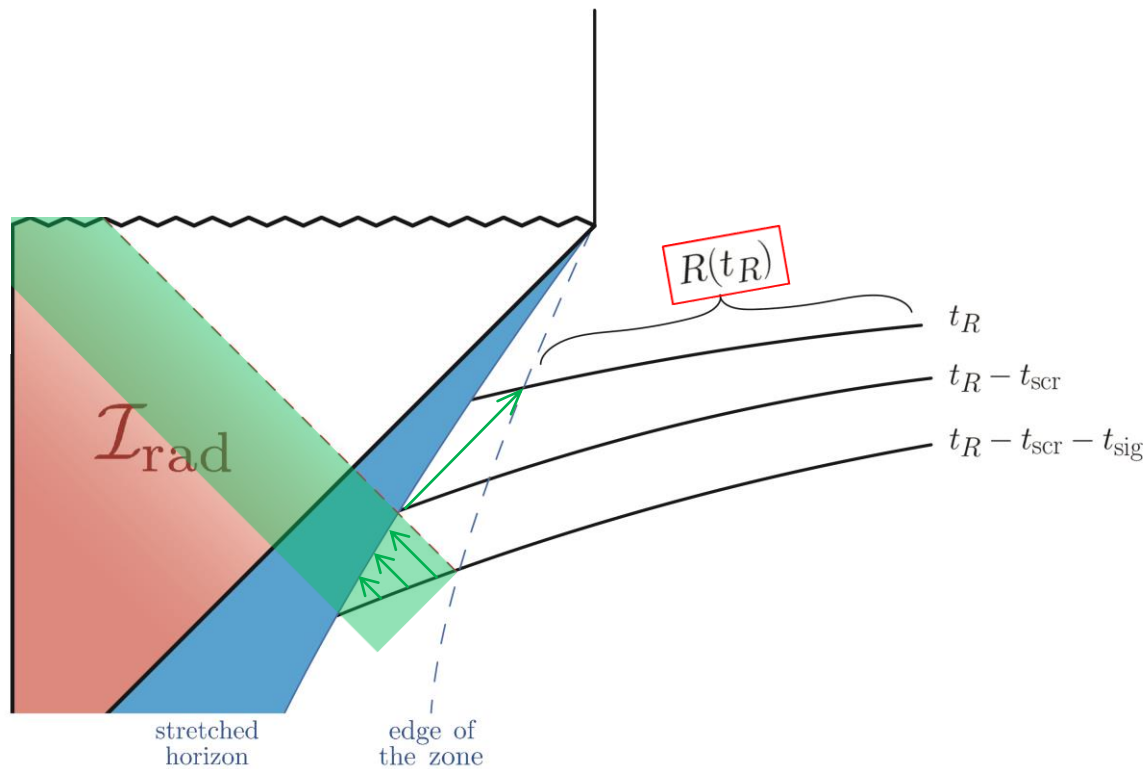
Entanglement wedge reconstruction assumes
we know the “boundary” time evolution.



Spacetime vs State

Langhoff, Y.N. ('20); Murdia, Y.N., Ritchie ('22)

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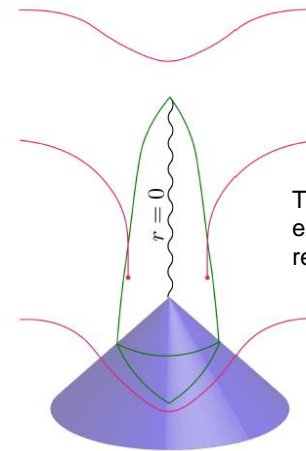
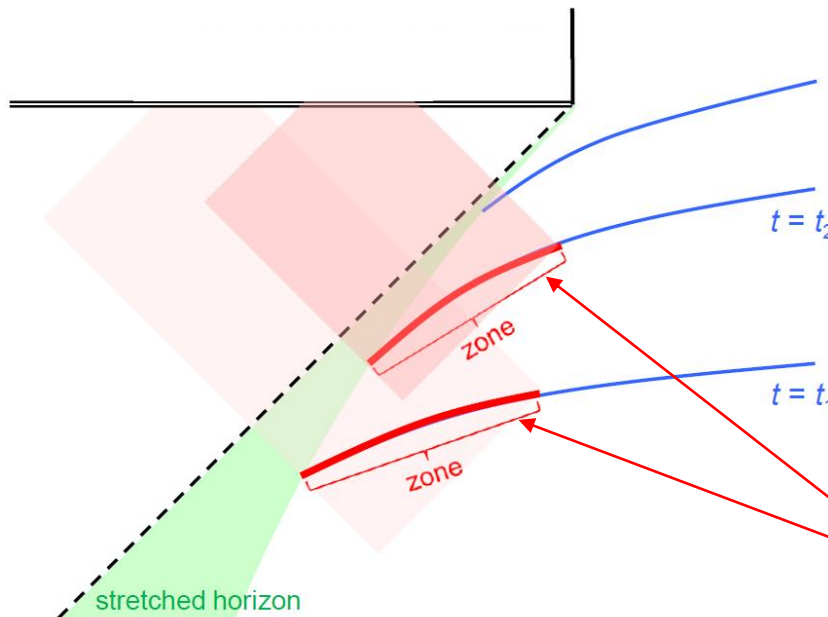
Effective theory construction uses
an **instantaneous state** to construct (the portion of) the interior.

Spacetime vs State

Effective theories of the interior:

... representing the portion of the interior reconstructable from the state **at a given time** by simple operations

(state in the Schrödinger picture)



The boundary time can be extended into the bulk by a certain renormalization procedure.

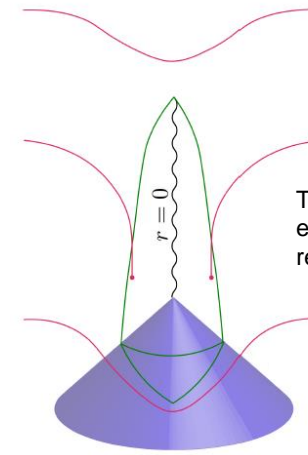
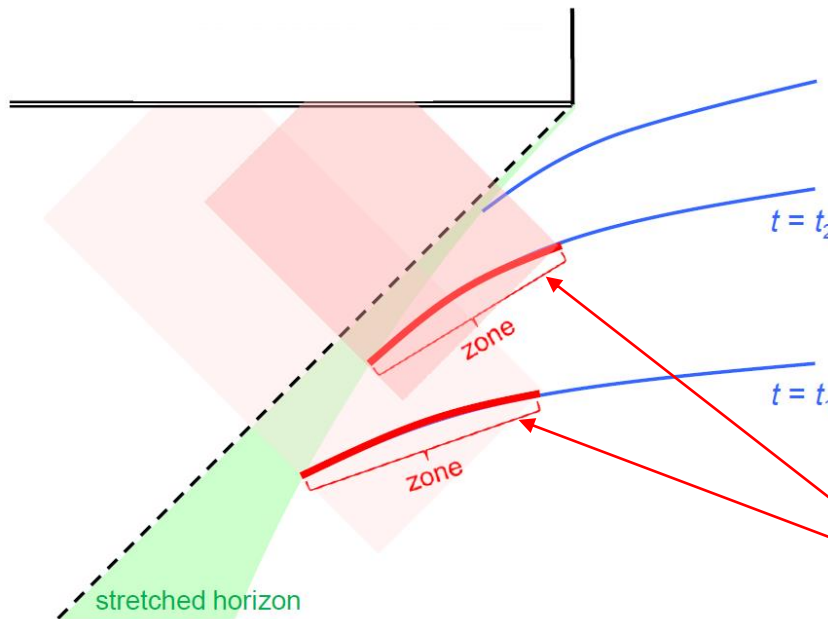
need anchor (zone) modes to interpret the horizon/radiation modes as constituents of the interior modes

Spacetime vs State

Effective theories of the interior:

... representing the portion of the interior reconstructable from the state **at a given time** by simple operations

(state in the Schrödinger picture)



The boundary time can be extended into the bulk by a certain renormalization procedure.

need anchor (zone) modes to interpret the horizon/radiation modes as constituents of the interior modes

As time passes, the information in the horizon/radiation modes become inaccessible at the semiclassical level because of their complexity generated by the horizon dynamics.

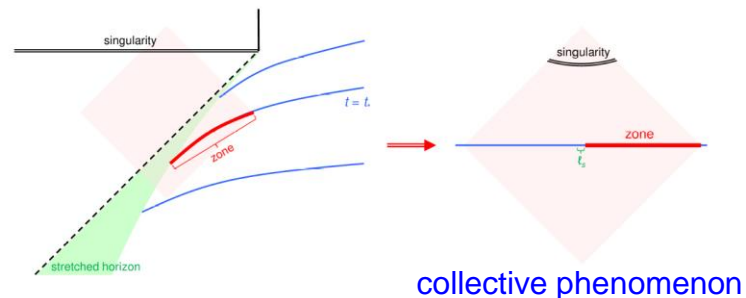
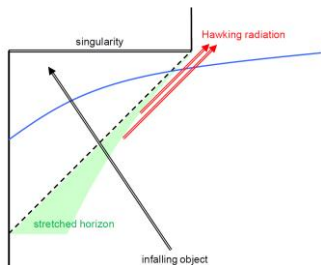
(Entanglement wedge reconstruction describes these “at once.”)

Global spacetime

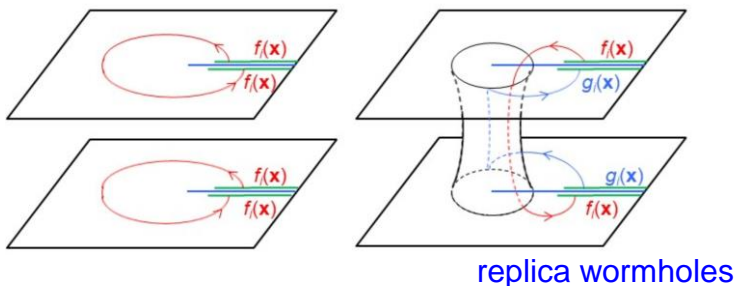
Unitary / holographic

- Interior

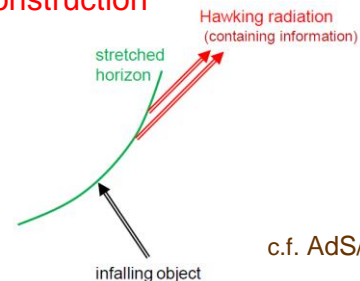
Evident



- Unitarity



By construction



c.f. AdS/CFT

- Apparent violation of BH entropy

huge interior spatial volume at late times

semiclassically orthogonal states
in fact have $\langle \Psi_1 | \Psi_2 \rangle \sim e^{-S_{\text{BH}}/2}$
→ $e^{S_{\text{BH}}}$ states (+ null states)

Effective theory of the interior has a finite maximal volume.

Hilbert space of dimension $e^{S_{\text{BH}}}$ can host $e^{S_{\text{BH}}}$ approximately orthogonal states.

- Ensemble nature

Wormhole contributions

→ "statistical" results

$$\langle \psi_I | \psi_J \rangle = 0, |\langle \psi_I | \psi_J \rangle|^2 \sim e^{-S_{\text{BH}}/2} \neq 0$$

← Wormholes calculate (incoherent) average

Averaging over horizon modes

$$\int dU_{\text{soft}} \langle \psi_{U(i)} | \psi_{U(j)} \rangle = 0,$$

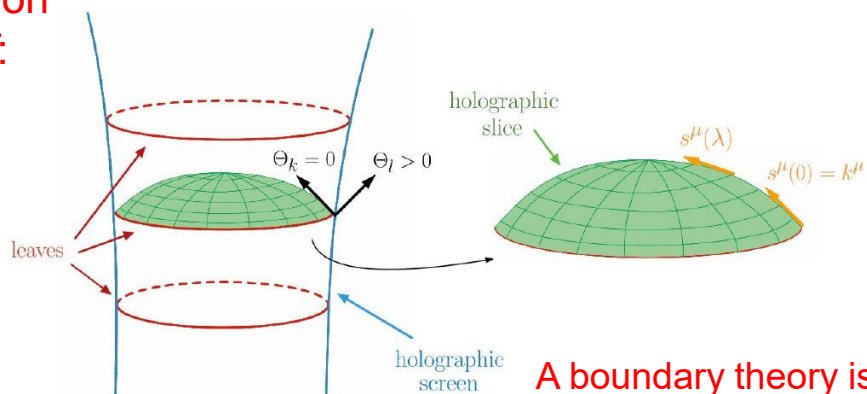
$$\int dU_{\text{soft}} |\langle \psi_{U(i)} | \psi_{U(j)} \rangle|^2 \sim e^{-S_{\text{BH}}/2}$$

Beyond Black Holes

— de Sitter spacetime and others —

A similar construction for de Sitter spacetime

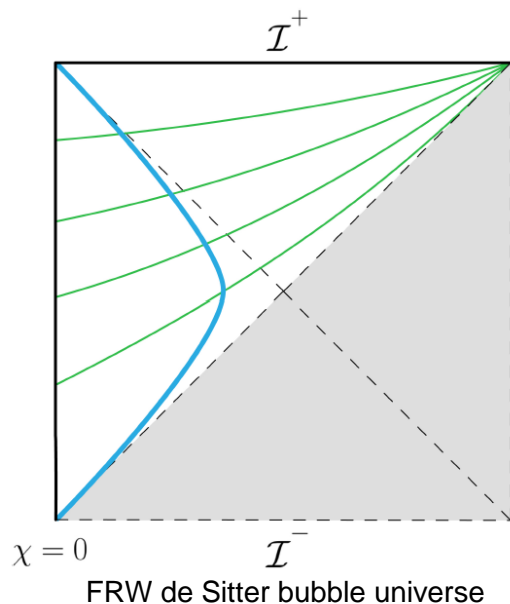
A generalization
of AdS/CFT:



A boundary theory is located on the “holographic screen.”

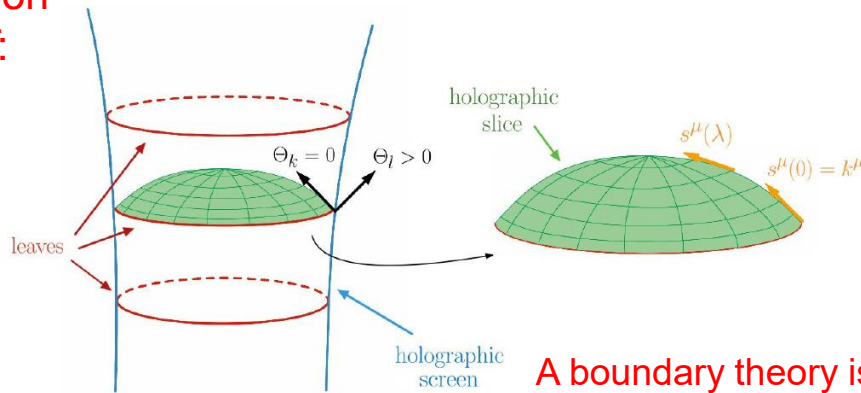
Y.N., Salzetta, Sanches, Weinberg ('16)

→ apply to regularized/“realistic”
de Sitter spacetime



A similar construction for de Sitter spacetime

A generalization of AdS/CFT:

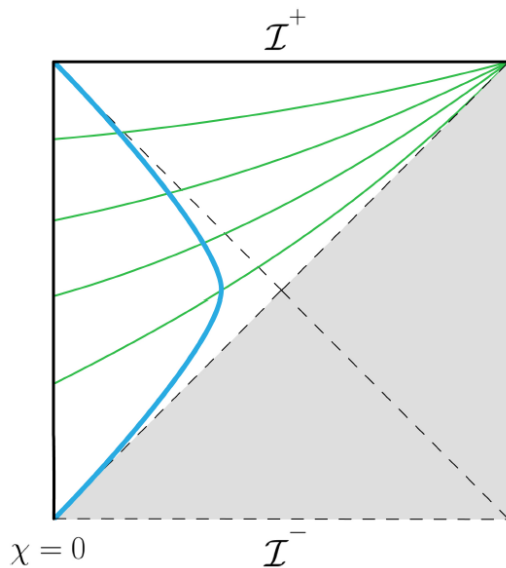


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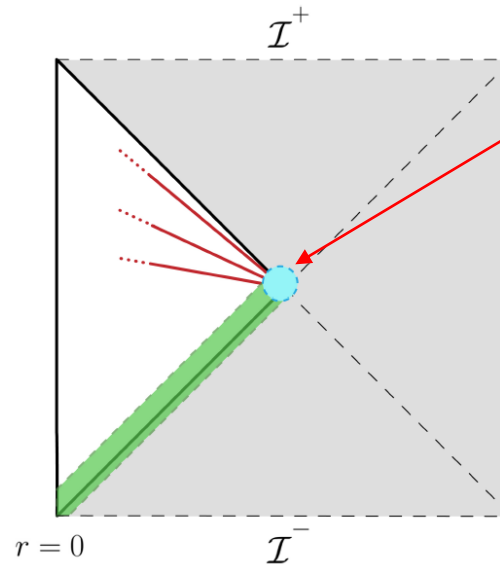
Y.N., Salzetta, Sanches, Weinberg ('16)

→ apply to regularized/“realistic” de Sitter spacetime

de Sitter holography



FRW de Sitter bubble universe



The holographic theory is on the **regularized/stretched horizon.**

... inside-out version of the black hole case

Y.N. ('11); Sanches, Weinberg ('16);
Y.N., Rath, Salzetta ('17); Y.N. ('19);
...
cf. Shaghoulian, Susskind ('21, '22)

Reconstructing spacetime outside the dS horizon

$$|\Psi_{\text{dS}}\rangle \propto \sum_i e^{-\frac{E_i}{2T_H}} |S_i\rangle |H_i\rangle \dots \text{play the role of the modes in the other hemisphere}$$

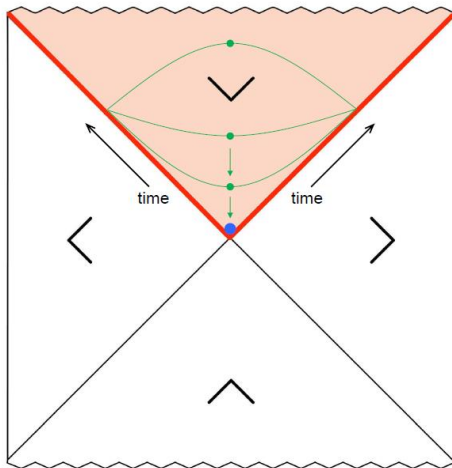
States of modes in the static patch
↓
Coarse-grained horizon mode states (representing their collective excitations)
↑

... emergence of spacetime beyond the horizon from horizon d.o.f.s
(applicable for Rindler regions in general)

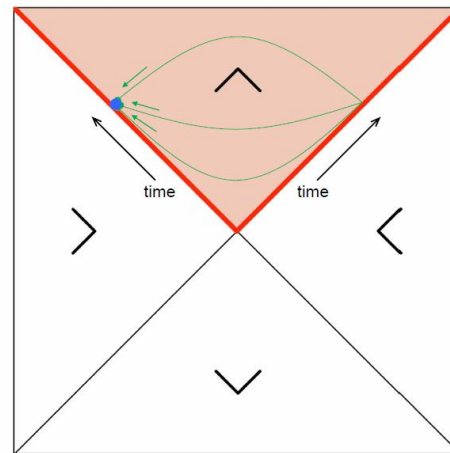
Relation to the Shaghoulian-Susskind proposal

... effective description extracting semiclassical d.o.f.s “outside” the horizon

Black hole:



dS space:



Summary

Black hole conundrum



Structure of quantum gravity

- ⊃ Quantum mechanics & General relativity, but in a subtle manner!
... only one of them being manifest

Global spacetime

- interior — evident
- unitarity — nonperturbative gravity
... path integral (GR friendly)

Unitary/holographic

- unitarity — by construction
- interior — collective phenomenon
... operator (QM friendly)

⇒ Lower energy physics without details of microscopic physics

And yet, we want to understand the microscopic theory of quantum gravity
... string theory, quantum information science, ...