



# Impurity Driven Metal-Insulator Transitions in Holography

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February 20, 2023

Based on the arXiv:2302.07539 with Kyung Kiu Kim,  
Sang-Jin Sin, Keun-Young Kim and Yongjun Ahn

# Introduction





## ■ Metal

- Freely moving electrons
- Described by Drude model: conventional metal
- Bad metal :  $\rho \sim T$
- Resistivity increases as temperature increased

## ■ Insulator

- No freely moving electrons
- Strong electron-electron interaction: Mott
- Strong electron-disorder interaction: Anderson
- Resistivity decreases as temperature increased

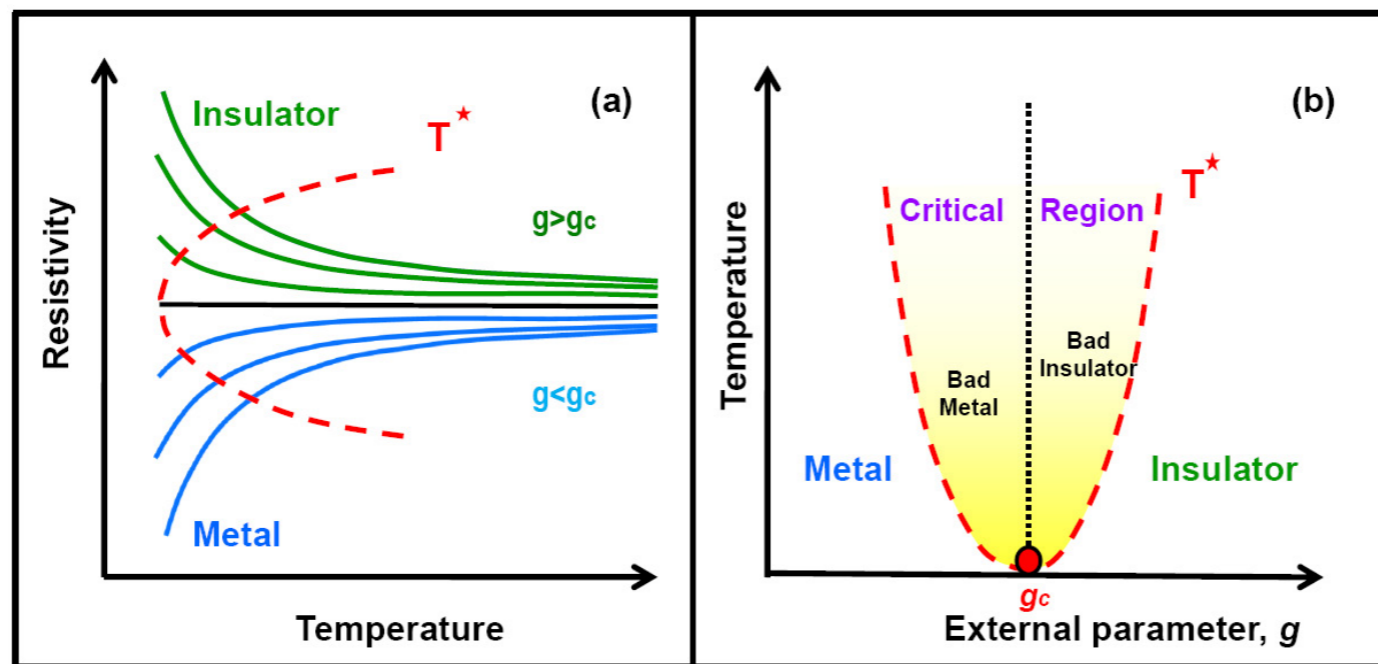


# Introduction



## ■ Metal-Insulator Transition(MIT)

- The MIT is one of the oldest, not yet understood well in condensed matter physics
- MIT can capture properties of quantum critical point
- It is very hard to describe different excitations in one model



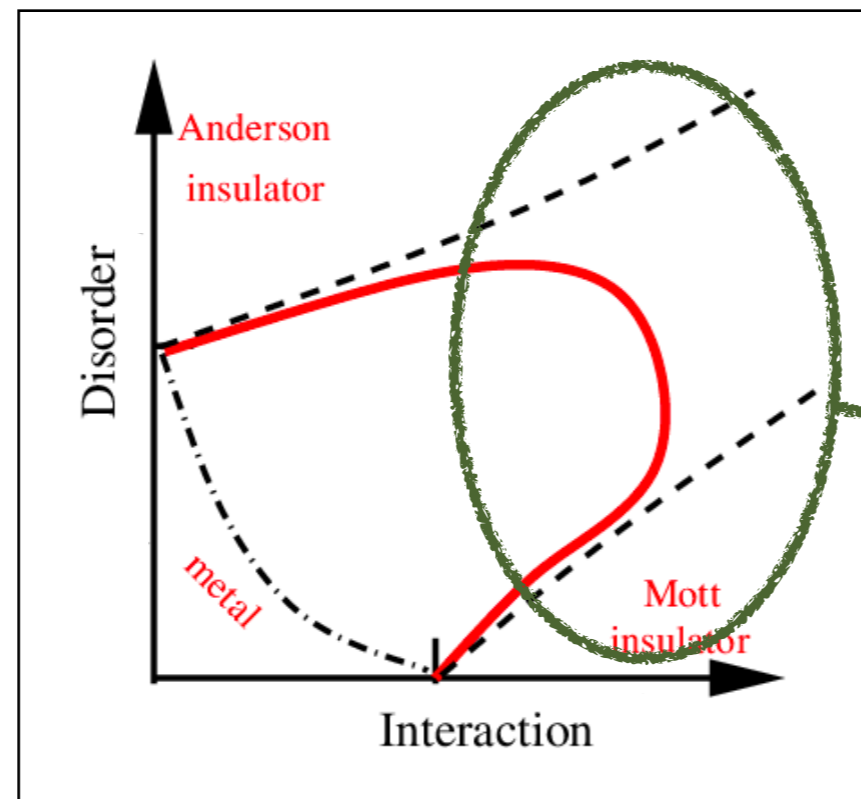
1112.6166: Dovrosavljevic

# Introduction



## ■ Insulating mechanism

- Interaction induced insulator: Mott insulator
- Impurity(or disorder) induced insulator: Anderson insulator



Holography?

Byczuk et al, IJMPB(2010)

## ■ Holography(gauge/gravity duality)

- AdS/CFT: Strongly interacting gauge theory in d-dimension can be described by weakly interacting gravity theory in d+1-dimension
- Boundary system  $\leftrightarrow$  Bulk gravity
- Strongly interacting electron  $\leftrightarrow$  background geometry
- Temperature  $\leftrightarrow$  Hawking temperature of black hole
- Conserved charge  $\leftrightarrow$  U(1) gauge field
- Momentum relaxation  $\leftrightarrow$  linear axion field
- Operator  $\mathcal{O}_\Delta \leftrightarrow$  field  $\phi_m$
- ...

## ■ Holography(gauge/gravity duality)

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- ...

## ■ MIT in holography

- Helical lattice(Donos, Hartnoll: Nature, 2013)
- Scalar potential(Refford, Horowitz: PRD, 2014)
- In massive gravity(Baggioli: PRL, 2015)
- ...



# Background Geometry



## ■ Action in 3+1 dim.

$$S_{tot} = S_0 + S_{int} + S_{bd},$$

$$S_0 = \int d^4x \sqrt{-g} \left( R - 2\Lambda - \frac{1}{2} (\partial\chi)^2 - \frac{1}{4} F^2 - \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m^2 \phi^2 \right)$$

# Background Geometry



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Electron interactions

# Background Geometry



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Momentum relaxation

$$\text{E.O.M: } \nabla^2 \chi = 0$$

$$\text{Solution: } (\chi_1, \chi_2) = (\beta x, \beta y)$$

Ward identities:

$$\nabla^\nu \langle T_{\mu\nu} \rangle = \langle \mathcal{O}_I \rangle \nabla_\mu \chi_I^{(0)} + F_{\mu\nu}^{(0)} \langle J^\nu \rangle$$

# Background Geometry



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Finite density

$$\text{E.O.M: } \nabla_\mu F^{\mu\nu} = 0$$

$$\text{Conserved charge: } \sqrt{-g} F^{rt} = Q$$

Chemical potential:

$$A_t \sim \mu + \frac{Q}{r} + \dots$$

# Background Geometry



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$$S_{tot} = S_0 + S_{int} + S_{bd},$$

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Order parameter

$$\text{Asymptotic expansion: } \phi \sim \frac{C_1}{r^{\Delta_+}} + \frac{C_2}{r^{\Delta_-}} + \dots$$

$$\text{Pure } AdS_{d+1}: \Delta_{\pm} = \frac{3}{2} \pm \sqrt{m^2 + \frac{d^2}{4}}$$

$$\text{Breitenlohner-Freedman (BF) bound: } m_{BF}^2 \geq \frac{d^2}{4}$$

# Background Geometry



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Order parameter

$$m^2 = -2$$

BF bound

$$m^2 > -\frac{d^2}{4L^2} \quad \text{in } AdS_{d+1}$$

$AdS_4$

$$m_{BF} = -\frac{9}{4}$$

$r = \infty$

$AdS_2$

$$m_{BF} = -\frac{1}{4}$$

$r = r_H$

# Background Geometry



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Electron interactions

Momentum relaxation

Finite density

Order parameter

# Background Geometry



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$$S_{int} = - \int \sqrt{-g} \frac{\gamma_2}{4} \phi^2 F^2. \quad \gamma_2 = -0.2 < 0$$



# Background Geometry

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## ■ Equations of motion

$$R_{MN} - \frac{1}{2} g_{MN} \mathcal{L} - \frac{1}{2} \partial_M \phi \partial_N \phi - \frac{1}{2} \partial_M \chi \partial_N \chi - \frac{1}{2} (1 + \gamma_2 \phi^2) F_{MP} F_M^P = 0$$

$$\nabla^2 \phi - \left( m^2 + \frac{1}{2} \gamma_2 F^2 \right) \phi = 0$$

$$\nabla_M (1 + \gamma_2 \phi^2) F^{MN} = 0,$$

## ■ Ansatz

$$ds^2 = -U(r) e^{2W(r) - 2W(\infty)} dt^2 + \frac{dr^2}{U(r)} + r^2 (dx^2 + dy^2)$$

$$\chi^I = (\beta x, \beta y), \quad \phi = \phi(r), \quad A = A_t(r) dt.$$

## ■ Thermodynamic variables

$$\text{Temperature : } T = \frac{U'(r_h)}{4\pi}$$

$$\text{Entropy density : } s = 4\pi r_h^2$$

$$\text{Charge density : } Q = (1 + \gamma_2 \phi^2) F^{rt}$$

$$\text{Chemical potential : } \mu = A_t(\infty)$$

## ■ Boundary behavior of scalar field

$$\phi(r)|_{r \rightarrow \infty} \sim \phi_\infty + \frac{\langle \mathcal{O} \rangle}{r} + \dots$$

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Spontaneous symmetry breaking

# Background Geometry



## ■ Equations of motion

$$R_{MN} - \frac{1}{2}g_{MN}\mathcal{L} - \frac{1}{2}\partial_M\phi\partial_N\phi - \frac{1}{2}\partial_M\chi\partial_N\chi - \frac{1}{2}(1 + \gamma_2\phi^2)F_{MP}F_M^P = 0$$

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## ■ Conserved charge

$$Q \equiv \frac{\sqrt{-g}}{L}(1 + \gamma_2\phi^2)F^{tr}$$

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## ■ Conserved charge

$$Q \equiv \frac{\sqrt{-g}}{L}(1 + \gamma_2\phi^2)F^{tr}$$

## ■ Independent equations

$$w' - \frac{1}{4}r\varphi'^2 = 0$$

$$\varphi'' + \left(\frac{1}{r} - \frac{2\beta^2 r^2 + \frac{Q^2}{\gamma_2\varphi^2+1} - 2(\varphi^2+6)r^4}{4U r^3}\right)\varphi' + \frac{\gamma_2 Q^2 \varphi}{U r^4 (\gamma_2\varphi^2+1)^2} + \frac{2\varphi}{U} = 0$$

$$U' + \frac{1}{4}U r\varphi'^2 + \frac{\beta^2 + 2U - (\varphi^2+6)r^2}{2r} + \frac{Q^2}{4r^3(\gamma_2\varphi^2+1)} = 0,$$

$$U'(1) = \frac{6 + \varphi(1)^2 - \beta^2}{2} - \frac{Q^2}{4(1 + \gamma_2\varphi(1)^2)}$$

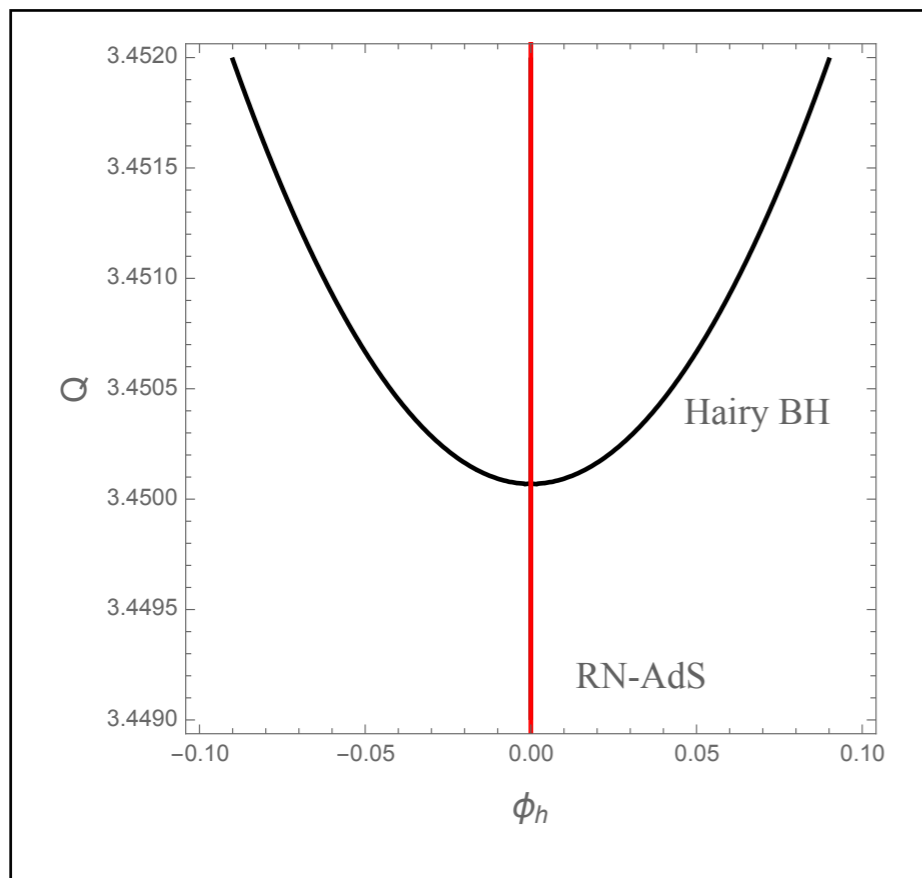
$$\varphi'(1) = \frac{4\varphi(1)(\gamma_2 Q^2 + 2(\gamma_2\varphi(1)^2 + 1)^2)}{(\gamma_2\varphi(1)^2 + 1)(Q^2 + 2(\beta^2 - \varphi(1)^2 - 6)(\gamma_2\varphi(1)^2 + 1))}$$

# Background Geometry



## ■ Background Solution

- Without scalar condensation( $\phi = 0$ ): RN-AdS black hole
- With a scalar condensation( $\phi \neq 0$ ): Hairy black hole



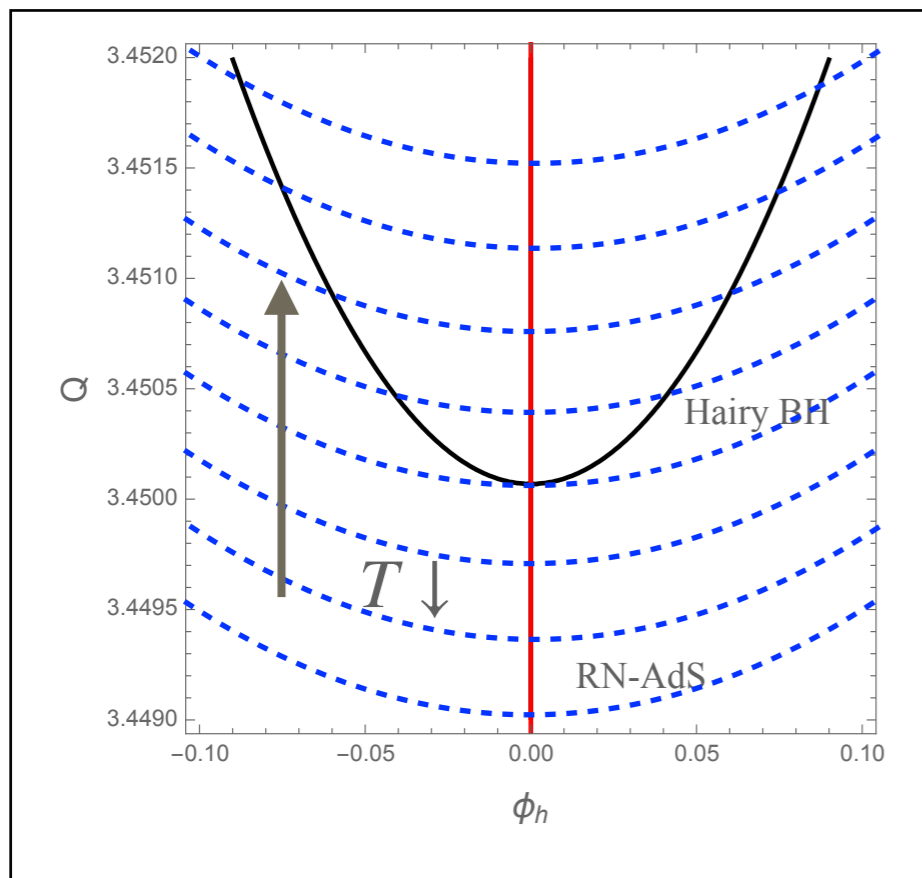
Source free condition

# Background Geometry

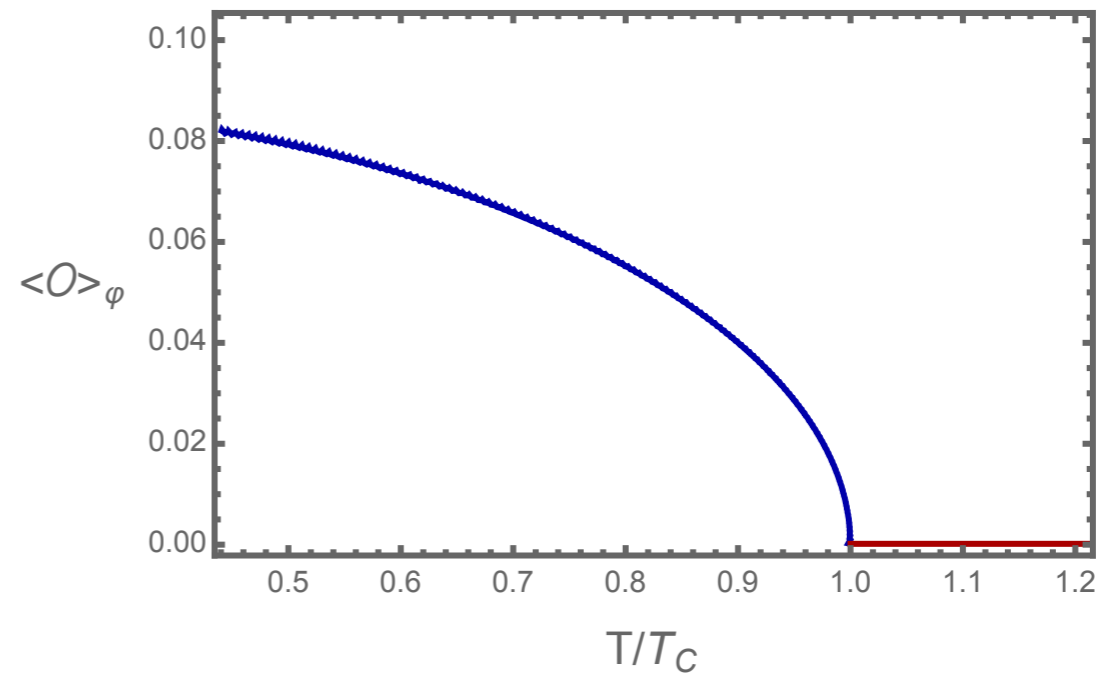


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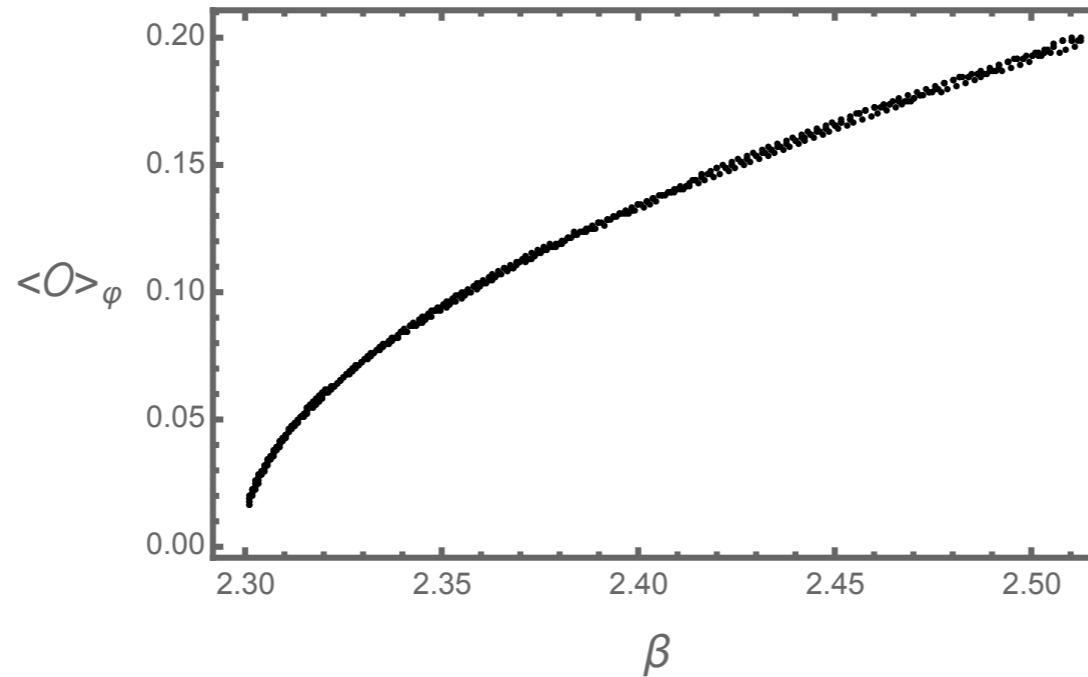
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## ■ Impurity effect on the scalar condensation

- Impurity enhances scalar condensation through gravity(electron interaction)



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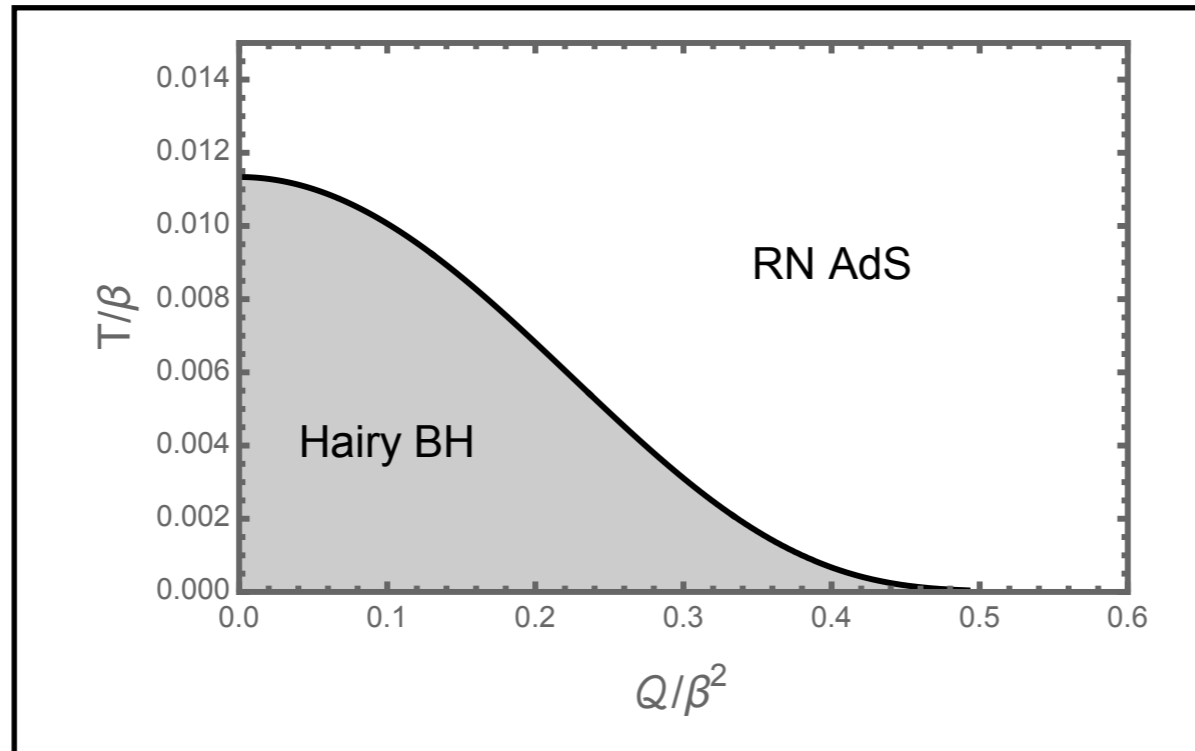
$$\nabla^2 \phi - \left( m^2 + \frac{1}{2} \gamma_2 F^2 \right) \phi = 0$$

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# Background Geometry



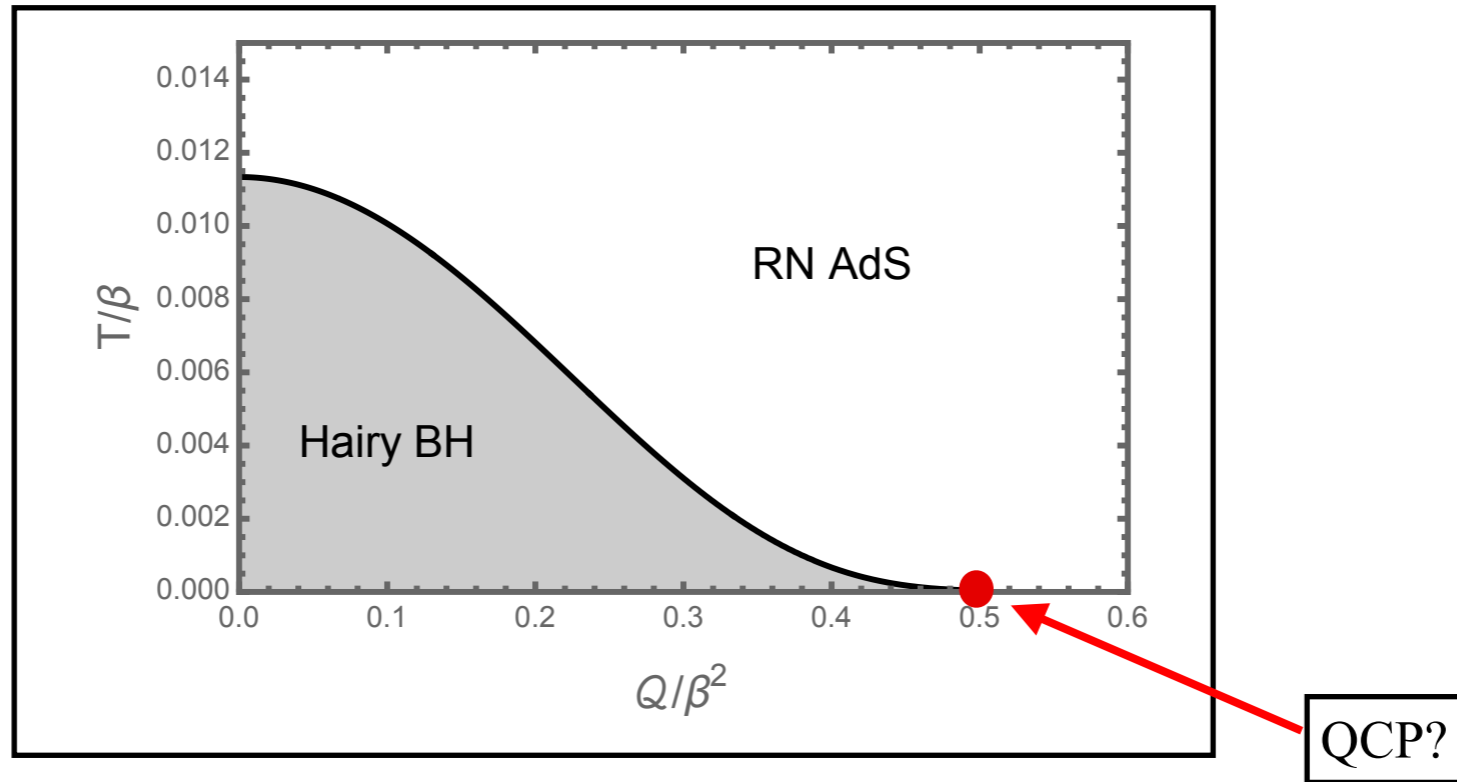
## ■ Phase diagram



# Background Geometry



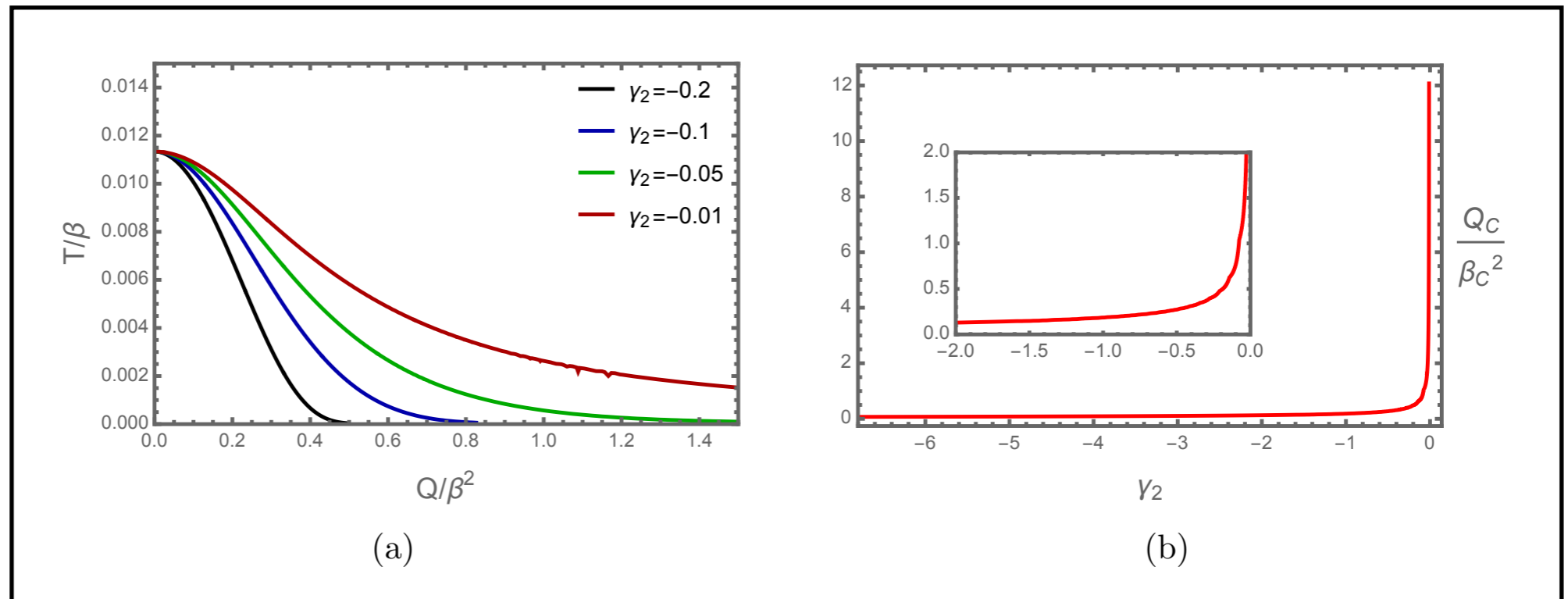
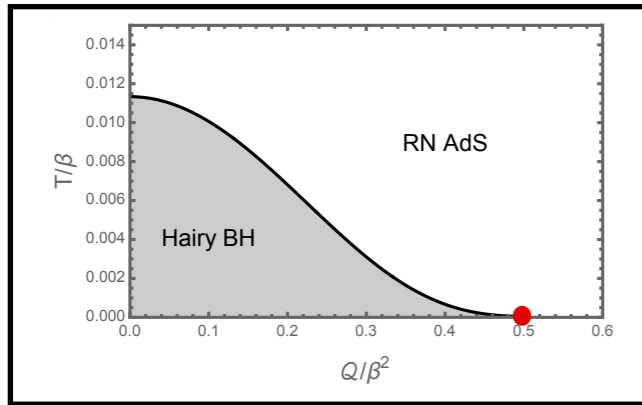
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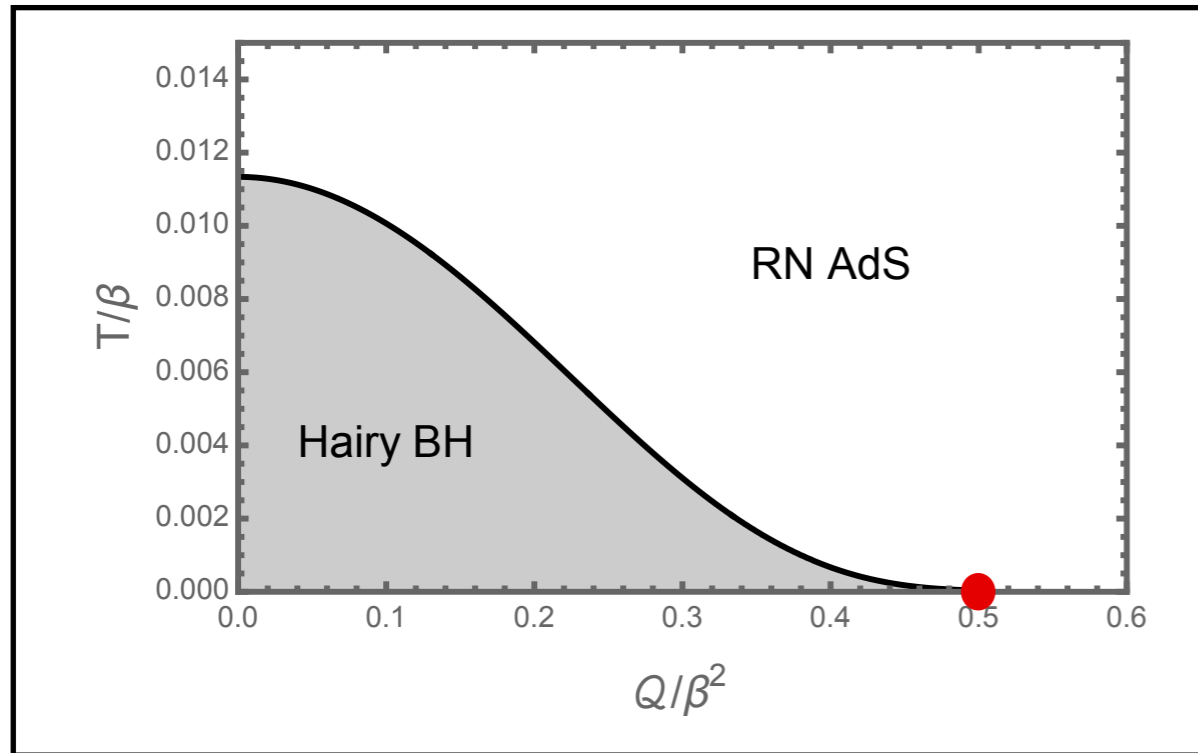
# Background Geometry



## ■ $\gamma_2$ dependence of Quantum critical point



## ■ Quantum phase transition



$$S_{tot} = S_0 + S_{int} + S_{bd},$$

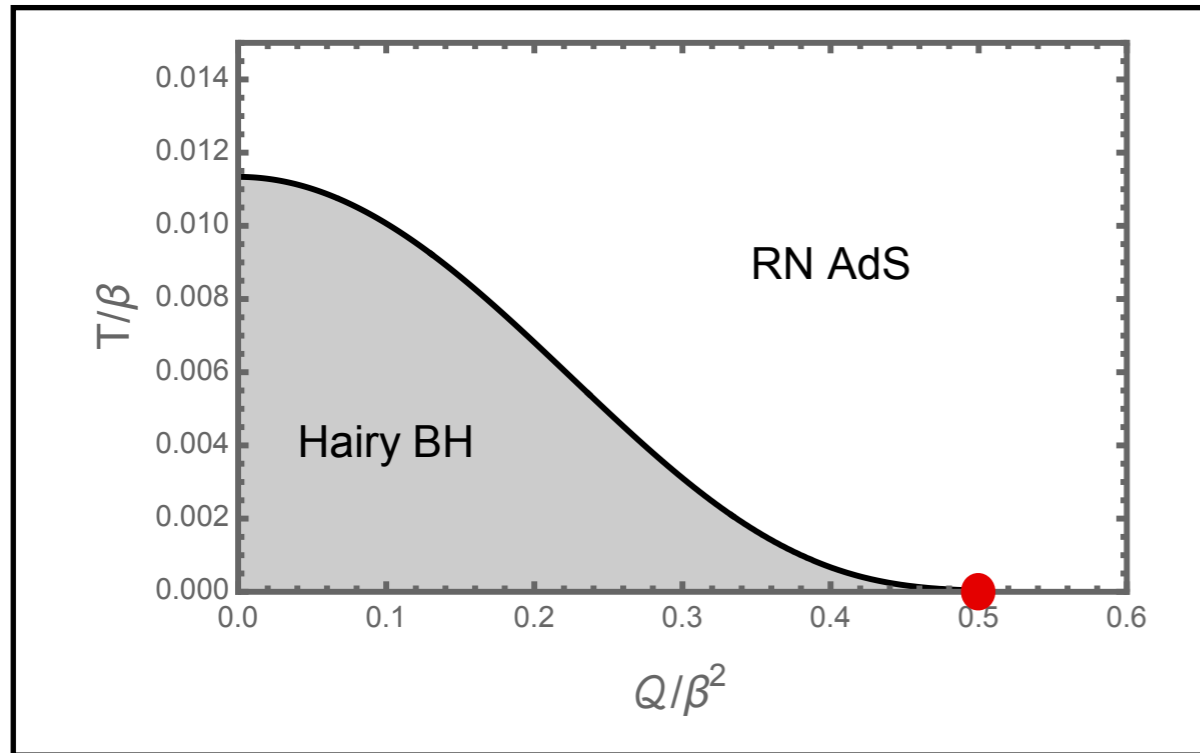
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# Background Geometry



## ■ Quantum phase transition

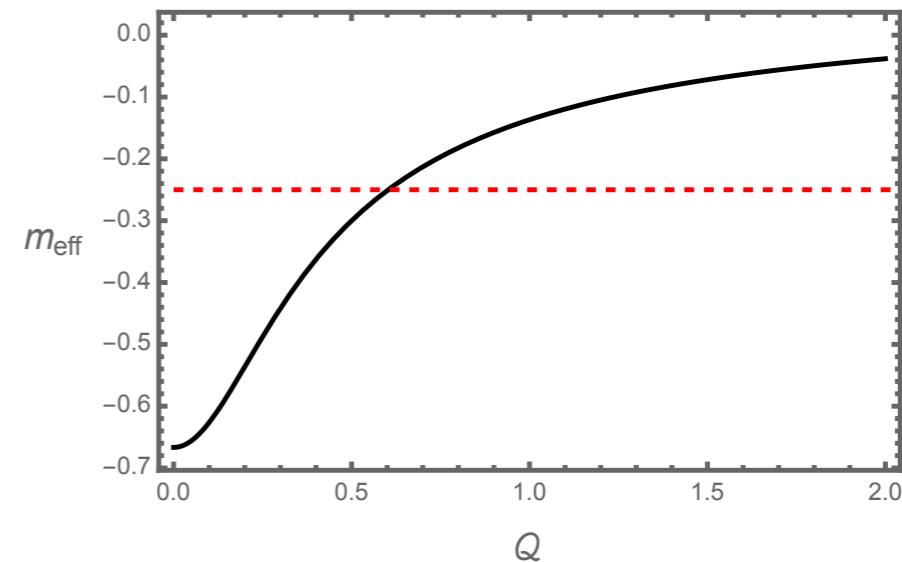


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$$S_{int} = - \int \sqrt{-g} \frac{\gamma_2}{4} \phi^2 F^2.$$

$$m_{\text{eff}}^2 = \left( m^2 + \frac{1}{2} \gamma_2 F^2 \right)_{r=r_h} = m^2 - \gamma_2 \frac{Q^2 L^6}{r_h^4}$$



## ■ Fluctuation around background solution

$$\delta G_{ti} = -t U(r) \zeta_i + \delta g_{ti}(r)$$

$$\delta G_{ri} = r^2 \delta g_{ri}$$

$$\delta A_i = t(-E_i + \zeta_i a(r)) + \delta a_i(r)$$

$$\delta A_i(r) \sim -E_i t + \frac{J^i}{r} + \dots$$

## ■ Regularity condition on the horizon:

$$\delta g_{ti}(r) \sim \delta g_{ti}^0 + \dots, \quad \delta h_{ti}(r) \sim \frac{1}{r^2 U(r)} \delta g_{ti}^0 + \dots,$$

$$\delta A_i(r) \sim -\frac{E_i}{4\pi T} \log(r - r_h) + \dots, \quad \delta \chi^{\mathcal{I}} \sim \delta \chi_0^{\mathcal{I}} + \dots.$$

## ■ Fluctuation around background solution

$$\begin{aligned}
 \delta G_{ti} &= -t U(r) \zeta_i + \delta g_{ti}(r) \\
 \delta G_{ri} &= r^2 \delta g_{ri} \\
 \delta A_i &= t(-E_i + \zeta_i a(r)) + \delta a_i(r)
 \end{aligned}
 \rightarrow \delta A_i(r) \sim -E_i t + \frac{J^i}{r} + \dots$$

## ■ Boundary current

$$\begin{aligned}
 \mathcal{J}^i &= \sqrt{-g} (1 + \gamma_2 \phi^2) F^{ir} \\
 &= -U(r) (1 + \gamma_2 \phi(r)^2) \delta a'_i(r) - a'_t(r) \delta g_{ti}(r)
 \end{aligned}
 \rightarrow \sim (1 + \cancel{\gamma_2 \phi(\infty)}) J^i$$

## ■ Fluctuation equation + Regularity condition on the horizon: DC conductivity

$$\sigma_{DC} = (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2}$$



# DC Conductivity

## ■ Two contributions to DC conductivity

$$\begin{aligned}\sigma_{DC} &= (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2} \\ &= \sigma_{ccs} + \sigma_{diss}\end{aligned}$$

2015: Blake, Donos

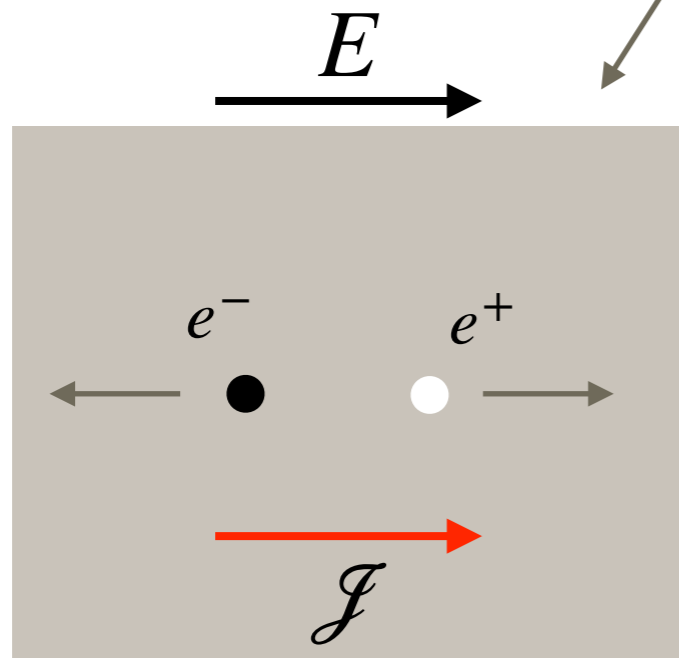
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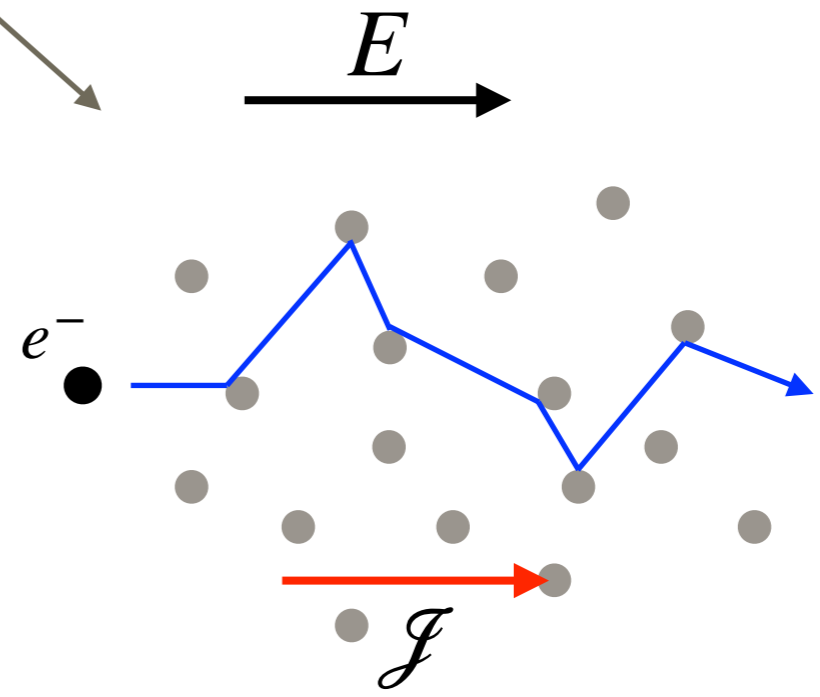
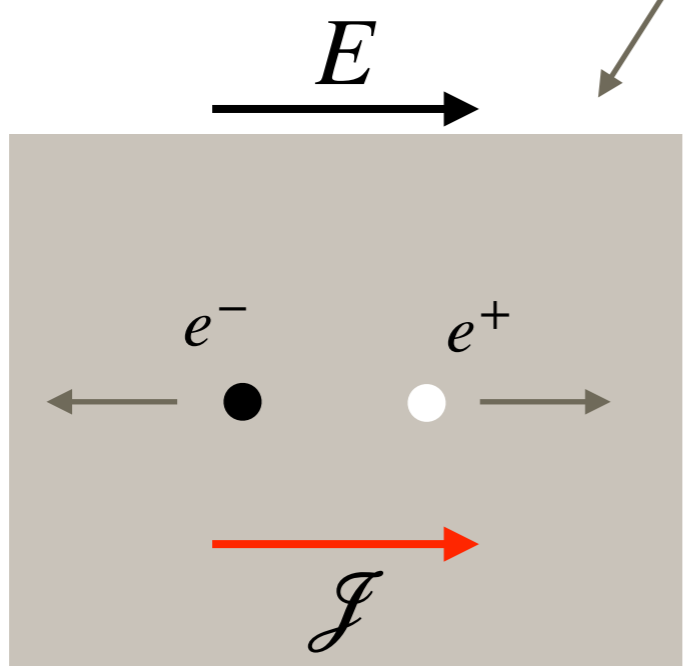
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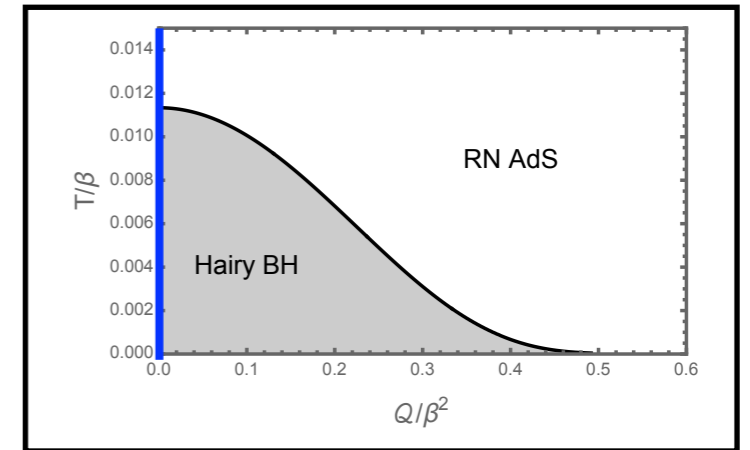
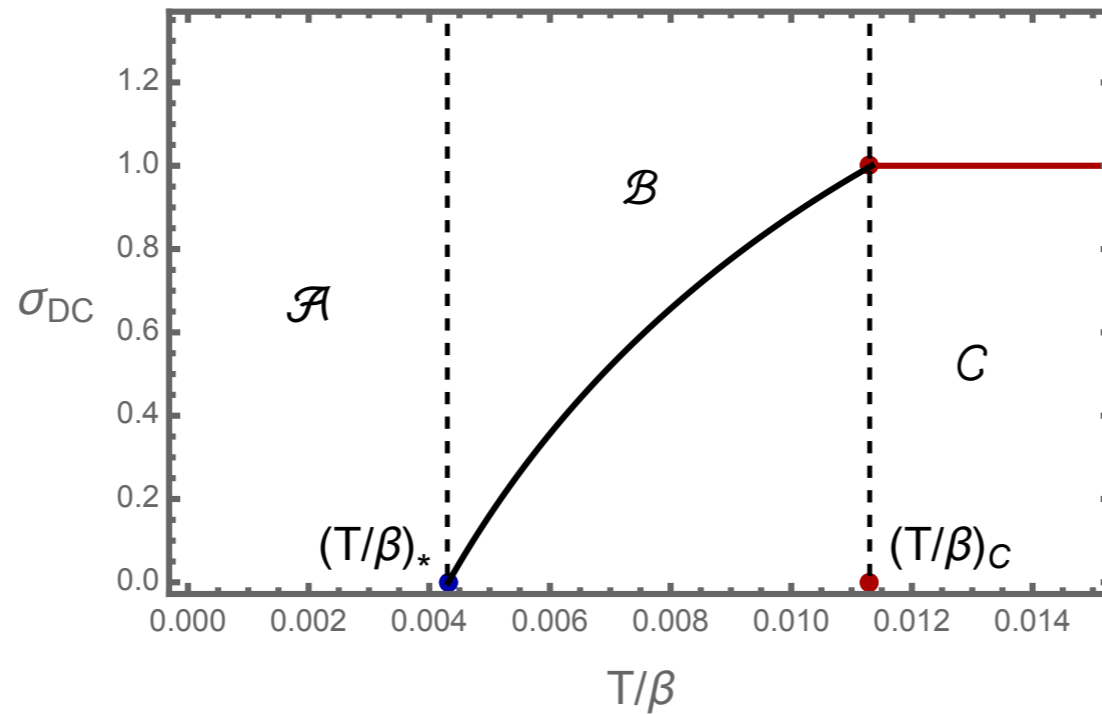
# DC Conductivity

- DC conductivity without charge carrier ( $Q = 0$ )

$$\sigma_{DC} = (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2}$$

$$= \sigma_{ccs} + \sigma_{diss}$$

- Temperature dependence of DC conductivity



# DC Conductivity

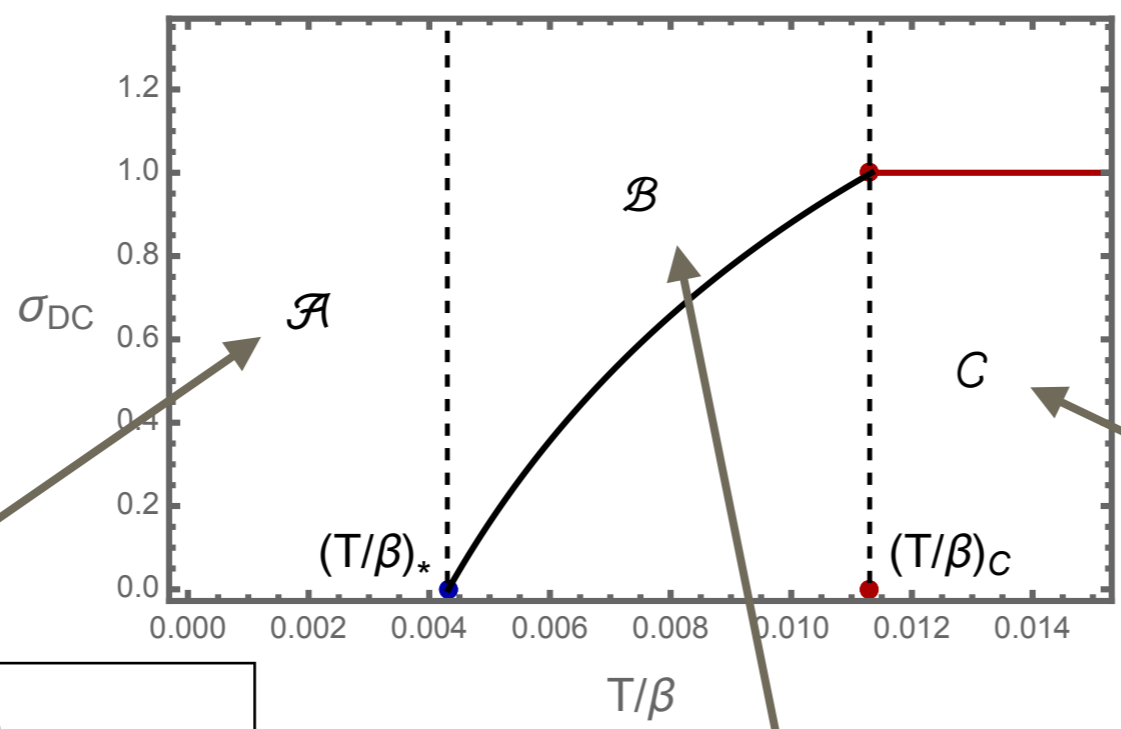
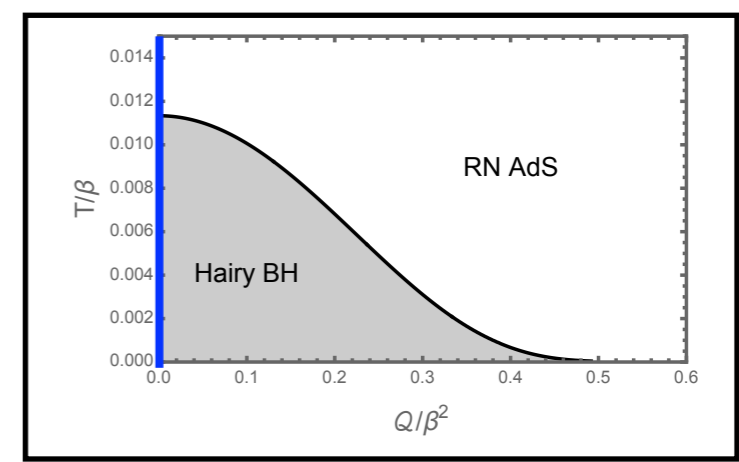


■ DC conductivity without charge carrier ( $Q = 0$ )

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$$= \sigma_{ccs} + \sigma_{diss}$$

○ Temperature dependence of DC conductivity



$1 + \gamma_2 \phi_h^2 < 0$   
Instability of gauge field fluctuation

$\frac{\partial \rho}{\partial T} < 0$   
Insulating behavior

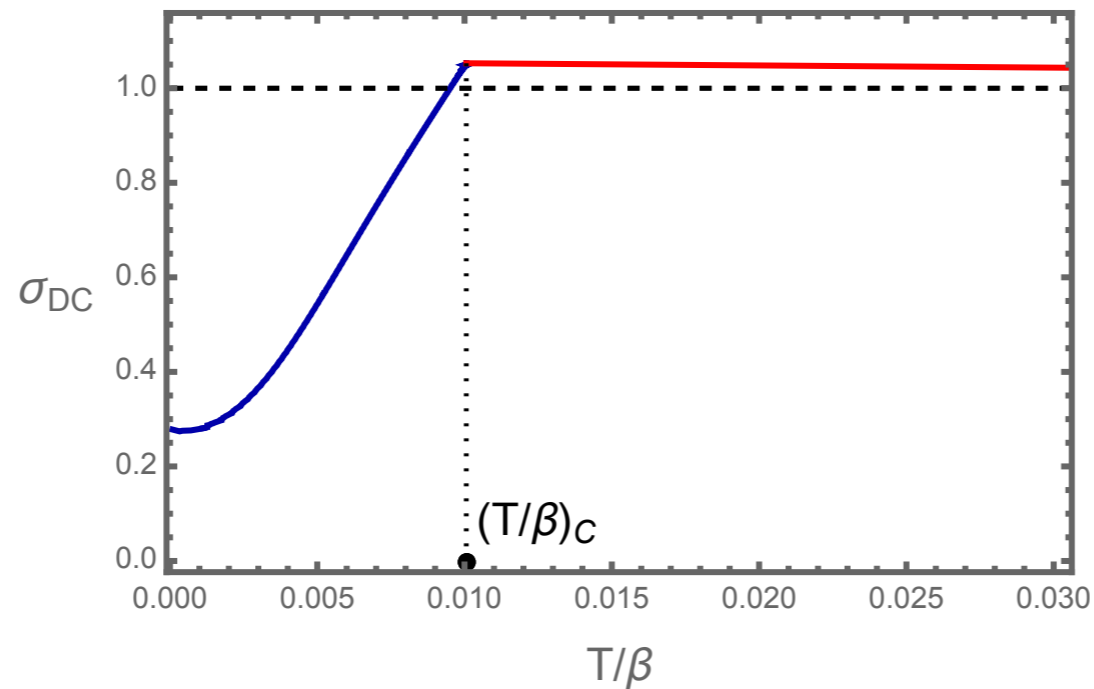
$\frac{\partial \rho}{\partial T} = 0$   
Pure ccs.

# DC Conductivity

## ■ DC conductivity with charge carrier ( $Q \neq 0$ )

$$\sigma_{DC} = (1 + \gamma_2 \varphi_h^2) + \frac{e^{W(\infty)} Q^2}{r_h^2 \beta^2} \quad \text{for hairy BH}$$

$$\sigma_{DC} = 1 + \frac{Q^2}{r_h^2 \beta^2} \quad \text{for RN AdS BH.}$$

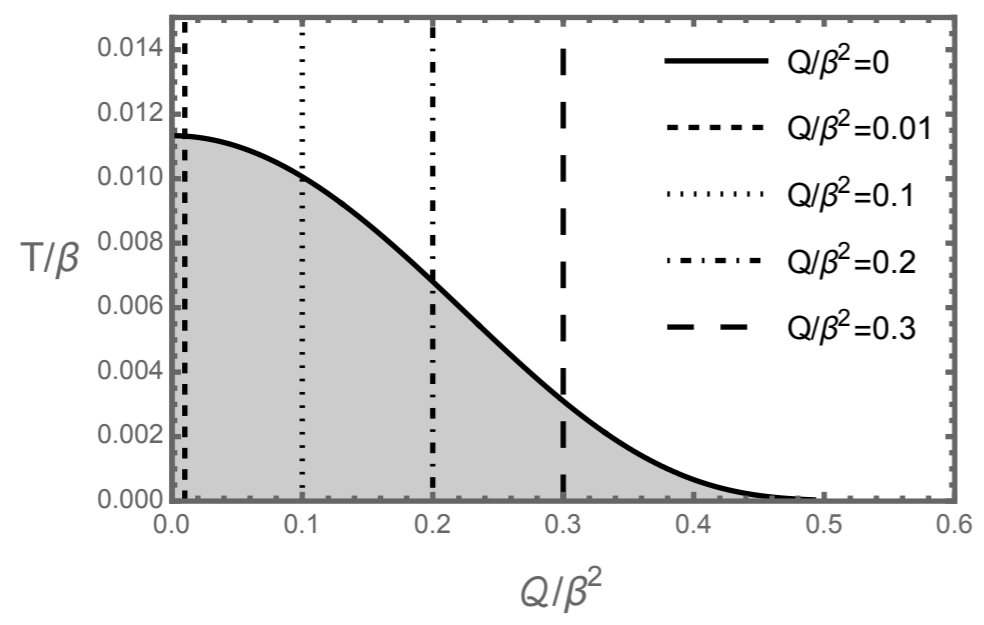


# DC Conductivity

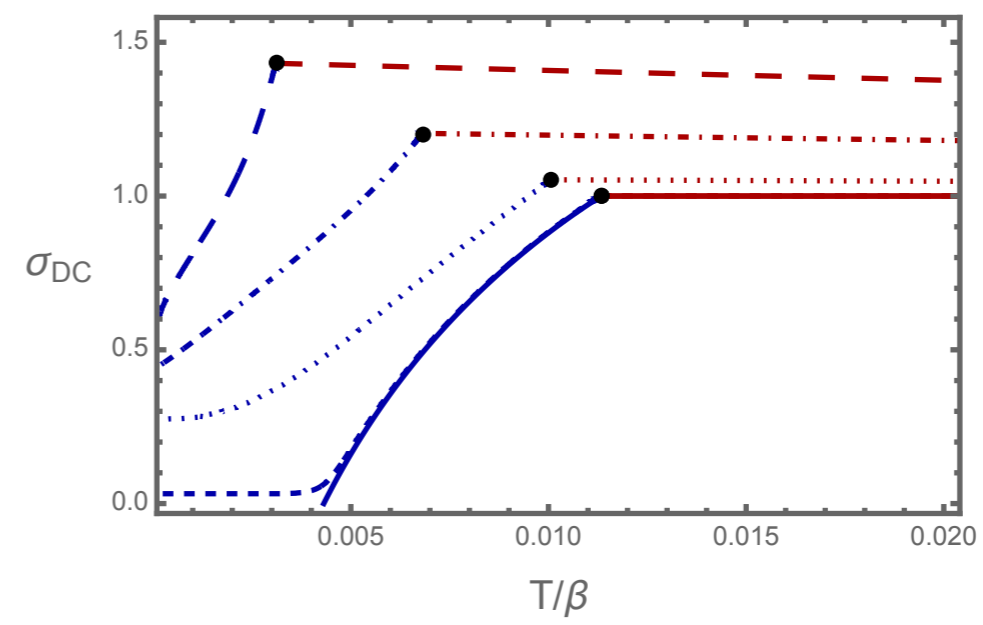
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(a)



(b)

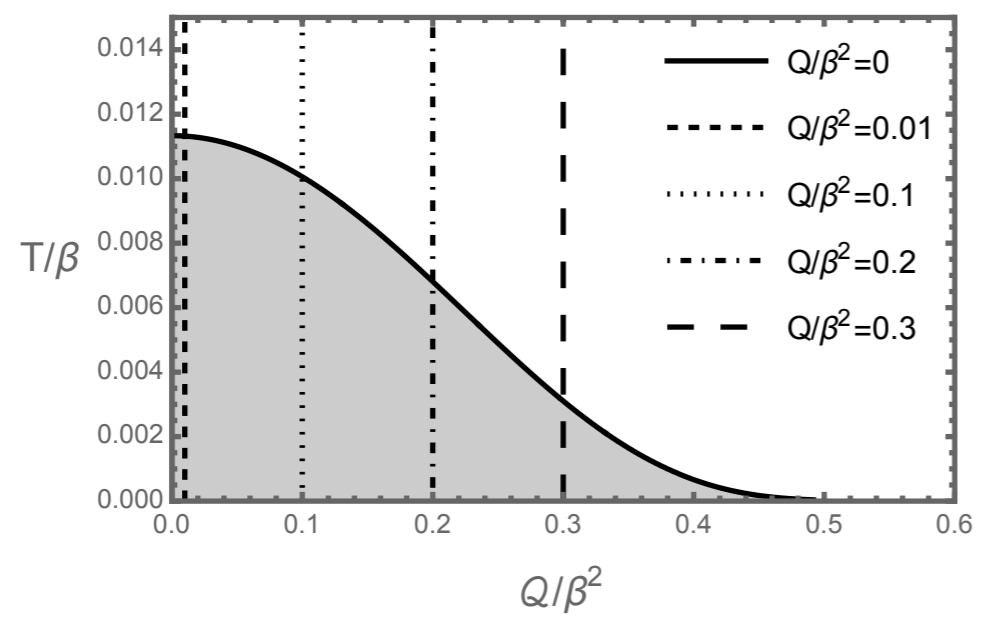
# DC Conductivity



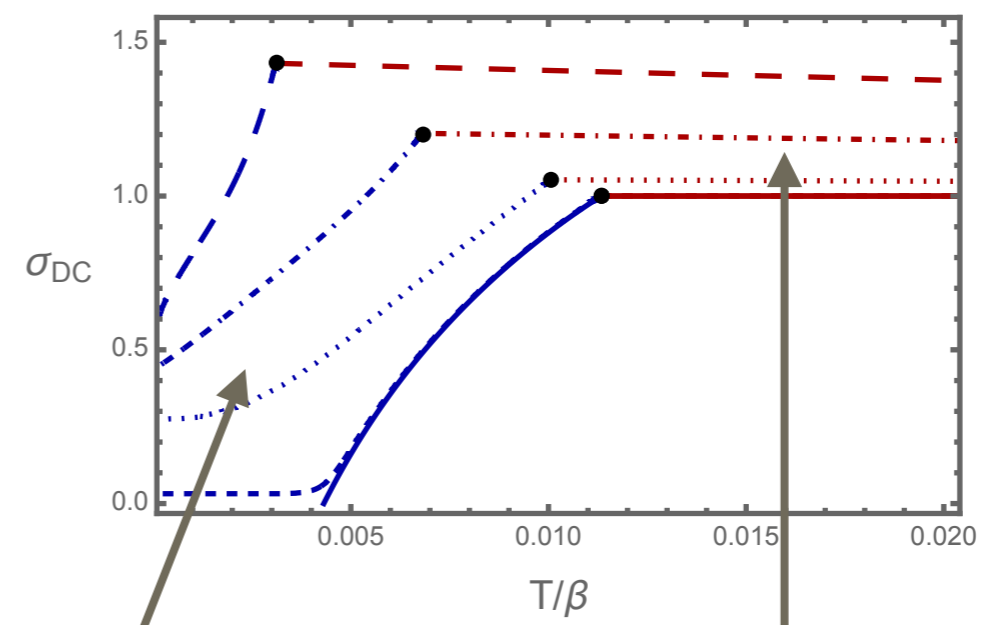
## ■ DC conductivity with charge carrier ( $Q \neq 0$ )

$$\sigma_{DC} = (1 + \gamma_2 \varphi_h^2) + \frac{e^{W(\infty)} Q^2}{r_h^2 \beta^2} \quad \text{for hairy BH}$$

$$\sigma_{DC} = 1 + \frac{Q^2}{r_h^2 \beta^2} \quad \text{for RN AdS BH.}$$



(a)



(b)

$\frac{\partial \rho}{\partial T} < 0$   
Insulating behavior

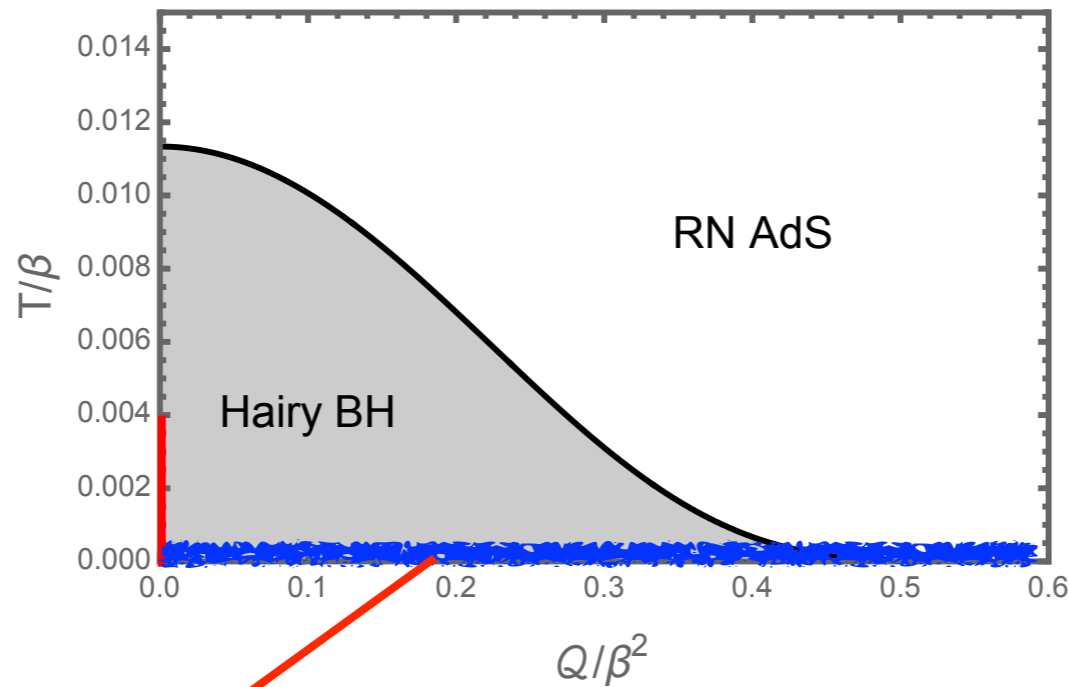
$\frac{\partial \rho}{\partial T} > 0$   
Metallic behavior



# Metal-Insulator Transition

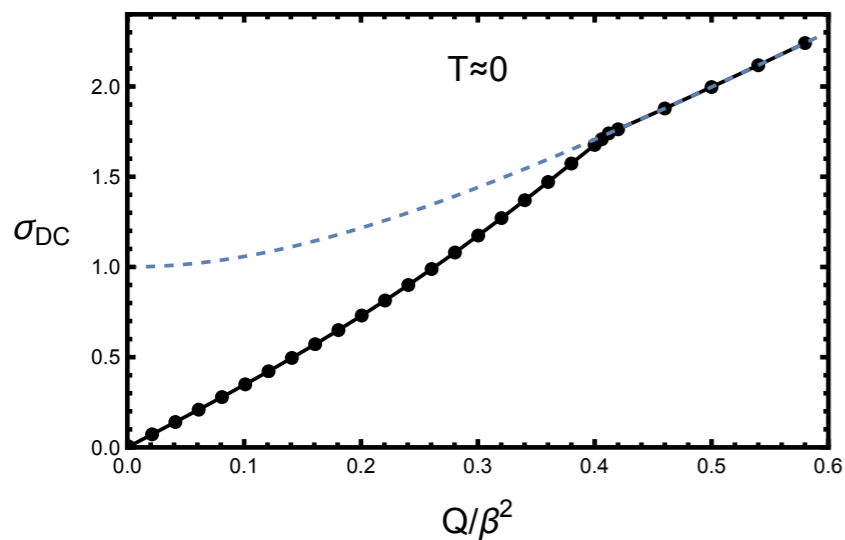


## ■ Phase diagram



$$\sigma_{DC} = (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2}$$

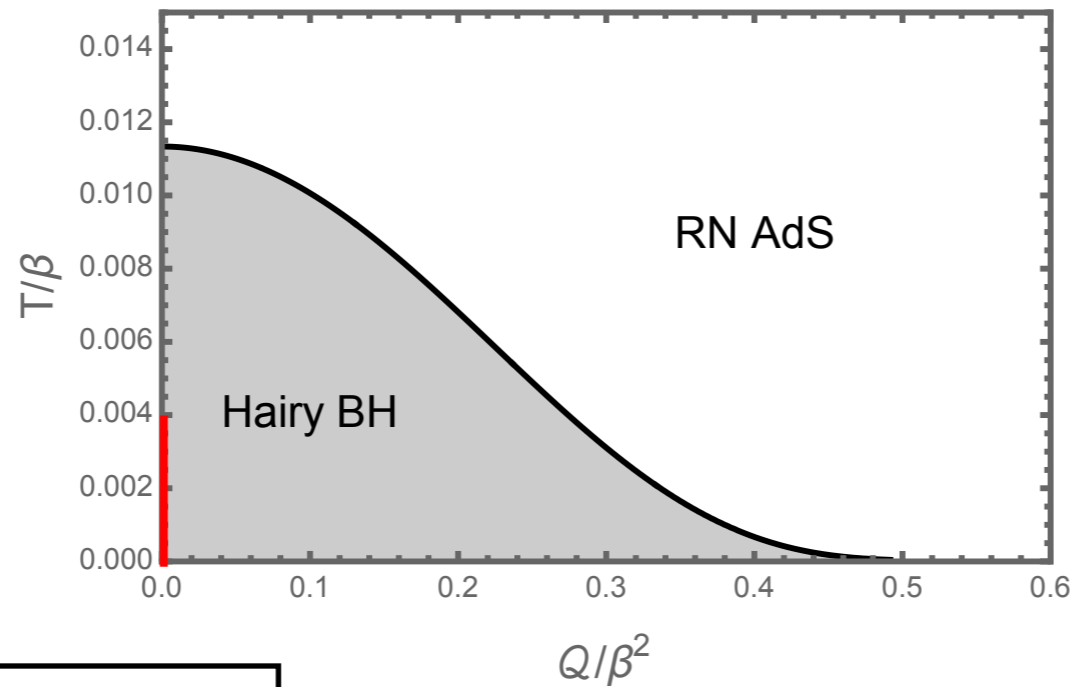
$$= \sigma_{ccs} + \sigma_{diss}$$



# Metal-Insulator Transition

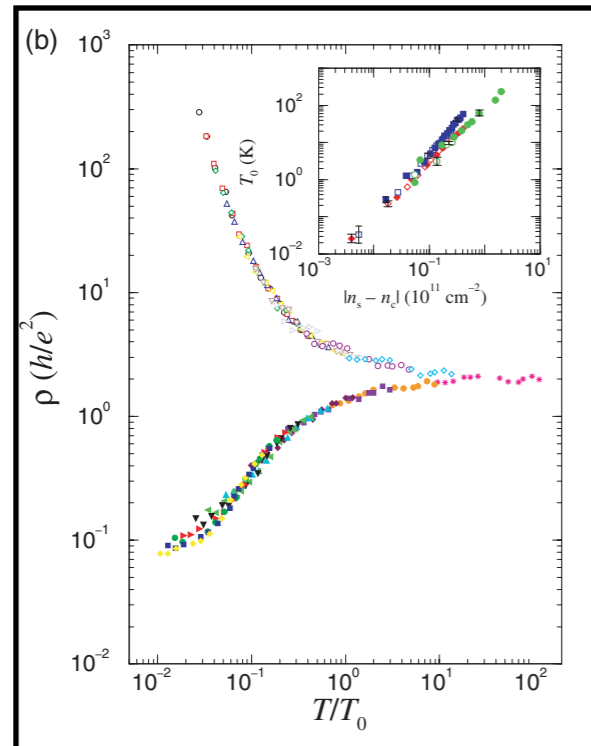


## ■ Phase diagram



$$\sigma_{DC} = (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2}$$

$$= \sigma_{ccs} + \sigma_{diss}$$

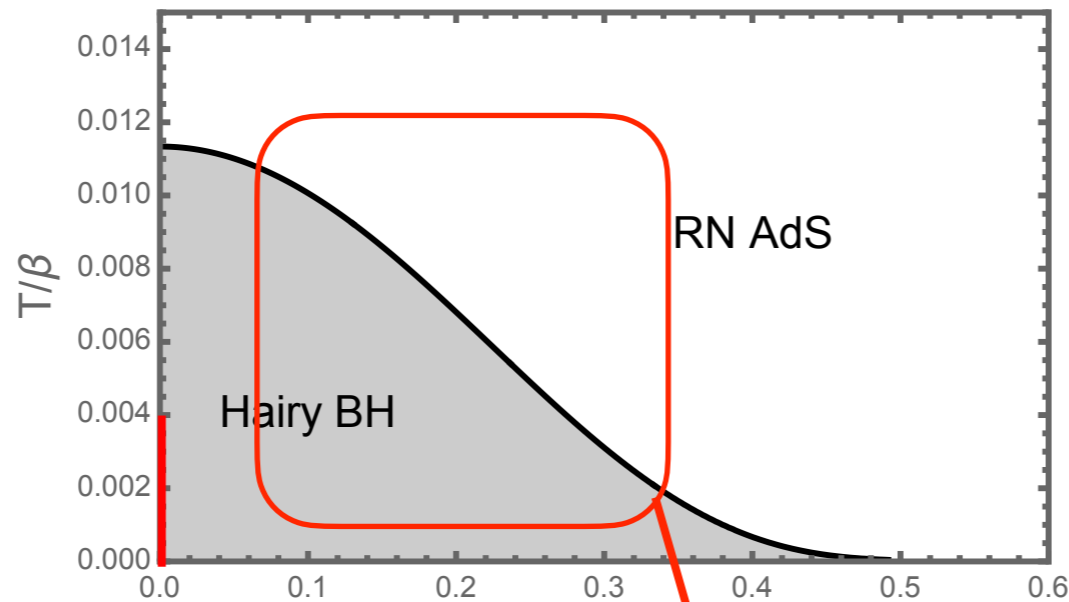


Kravchenko et al (1995)

# Metal-Insulator Transition

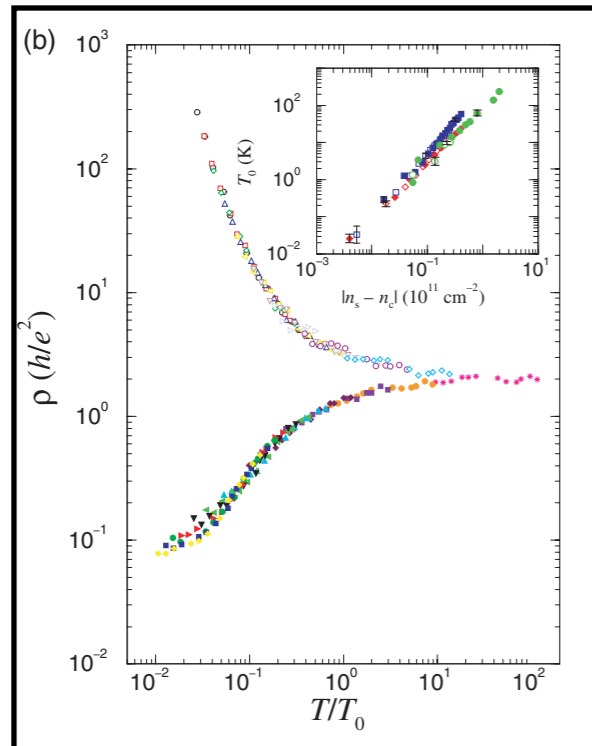


## Phase diagram



$$\sigma_{DC} = (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2}$$

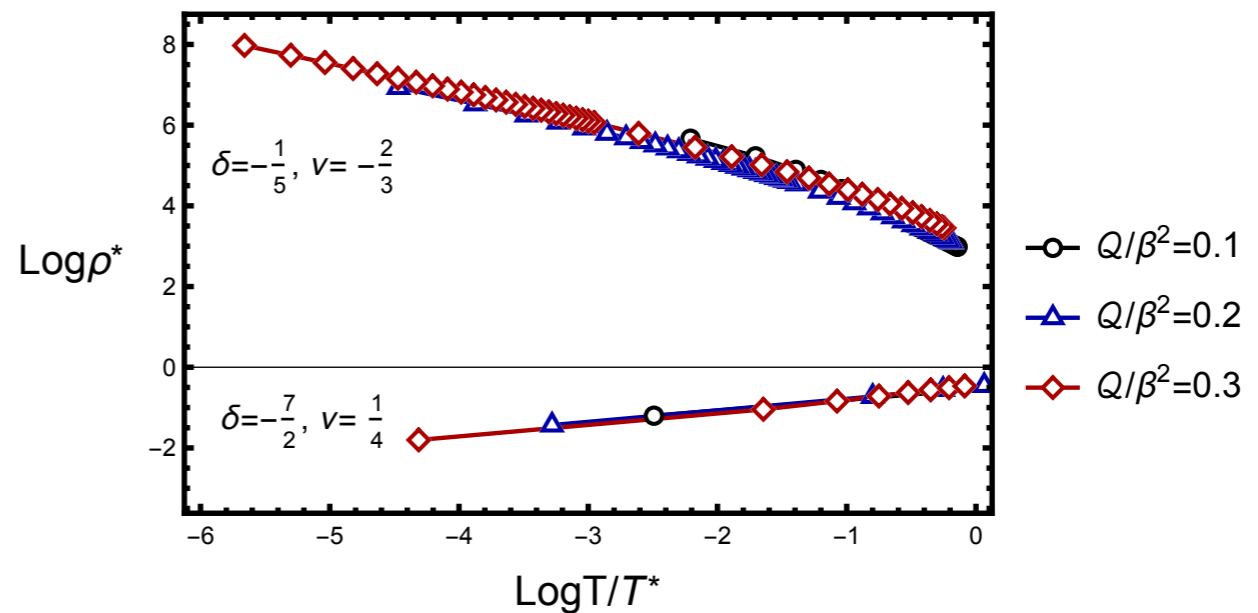
$$= \sigma_{ccs} + \sigma_{diss}$$



Kravchenko et al (1995)

$Q/\beta^2$

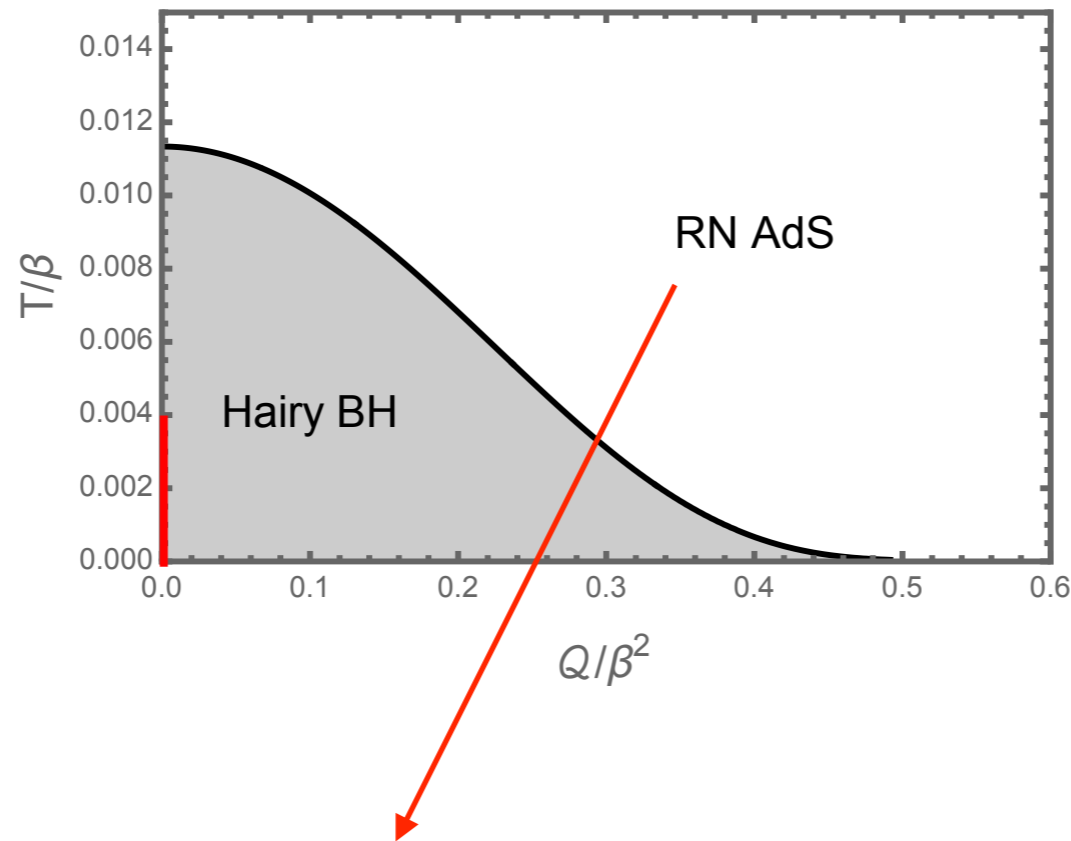
$$T^* = T_C |Q - Q_C|^\delta, \quad \rho^* = \rho T^\nu$$



# Metal-Insulator Transition



## ■ Phase diagram



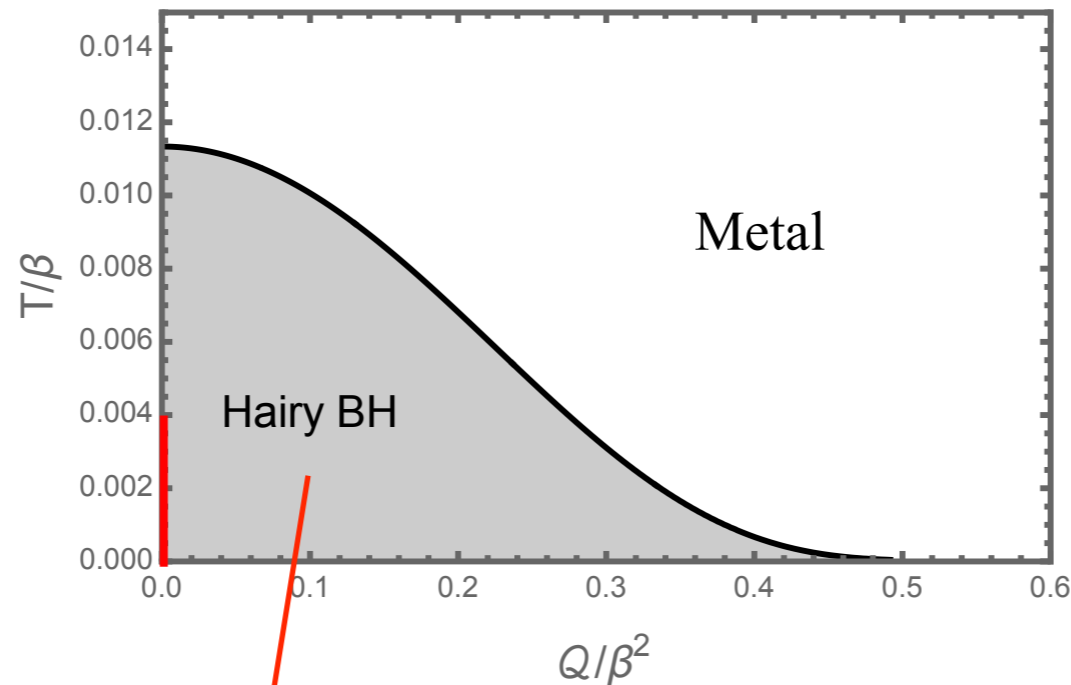
$$\begin{aligned}\sigma_{DC} &= (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2} \\ &= \sigma_{ccs} + \sigma_{diss}\end{aligned}$$

- $\sigma_{diss}$  dominant
- Drude like behavior
- Resistivity is increasing to T
- Metallic phase

# Metal-Insulator Transition



## ■ Phase diagram



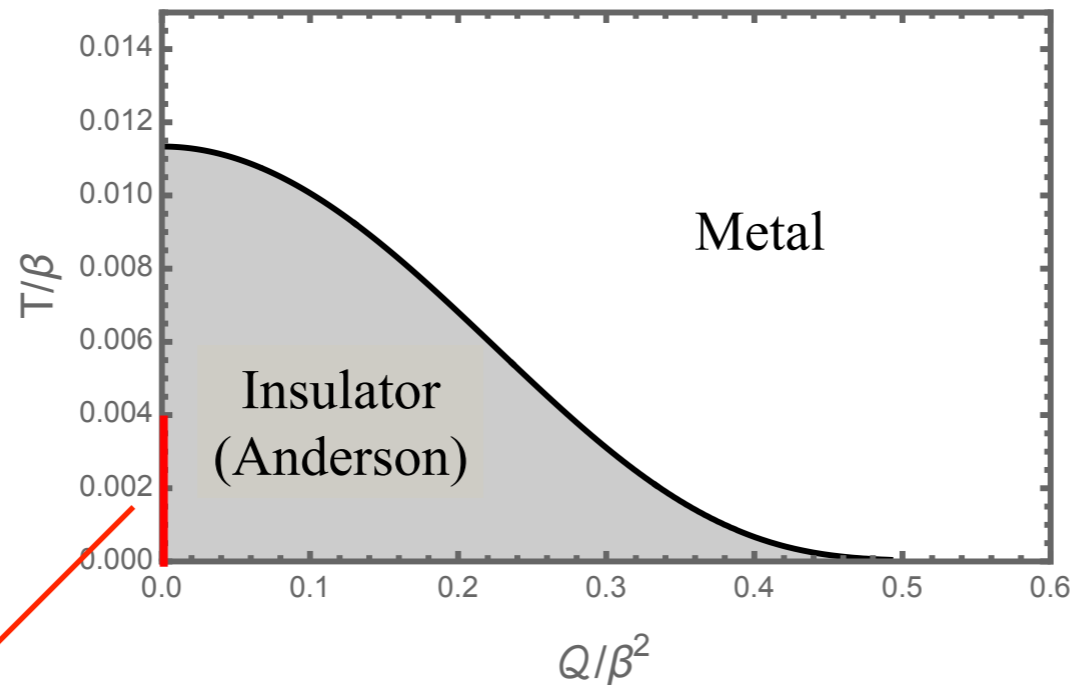
$$\begin{aligned}\sigma_{DC} &= (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2} \\ &= \sigma_{ccs} + \sigma_{diss}\end{aligned}$$

- $\sigma_{ccs}$  suppression dominant
- Resistivity is decreasing to T
- Impurity induced insulating phase
- ‘Anderson insulator’

# Metal-Insulator Transition



## ■ Phase diagram



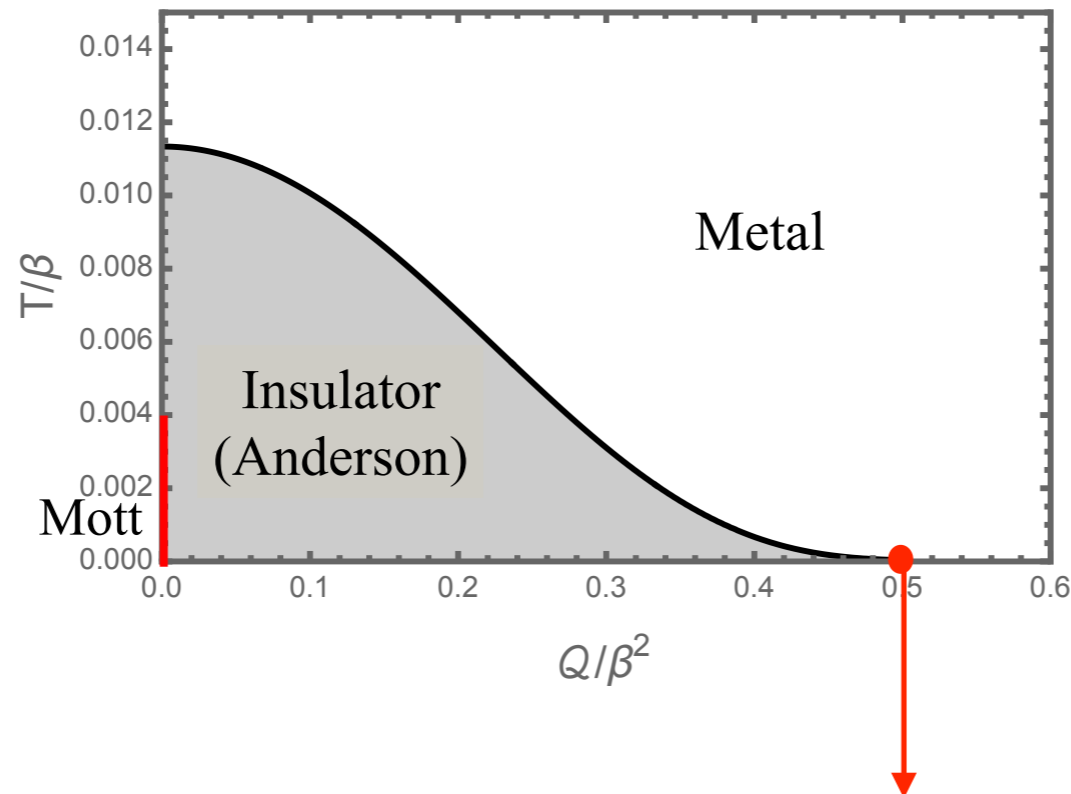
$$\begin{aligned}\sigma_{DC} &= (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2} \\ &= \sigma_{ccs} + \sigma_{diss}\end{aligned}$$

- No black hole solution
- Hawking-Page transition (geometric transition)
- Solitonic (or singular) solution
- Insulating phase
- ‘Mott insulator’

# Metal-Insulator Transition



## ■ Phase diagram



$$\begin{aligned}\sigma_{DC} &= (1 + \gamma_2 \phi_h^2) + \frac{Q^2}{r_h^2 \beta^2} \\ &= \sigma_{ccs} + \sigma_{diss}\end{aligned}$$

- Zero temperature phase transition(quantum)
- IR effect
- Change of scaling dimension of order parameter in IR region

# Discussion and Future direction



- We construct a gravity system with scalar-gauge field interaction
  - We find a phase transition between RN AdS black hole and a hairy black hole
  - We find quantum phase transition at zero temperature from density effect in IR
  - Impurity enhances scalar condensation: “Order parameter enhanced by disorder”
  - Scalar condensation leads to the insulating phase
  - The insulating phase comes from the localization of electron-hole pair creation
  - We realize ‘Anderson insulator’-metal transition in holography
  
- Physical meaning of the order parameter : AFM order?
- Calculation of AC conductivity: gap or pseudo-gap creating mechanism
- Couple to the complex scalar field: Superconducting dorn?





Thank you !!