

☆ 7TH INTERNATIONAL CONFERENCE ON HOLOGRAPHY AND STRING THEORY IN DA NANG



Keun-Young Kim

2024.08. 22

Comments on Quantum Chaos and Complexity









Let's ask AI (Artificial Intelligence)

Al-painter "MidJourney" wins 1st prize at Colorado State Fair



"Space Opera Theater" by Midjourney

Quantum chaos and complexity



Midjourney

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What is quantum chaos?

ChatGPT



Out-of-time-order correlator (OTOC)

Level spacing statistics



Random Matrix Theory

Thermalization (ETH, Quantum device) Quantum black holes Quantum gravity Quantum chaos is a field of physics that studies the behavior of quantum systems that are classically chaotic. Classically chaotic systems are those that exhibit sensitive dependence on initial conditions, meaning small changes in the initial conditions lead to vastly different outcomes over time.

In quantum mechanics, chaotic behavior can manifest in the statistical properties of the system's energy spectrum or in the time evolution of its wave function. The study of quantum chaos is concerned with understanding how the behavior of the system changes as classical chaos is introduced or increased, and how this behavior can be observed and measured experimentally.

Quantum chaos has applications in various fields, including solid-state physics, quantum computing, and quantum information theory. It has also led to new insights into the fundamental nature of quantum mechanics and the connections between classical and quantum physics.

Comments on Quantum Chaos and Complexity

Now

Let's get more serious



High Energy Physics – Theory

[Submitted on 18 May 2024 (v1), last revised 3 Jun 2024 (this version, v2)]

Spread and Spectral Complexity in Quantum Spin Chains: from Integrability to Chaos

Hugo A. Camargo, Kyoung-Bum Huh, Viktor Jahnke, Hyun-Sik Jeong, Keun-Young Kim, Mitsuhiro Nishida

 $\exists r i V > hep-th > arXiv:2407.17054$

High Energy Physics – Theory

[Submitted on 24 Jul 2024 (v1), last revised 26 Jul 2024 (this version, v2)]

Krylov complexity as an order parameter for quantum chaotic-integrable transitions

Matteo Baggioli, Kyoung-Bum Huh, Hyun-Sik Jeong, Keun-Young Kim, Juan F. Pedraza



- "Quantum" is more fundamental. "Classical" is approximation.
- Quantum chaos may exist even without classical counter part.
- We do not need to stick to classical concept.

Who is the first raising the issue of quantum chaos In physics literature?





I have thought a hundred times as much about the quantum problems as I have about general relativity.

- 1905: Photon concept
- 1916: Quantum theory of radiation
- 1917: Quantum chaos
- 1925: Bose-Einstein condensation
- 1935: EPR paradox

First identification of the problem of quantizing chaotic motion

A. Einstein, Zum quantensatz von sommerfeld und epstein, Deutsche physikalische Gesellschaft, Verhandlungen **19** (1917) 82–92.

Before Schrödinger equation (1926)

434 DOC. 45 QUANTUM THEOREM

Doc. 45

[p. 82] On the Quantum Theorem of Sommerfeld and Epstein

by A. Einstein

(Presented at the session of May 11)

(cf. above, p. 79)

§1. Previous Formulation. There is hardly any more doubt that the quantum condition for periodic mechanical systems with one degree of freedom is (after SOMMERFELD and DERVE)

[1] and DEBYE)

$$\int p dq = \int p \frac{dq}{dt} dt = nh. \tag{1}$$

Non-integrable (chaotic) \longrightarrow How to quantize? Non- chaotic \longrightarrow How to thermalize in quantum system? Not ergodic?



First identification of the problem of quantizing chaotic motion

A. Einstein, Zum quantensatz von sommerfeld und epstein, Deutsche physikalische Gesellschaft, Verhandlungen **19** (1917) 82–92.

Forgotten for 55 years And Rediscovered (independently) in 1971

M. C. Gutzwiller, Periodic orbits and classical quantization conditions, Journal of Mathematical Physics 12 (1971) 343.

Regular and irregular spectra

I C Percival

Joint Institute for Laboratory Astrophysics, University of Colorado, Boulder, Colorado, 80302[†] Physics Department, University of Stirling, Stirling, Scotland[‡]

Received 6 August 1973

 $I_k = (n_k + \text{constant})\hbar.$

Apart from the phase space formulation and the possibility of a non-zero constant (Keller 1958) this result is due to Einstein (1917).

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A. Einstein, Zum quantensatz von sommerfeld und epstein, Deutsche physikalische Gesellschaft, Verhandlungen **19** (1917) 82–92.

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Michael Berry

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M. C. Gutzwiller, Periodic orbits and classical quantization conditions, Journal of Mathematical Physics 12 (1971) 343.

Berry Tabor conjecture

BGS conjecture



Michael Berry

M.V. Berry and M. Tabor, Level clustering in the regular spectrum, *Proc. Roy. Soc.* A **356** (1977) 375-394.

M.V. Berry, Quantizing a classically ergodic system: Sinai's billiard and the KKR method, Ann. Phys. 131 (1981) 163-216.

O. Bohigas, M.-J. Giannoni and C. Schmit, Characterization of chaotic quantum spectra and universality of level fluctuation laws, *Phys. Rev. Lett.* **52** (1984) 1-4.

A. Einstein, Zum quantensatz von sommerfeld und epstein, Deutsche physikalische Gesellschaft, Verhandlungen **19** (1917) 82–92.

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Look at the energy level instead of the classical path

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Look at the energy level instead of the classical path



Sinai Billiard (classical)



Sinai Billiard (quantum)



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Look at the energy level instead of the classical path

Single particle in a cavity



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Berry Tabor conjecture	M.V. Berry and M. Tabor, Level clustering in the regular spectrum, <i>Proc. Roy. Soc.</i> A 356 (1977) 375-394.
	M.V. Berry, Quantizing a classically ergodic system: Sinai's billiard and the KKR method, Ann. Phys. 131 (1981) 163-216.
BGS conjecture	O. Bohigas, MJ. Giannoni and C. Schmit, Characterization of chaotic quantum spectra and universality of level fluctuation laws, <i>Phys. Rev. Lett.</i> 52 (1984) 1-4.

Random matrix theory

Random matrix

$$\hat{H} \doteq \begin{bmatrix} \varepsilon_1 & \frac{V}{\sqrt{2}} \\ \frac{V^*}{\sqrt{2}} & \varepsilon_2 \end{bmatrix}$$

 $\varepsilon_1, \varepsilon_2$, and V from a Gaussian distribution with zero mean and variance σ_1

Eigenvalues

$$E_{1,2} = \frac{\varepsilon_1 + \varepsilon_2}{2} \pm \frac{1}{2}\sqrt{(\varepsilon_1 - \varepsilon_2)^2 + 2|V|^2}$$

Statistics of level separation

 $= \frac{\omega}{2\sigma^2} \exp\left[-\frac{\omega^2}{4\sigma^2}\right]$

Wigner Surmise

$$P(E_1 - E_2 = \omega) \equiv P(\omega)$$

$$P(\omega) = \frac{1}{(2\pi)^{3/2}\sigma^3} \int d\varepsilon_1 \int d\varepsilon_2 \int dV \,\delta\left(\sqrt{(\varepsilon_1 - \varepsilon_2)^2 + 2V^2} - \omega\right) \exp\left(-\frac{\varepsilon_1^2 + \varepsilon_2^2 + V^2}{2\sigma^2}\right)_{/r}$$

$$H_{ij}=H_{ji}$$
GOE (Gaussian orthogonal ensemble), time reversal symm

$$=\frac{\omega^2}{2\sqrt{\pi}\left(\sigma^2\right)^{3/2}}\exp\left[-\frac{\omega^2}{4\sigma^2}\right]$$

 $H_{ij} = H_{ji}^*$ GUE (Gaussian unitary ensemble), no time reversal symm.

Wigner-Dyson distributions



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- "Quantum" is more fundamental. "Classical" is approximation.
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- No time? Can we see the time-dynamics?
- Whose time dynamics in what sense?
 - "How fast" do the operator or state spread (get scrambled) in the "Krylov space"?

Holography

Infinitely strong interaction Maximally chaotic

- \sim universality \sim black hole
- \sim universality \sim black hole
- ~ random (matrix)

Krylov Complexity

Contents

Aleksey Nikolaevich Krylov (1863–1945)

a Russian naval engineer, applied mathematician





- Short Review on Krylov Complexity
 - Operator growth
 - Krylov space
 - Lanczos coefficient
 - Krylov complexity
- Success in lattice systems
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Cornelius (Cornel) Lanczos (1893-1974): a Hungarian-American and later Hungarian-Irish mathematician and/physicist. New Series m: Monographs

Lecture Notes in Physics

m 23

V.S. Viswanath Gerhard Müller

The Recursion Method

Application to Many-Body Dynamics





1994

The time evolution of an operator O by a time independent Hamiltonian H

$$\begin{aligned} \partial_t \mathcal{O}(t) &= i \ [H, \mathcal{O}(t)] \\ \mathcal{O}(t) &= e^{itH} \ \mathcal{O}(0) \ e^{-itH} \end{aligned} \quad & \text{Baker-Campbell-Hausdorff (BCH) formula} \ e^X Y e^{-X} = \sum_{n=0}^{\infty} \frac{\mathcal{L}_X^n Y}{n!} \\ \mathcal{O}(t) &= \mathcal{O}_0 + it [H, \mathcal{O}] + \frac{(it)^2}{2!} [H, [H, \mathcal{O}]] + \frac{(it)^3}{3!} [H, [H, [H, \mathcal{O}]]] + \cdots \end{aligned}$$

$$H = -\sum \left(Z_i Z_{i+1} + g X_i + h Z_i \right)$$

$$Z_1(t) = Z_1 + it[H, Z_1] - \frac{t^2}{2!} [H, [H, Z_1]] - \frac{it^3}{3!} [H, [H, [H, Z_1]]] + \dots$$

$$\begin{split} & [H,Z_1] \sim Y_1 \\ & [H,[H,Z_1]] \sim Y_1 + X_1 Z_2 \\ & [H,[H,[H,Z_1]]] \sim Y_1 + Y_2 X_1 + Y_1 Z_2 \\ & [H,[H,[H,[H,Z_1]]]] \sim X_1 + Y_1 + Z_1 + X_1 X_2 + Y_1 Y_2 + Z_1 Z_2 + X_1 Z_2 + X_1 Z_2 + X_2 Y_1 + Y_2 Y_2 + Z_1 Y_2 Y_2 + Z_1 Y_2 X_1 + X_2 Z_3 X_1 \end{split}$$

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ex) 1D spin chain -

$$H = -\sum \left(Z_i Z_{i+1} + g X_i + h Z_i \right)$$

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• The set of operators $\{\tilde{\mathcal{O}}_n\}$ defines a basis of the so-called Krylov space associated to the operator \mathcal{O} • Regard the operator as a state $\mathcal{O} \to |\mathcal{O}\rangle$ in the Hilbert space of operators

Inner product: Wightman inner product

$$(A|B) := \langle e^{\beta H/2} A^{\dagger} e^{-\beta H/2} B \rangle_{\beta} = \frac{1}{\mathcal{Z}_{\beta}} \operatorname{Tr}(e^{-\beta H/2} A^{\dagger} e^{-\beta H/2} B) \qquad \mathcal{Z}_{\beta} := \operatorname{Tr}(e^{-\beta H})$$

Krylov basis $(\mathcal{O}_m | \mathcal{O}_n) = \delta_{mn}$ (Lanczos algorithm: Gram–Schmidt procedure)

$$\begin{split} |\mathcal{O}_0\rangle &\coloneqq |\tilde{\mathcal{O}}_0\rangle \coloneqq |\mathcal{O}(0)\rangle & \{b_n\}: \text{Lanczos coefficients} \\ |\mathcal{O}_1\rangle &\coloneqq b_1^{-1}\mathcal{L}|\tilde{\mathcal{O}}_0\rangle & b_1 \coloneqq (\tilde{\mathcal{O}}_0\mathcal{L}|\mathcal{L}\tilde{\mathcal{O}}_0)^{1/2} \\ |\mathcal{O}_n\rangle &\coloneqq b_n^{-1}|A_n\rangle & b_n \coloneqq (A_n|A_n)^{1/2} \\ &|A_n\rangle &\coloneqq \mathcal{L}|\mathcal{O}_{n-1}\rangle - b_{n-1}|\mathcal{O}_{n-2}\rangle \\ & 30 \end{split}$$

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Discrete "Schrodinger equation"

$$\begin{array}{c} \partial_{t}\mathcal{O}(t)=i\left[H,\mathcal{O}(t)\right] & \quad \text{``probability amplitudes''} \quad \sum_{n=0}^{\infty}|\varphi_{n}(t)|^{2}=1 \\ \partial_{t}|\mathcal{O}(t))=i\mathcal{L}|\mathcal{O}(t)) & |\mathcal{O}(t)\rangle = \sum_{n=0}^{\infty}i^{n}\varphi_{n}(t)|\mathcal{O}_{n}) & \varphi_{n}(t):=i^{-n}(\mathcal{O}_{n}|\mathcal{O}(t)) \\ \int_{t=0}^{0}\int$$

 $b_n =$ hopping amplitudes



Krylov complexity

average position over the chain

$$K_{\mathcal{O}}(t) := (\mathcal{O}(t)|n|\mathcal{O}(t)) = \sum_{n=0}^{\infty} n|\varphi_n(t)|^2$$



 $\psi_n(r)$

Aleksey Nikolaevich Krylov (1863–1945)

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m 23

V.S. Viswanath Gerhard Müller

The Recursion Method

Application to Many-Body Dynamics





1994

Auto-correlation function $C(t) = \Pi^{W}(t) = \varphi_0(t)$

$$\begin{split} C(t) &:= (\mathcal{O}(t)|\mathcal{O}(0)) = \varphi_0(t) \\ &= \langle e^{i\,(t-i\,\beta/2)H} \mathcal{O}^{\dagger}(0) e^{-i\,(t-i\,\beta/2)H} \mathcal{O}(0) \rangle_{\beta} \\ &= \langle \mathcal{O}^{\dagger}(t-i\beta/2) \mathcal{O}(0) \rangle_{\beta} =: \Pi^W(t) \;. \end{split}$$

Power spectrum
$$f^{W}(\omega)$$

$$\Pi^{W}(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathrm{d}\omega \ e^{-i\omega t} f^{W}(\omega)$$

Moments μ_{2n}

$$\Pi^{W}(t) := \sum_{n=0}^{\infty} \mu_{2n} \frac{(it)^{2n}}{(2n)!} \qquad \mu_{2n} := \frac{1}{i^{2n}} \frac{\mathrm{d}^{2n} \Pi^{W}(t)}{\mathrm{d}t^{2n}} \Big|_{t=0}$$

Lanczos coefficients from moments

 $\langle \cdots \rangle_{\beta} = \operatorname{Tr}(e^{-\beta H} \cdots) / \operatorname{Tr}(e^{-\beta H})$

$$\begin{split} b_1^{2n} \cdots b_n^2 &= \det \left(\mu_{i+j} \right)_{0 \le i,j \le n} \\ \mu_2 &= b_1^2 \,, \quad \mu_4 = b_1^4 + b_1^2 b_2^2 \,, \quad \cdots \,, \\ b_n &= \sqrt{M_{2n}^{(n)}} \,, \quad \frac{M_{2l}^{(j)}}{b_{l-1}^2} = \frac{M_{2l}^{(j-1)}}{b_{j-1}^2} - \frac{M_{2l-2}^{(j-2)}}{b_{j-2}^2} \quad \text{with} \quad l = j, \dots, n \,, \\ M_{2l}^{(0)} &= \mu_{2l} \,, \quad b_{-1} \equiv b_0 := 1 \,, \quad M_{2l}^{(-1)} = 0 \,. \end{split}$$

$$\mu_{2n} = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathrm{d}\omega \,\omega^{2n} f^{W}(\omega)$$

Computation method

Lanczos coefficients



Success in lattice systems

Universal operator growth hypothesis

$$b_n \sim n^{\delta} \iff f^W(\omega) \sim \exp(-|\omega/\omega_0|^{1/\delta})$$

 $\delta \le 1$



Universal operator growth hypothesis

In a chaotic quantum system

Lanczos coefficients $\{b_n\}$ grow as fast as possible

$$b_n \sim \alpha n$$

D. S. Lubinsky, "A survey of general orthogonal polynomials for weights on finite and infinite intervals," Acta Applicandae Mathematica 10, 237–296 (1987).
A. Magnus, "The recursion method and its applications: Proceedings of the conference, imperial college, london, england september 13–14, 1984," (Springer Science & Business Media, 2012) Chap. 2, pp. 22–45.

Signatures of chaos in time series generated by many-spin systems at high temperatures

Tarek A. Elsayed, Benjamin Hess, and Boris V. Fine Phys. Rev. E **90**, 022910 – Published 20 August 2014

 $f^W(\omega) \sim e^{-\frac{\omega}{\omega_0}}$ is a signature of classical chaos

the slowest possible decay of the power spectrum

$$f^{W}(\omega) \sim e^{-\frac{\pi|\omega|}{2\alpha}}$$

Krylov complexity grows exponentially

$$K_{\mathcal{O}}(t) \sim e^{2 lpha t}$$

$$f^{W}(\omega) \sim e^{-\frac{\pi |\omega|}{2\alpha}} \iff b_{n} \sim \alpha n \iff K_{\mathcal{O}}(t) \sim e^{2\alpha t}$$

Universal operator growth hypothesis

$$b_n \sim n^{\delta} \iff f^{W}(\omega) \sim \exp(-|\omega/\omega_0|^{1/\delta})$$

$$\delta \leq 1$$



$$\begin{aligned} \left| \{q^{i}(t), p^{j}(0)\}_{PB} &= \left| \frac{\partial q^{i}(t)}{\partial q^{j}(0)} \right| \sim e^{\lambda t} \\ - \langle \left[q^{i}(t), p^{j}(0) \right]^{2} \rangle_{\beta}, \\ - \langle \left[V(t), W(0) \right]^{2} \rangle_{\beta}, &\sim e^{\lambda t} \end{aligned} \end{aligned}$$

Universal operator growth hypothesis

In a chaotic quantum system

Lanczos coefficients $\{b_n\}$ grow as fast as possible

$$b_n \sim \alpha n$$

the slowest possible decay of the power spectrum

$$f^{W}(\omega) \sim e^{-\frac{\pi|\omega|}{2\alpha}}$$

Krylov complexity grows exponentially

$$K_{\mathcal{O}}(t) \sim e^{2 lpha t}$$

$$f^{W}(\omega) \sim e^{-\frac{\pi |\omega|}{2\alpha}} \iff b_{n} \sim \alpha n \iff K_{\mathcal{O}}(t) \sim e^{2\alpha t}$$

Complexity of state

Complexity of a state

$$\begin{split} i\partial_{t}|\psi(t)\rangle &= H|\psi(t)\rangle \qquad |\psi(t)\rangle = \sum_{n=0}^{\infty} \frac{(-it)^{n}}{n!} |\psi_{n}\rangle \qquad |\psi_{n}\rangle = H^{n}|\psi(0)\rangle, \\ |A_{n+1}\rangle &= (H-a_{n})|K_{n}\rangle - b_{n}|K_{n-1}\rangle \\ a_{n} &= \langle K_{n}|H|K_{n}\rangle, \quad b_{n} = \langle A_{n}|A_{n}\rangle^{1/2} \\ |K_{0}\rangle &= |\psi(0)\rangle, \quad |K_{n}\rangle = b^{-1}|A_{n}\rangle, \quad b_{0} \equiv 0. \\ H|K_{n}\rangle &= a_{n}|K_{n}\rangle + b_{n+1}|K_{n+1}\rangle + b_{n}|K_{n-1}\rangle \qquad H = \begin{pmatrix} a_{0} \ b_{1} \ 0 \ \cdots \ 0 \\ b_{1} \ a_{1} \ b_{2} \ \cdots \ 0 \\ b_{1} \ a_{1} \ b_{2} \ \cdots \ 0 \\ 0 \ b_{2} \ a_{2} \ \cdots \ 0 \\ \vdots \ \vdots \ \vdots \ \ddots \ b_{L-1} \\ 0 \ 0 \ 0 \ b_{L-1} \ a_{L-1} \end{pmatrix} \end{split}$$

Complexity of a state

$$i\partial_t |\psi(t)\rangle = H |\psi(t)\rangle$$

$$H = \begin{pmatrix} a_0 \ b_1 \ 0 \ \cdots \ 0 \\ b_1 \ a_1 \ b_2 \ \cdots \ 0 \\ 0 \ b_2 \ a_2 \ \cdots \ 0 \\ \vdots \ \vdots \ \vdots \ \ddots \ b_{L-1} \\ 0 \ 0 \ 0 \ b_{L-1} \ a_{L-1} \end{pmatrix}$$

$$\begin{array}{c} t=0 \\ t=1000 \\ t=2000 \\ t=3000 \\ t=3000 \\ t=3000 \\ t=3000 \\ t=4000 \\$$

$$|\psi(t)
angle = \sum_n \psi_n(t) |K_n
angle$$

Spread complexity

$$C(t)\equiv\sum_n np_n(t)=\sum_n n|\psi_n(t)|^2$$



Complexity of a state

 $i\partial_t |\psi(t)\rangle = H |\psi(t)\rangle$

$$H = \begin{pmatrix} a_0 \ b_1 \ 0 \ \cdots \ 0 \\ b_1 \ a_1 \ b_2 \ \cdots \ 0 \\ 0 \ b_2 \ a_2 \ \cdots \ 0 \\ \vdots \ \vdots \ \vdots \ \ddots \ b_{L-1} \\ 0 \ 0 \ 0 \ b_{L-1} \ a_{L-1} \end{pmatrix}$$

Survival amplitude

$$S(t) = \langle \psi(t) | \psi(0) \rangle = \langle \psi(0) | e^{iHt} | \psi(0) \rangle$$
$$\mu_n = \left. \frac{d^n}{dt^n} S(t) \right|_{t=0} = \left. \langle \psi(0) | \frac{d^n}{dt^n} e^{iHt} | \psi(0) \rangle \right|_{t=0}$$
$$= \left. \langle K_0 | (iH)^n | K_0 \rangle \right.$$

$$|\psi(t)
angle = \sum_n \psi_n(t) |K_n
angle$$

Spread complexity

$$C(t)\equiv\sum_n np_n(t)=\sum_n n|\psi_n(t)|^2$$

Thermo Field Double (TFD) state

$$egin{aligned} |\psi_{eta}
angle \equiv rac{1}{\sqrt{Z_{eta}}} \sum_{n} e^{-rac{eta E_{n}}{2}} |n,n
angle \ |\psi_{eta}(t)
angle = e^{-iHt} |\psi_{eta}
angle \equiv |\psi_{eta+2it}
angle \ S(t) = \langle\psi_{eta+2it}|\psi_{eta}
angle = rac{Z_{eta-it}}{Z_{eta}} \ SFF_{eta-it} \equiv rac{|Z_{eta-it}|^{2}}{|Z_{eta}|^{2}}. \end{aligned}$$

Survival amplitude

$$S(t) = \langle \psi(t) | \psi(0) \rangle = \langle \psi(0) | e^{iHt} | \psi(0) \rangle$$
$$\mu_n = \left. \frac{d^n}{dt^n} S(t) \right|_{t=0} = \left. \langle \psi(0) | \frac{d^n}{dt^n} e^{iHt} | \psi(0) \rangle \right|_{t=0}$$
$$= \left. \langle K_0 | (iH)^n | K_0 \rangle \right.$$



Observations for RMT, SYK Universal for Maximal chaos? Why? What if not TFD

